



SERVIZIO SANITARIO REGIONALE  
EMILIA-ROMAGNA  
Azienda Ospedaliero - Universitaria di Ferrara



università di ferrara  
DA SEICENTO ANNI GUARDIAMO AVANTI.

Regione Emilia-Romagna  
Programma di ricerca Regione-Università 2010-2012  
Area 1 - Strategic Programmes  
Workshop 24.04.2015, Bologna

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**REHABILITATION AFTER CEREBRAL DAMAGE:  
FUNCTIONAL RECOVERY AND IDENTIFICATION OF  
BIOMARKERS RELATED TO THE CLINICAL OUTCOME**

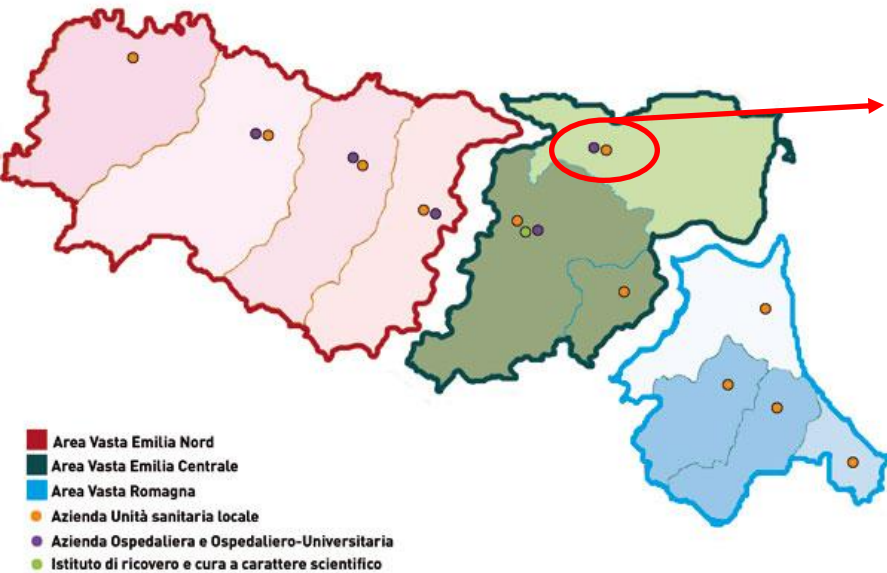
Nino Basaglia, MD  
Strategic Programme Scientific Coordinator

**Bologna, 24 aprile 2015**

viale Aldo Moro 21, sala 417C



# Neuroscience and Rehabilitation Department Rehabilitative Medicine Section, Ferrara University Hospital (Prof. Nino Basaglia)



Settore di Medicina Riabilitativa  
“Ospedale di Riabilitazione San Giorgio”  
UO Medicina Riabilitativa  
Direttore Nino Basaglia

Strutture Organizzative Semplici:

- Modulo Neuropsicologia Riabilitativa
- Modulo Laboratorio Analisi del Movimento
- Modulo Unità Spinale
- Funzione Interdipartimentale di Riabilitazione Cardiovascolare

UO Gravi Cerebrolesioni

Direttore Susanna Lavezzi

SOS Dipartimentale Attività Ambulatoriale

Servizio di Psicologia Clinica



# PM&R Department, Ferrara

- 80 beds Neurorehabilitation  
(stroke, SBI, MS, other neurological disabilities: 600 patients/year)
- Day- Hospital (1200 patients/year)



**HUB** center GRACER  
(rehabilitation network for SBI Emilia Romagna)

**HUB** center stroke network (for Ferrara Province)



# Ferrara

Inhabitants: 362.000

Density: 140/km<sup>2</sup>



Number of new patients with “specific”  
neuropathology followed by San Giorgio:

- 70-80 patients/year with multiple sclerosis (EDSS 4-7)
- 140-150/year with stroke in subacute phase
- 75-80 traumatic brain injury

# Roadmap

1. strategic program background
2. trials registrations
3. organization research staff and laboratories
4. enrollment subjects and data collecting

# **Strategic Program Background**

# Rationale

- Rehabilitation restores functions and reduces disabilities due to diseases sequelae
- The relationship between intensity of rehabilitation and clinical outcomes has generated a great interest for technological high-intensity interventions
- However, their effects compared to traditional interventions as well the involved biological mechanisms remain uncertain

# Functional Recovery after CNS lesions



## Biology

- vasculo-neurogenesis
- Inflammation
- Coagulation
- oxidative stress

## Cortical reorganization

- fNIRS
- TMS
- EEG

## Motor Control



## Behaviour Clinical tests



## Quality of Life



# Strategic Program aims

- Predict treatment efficacy in specific rehabilitation profiles
- Improve use of “targeted” therapies and individual management of patients with stroke, DOC and MS
- Transfer these findings into rehabilitative strategies

**REHABILITATION AFTER CEREBRAL DAMAGE: FUNCTIONAL RECOVERY AND IDENTIFICATION OF BIOMARKERS RELATED TO THE CLINICAL OUTCOME**

*PI: Dr Nino Basaglia*

**WP#1**

**Coordinator: Dr. Sofia Straudi (RU#1)**

Dipartimento di Neuroscienze e Riabilitazione – Sez. di Medicina Riabilitativa, Az. Ospedaliero-Universitaria di Ferrara

**Involved Research Units: RU#1,2,3,4,5,6,8**

**Health problem/  
disease**

**STROKE SURVIVORS**

**Primary Outcome  
Measure**

**FUGL-MEYER  
ASSESSMENT (UE)**

**Instrumental Biomarkers**

**TMS, EMG, NIRS**

**Circulating Biomarkers**

Circulating Cell Populations,  
Markers of  
Inflammation/Angiogenesis,  
Neurotrophic factors,  
Coagulation factors,  
Metabolism biomarkers

**WP#2**

**Coordinator: Dr.ssa Susanna Lavezzi (RU#2)**

Dipartimento di Neuroscienze e Riabilitazione – Sez. di Medicina Riabilitativa, Az. Ospedaliero-Universitaria di Ferrara

**Involved Research Units: RU#1,2,3,4,5,6,8**

**Health problem/  
disease**

**MINIMALLY CONSCIOUS  
STATE PATIENTS**

**Primary Outcome Measure**

**COMA RECOVERY SCALE**

**Instrumental Biomarkers**

**TMS, NIRS**

**Circulating Biomarkers**

Neurotrophic Factors and  
Markers of brain damage,  
Metabolism biomarkers

**WP#3**

**Coordinator: Dr. Fabio Manfredini (RU#1)**

Dipartimento di Neuroscienze e Riabilitazione – Sez. di Medicina Riabilitativa, Az. Ospedaliero-Universitaria di Ferrara

**Involved Research Units: RU#1,4, 5, 6, 7,8**

**Health problem/  
disease**

**MULTIPLE SCLEROSIS  
PATIENTS**

**Primary Outcome  
Measure**

**TIMED 25 WALKING TEST**

**Instrumental  
Biomarkers**

**HAEMODYNAMIC  
MEASUREMENTS, NIRS**

**Circulating Biomarkers**

(**RU#4** Prof. Secchiero)  
(**RU#5** Prof. Bernardi)  
(**RU#6** Prof. Pinton)

Circulating Cell Populations,  
Molecular markers of  
inflammation, Neurotrophic  
factors, Microparticles,  
Coagulation factors,  
Metabolism biomarkers

Biostatistical/Bioinformatics Studies (**RU#8**, Dr. Volpato)

Coordinating Unit (Dr. Bertelli)

# Strategic Program

**Population**

**Interventions**

**Outcomes**

|                      | Population                              | Interventions   | Outcomes                          |            |
|----------------------|---|---|-----------------------------------|------------|
| <b>W<br/>P<br/>1</b> | Stroke (n=64)                           | <ul style="list-style-type: none"> <li>•UE Robotics + Hand Functional Electrical Stimulation</li> <li>•Conventional training</li> </ul> | Behavioural/TMS/<br>NIRS/EMG data | Biomarkers |
| <b>W<br/>P<br/>2</b> | Minimally conscious state (n $\geq$ 10) | <ul style="list-style-type: none"> <li>•DC electrical stimulation</li> </ul>  | Behavioural/EEG/<br>NIRS data     |            |
| <b>W<br/>P<br/>3</b> | Multiple sclerosis (n=98)               | <ul style="list-style-type: none"> <li>•Robot-assisted gait training</li> <li>•Conventional Walking training</li> </ul>                 | Behavioural/NIRS data             |            |

# Primary endpoints

- WP1→Upper extremity motor function:

**Fugl-Meyer UE score**



- WP2→Awareness:

**Coma Recovery Scale-R**



- WP3→Walking/mobility:

**T25FW**



# Secondary endpoints

- Brain plasticity



- QoL
- Proteins/Cells
- Variations of biomarkers

# Strategic Program: Expected Results

- High-intensive rehabilitation interventions: effects on functional recovery on stroke and MS
- Non invasive brain stimulation: safety and feasibility in minimally conscious state, and modulation of behaviour and cortical excitability
- Biomarkers related to specific functional recovery

# Strategic Program: Clinical Implications

- Better definition of rehabilitative strategies
- Better identification of patients eligible for specific treatments
- Increased clinical appropriateness
- Increase efficacy and effectiveness of rehabilitation care

# **Trials registrations**

# Protocol approvals and registration

The three clinical trials have been approved by **Ferrara University Hospital Ethics Committee** on September 29th 2012.

All trial have been registered in **Clinicaltrial.gov** (NCT02267798, NCT02288533, NCT02421731)

*ClinicalTrials.gov PRS*  
*Protocol Registration and Results System*



# **Organization research staff and laboratories**

1. Staff employment
2. Research team training
3. Permanent staff training
4. Development of communication tools
5. Purchase of neurophysiological and rehabilitative equipments
6. Brain Plasticity Lab
7. Biobank

# Trial team

## Staff employed

clinical RUs: 4 FT, 1 PhD

2 post-doc

bio RUs: 4 post-doc, 1 PhD  
student



## Permanent staff

2 rehabilitation nurses

3 medical doctors

5-6 physiotherapists

1 university researcher

administrative staff

+ 1 PT student and 1 PM&R resident

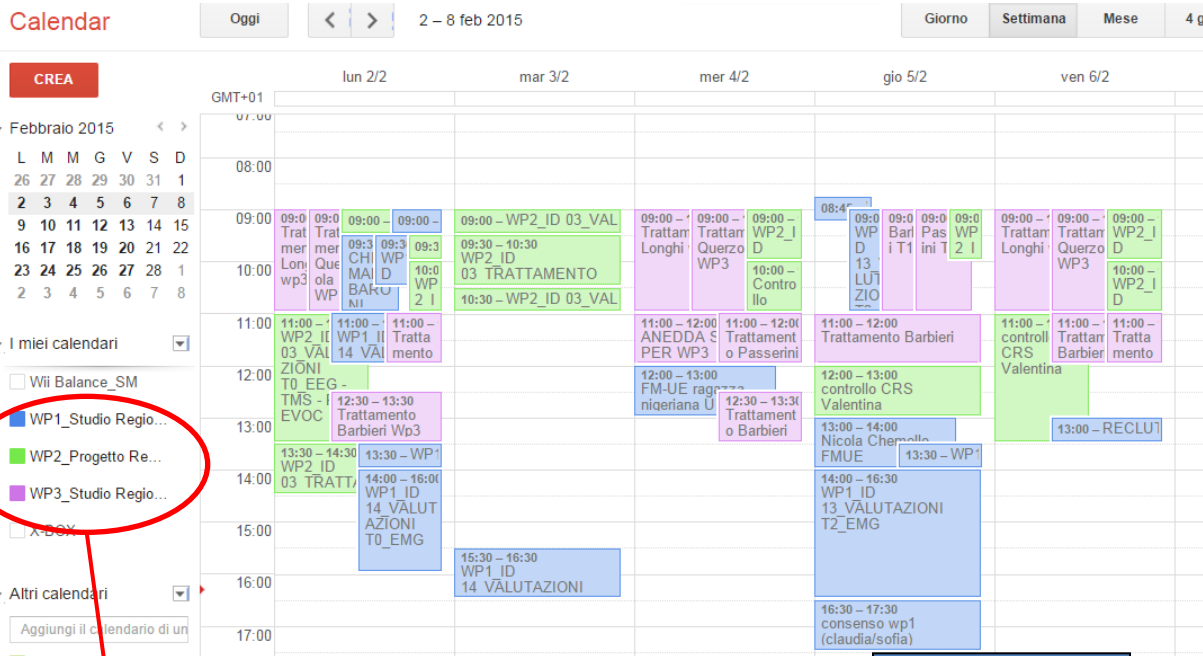
# Research Units Leaders

**RU1: Neuroscience and Rehabilitation Department – Rehabilitative Medicine Section, Ferrara University Hospital (Prof. Nino Basaglia)** is responsible of the coordination of clinical research activities (recruitment, clinical assessment and treatments) and communication with other research units involved in neurophysiological assessments.

**RU4: Advanced Therapy Laboratory and Morphology and Embryology Department, Ferrara University (Prof. Paola Secchiero)** is responsible of the blood storage and coordination of pre-clinical RUs.

The activities of the aforementioned units allow a high degree of interactions among research groups favoured by frequent contacts between project leaders and staff meetings.

# Communications tools



subject scheduling  
(mailed to the trial team)

calendars (wp1, wp2, wp3)  
with subjects scheduling  
(shared with the trial team)

|   | Settimana 1<br>(T0)     | Settimana 2 | Settimana 3<br>(T1)     | Settimana 4 | Settimana 5 | Settimana 6<br>(T2)     | FU 6 mesi<br>(T3)       |
|---|-------------------------|-------------|-------------------------|-------------|-------------|-------------------------|-------------------------|
| <b>DE GIOIA REBERTINA</b>                                 |                         |             |                         |             |             |                         |                         |
| <b>Prelievi</b><br>AMB. 2<br>tel. 0532-238721             | 07/10/2014<br>ORE 8:45  |             | 28/10/2014<br>ORE 8:45  |             |             | 19/11/2014<br>ORE 8:45  | 18/05/2015<br>ORE 8:45  |
| <b>Valutazioni CLINICHE</b><br>AMB. 6<br>tel. 0532-238720 | 07/10/2014<br>ORE 10:30 |             | 28/10/2014<br>ORE 9:00  |             |             | 19/11/2014<br>ORE 9:00  | 18/05/2015<br>ORE 9:00  |
| <b>Valutazione TMS</b><br>AMB. 3<br>tel. 0532-238739      | 07/10/2014<br>ORE 9:00  |             | 28/10/2014<br>ORE 10:30 |             |             | 19/11/2014<br>ORE 10:30 | 18/05/2015<br>ORE 10:30 |
| <b>Valutazioni NIRS</b><br>AMB. 3<br>tel. 0532-238739     | 07/10/2014<br>ORE 14:00 |             | 28/10/2014<br>ORE 14:00 |             |             | 19/11/2014<br>ORE 14:00 | 18/05/2015<br>ORE 13:30 |
| <b>Valutazione EMG</b><br>AMB. 7 LAM<br>tel. 0532-238716  | 07/10/2014<br>ORE 14:30 |             |                         |             |             | 24/11/2014<br>ORE 14:30 | 18/05/2015<br>ORE 14:00 |
| <b>Riabilitazione</b>                                     |                         |             |                         |             |             |                         |                         |
| Rec-go  | INIZIO: 08/10/2014      |             |                         |             |             | FINE: 18/11/2014        |                         |
| Convenzionale   | INIZIO: 08/10/2014      |             |                         |             |             | FINE: 18/11/2014        |                         |

# Brain Plasticity Lab



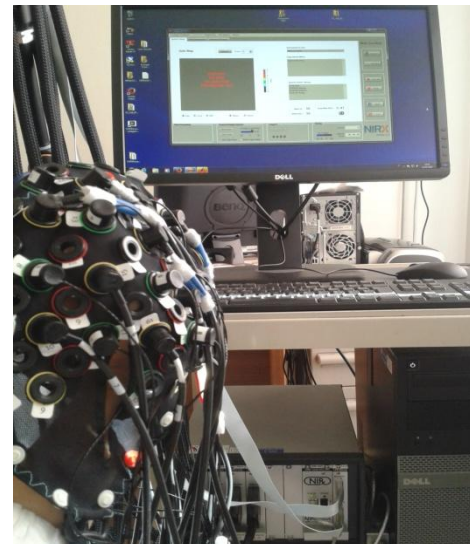
EEG Workspace



TMS Workspace



Clinical evaluation tools



NIRS Workspace

# Laboratory Facilities and main Equipments

The laboratories of RU#4, #5 and #6 are part of the Interdepartmental Center of the “**Laboratory of Technology for Advanced Therapies**” of the University of Ferrara

(<http://ltta.tecnopoloferrara.it>).



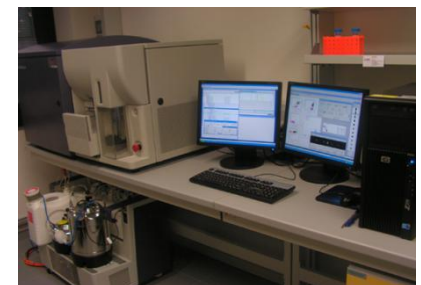
Location:  
"Il CUBO"  
Via Fossato di Mortara, 70  
44124 Ferrara

The Center is divided into independent laboratory units equipped for:

- a) cell culture and cell manipulation;
- b) biologic bank and cryopreservation;
- c) multiparametric flow Cytometry immunophenotyping and cell sorting;
- d) molecular biology studies;
- e) protein analyses and purification;
- f) advanced microscopy analysis and proteomic studies.



BioBank Facility



FACS Facility

# LTTA BioBank Facility

The entire infrastructure has been conceived and deployed in order to guarantee standards of high availability and security.

## The technologies and expertise available at the BioBank facility allow:

- the purification of primary cell lines from blood and different tissue specimens and their morphological/functional characterization;
- the labeling of samples with specific barcode allowing the appropriate record and tracking of the samples;
- the cryopreservation at  $-150^{\circ}\text{C}$  in tanks for vapor-phase nitrogen storage for cells and at  $-80^{\circ}\text{C}$  for plasma/serum samples.





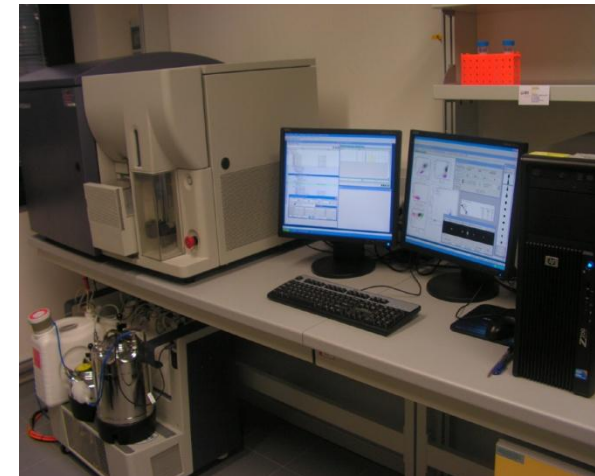
# LTTA FACS Facility

The LTTA FACS facility consists of a Becton-Dickinson Cell sorter FACS Aria, a Becton-Dickinson FACS Calibur and a Beckman Coulter Epics XL MCL.

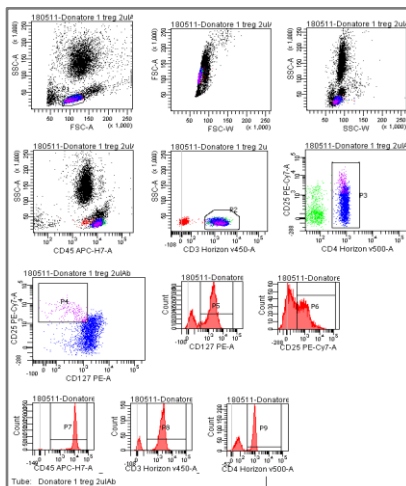
The facility is managed by flow cytometry experts and was established to support biosciences research by providing capabilities which individual labs might not otherwise have access to.



Beckman Coulter Epics XL MCL



Cell Sorter BD FACSAria II



Multiparametric-Treg Analysis



BD FACSCalibur

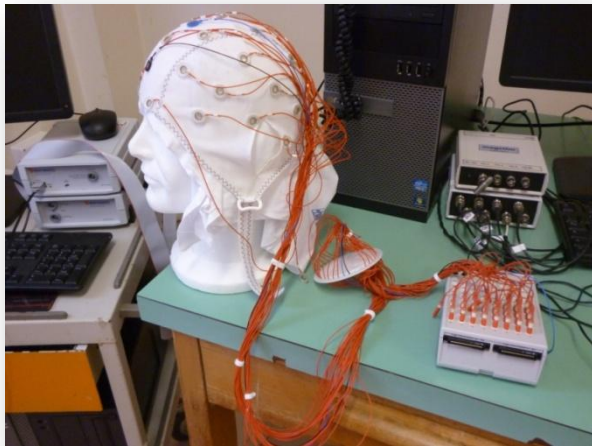
# New Neurophysiological and Rehabilitative equipment



Hand FES (Bioness H200)



Single pulse TMS (MagStim 200)



EEG 32 channels (BrainAmp DC)



NIRS 16 +16 (NIRx Scout)

# San Giorgio facility



Motion Analysis Lab



Robot-assisted gait training



Upper Limb Robotic Device



tDCS

# Collaborations

Giacomo Severini, PhD

Paolo Bonato, PhD

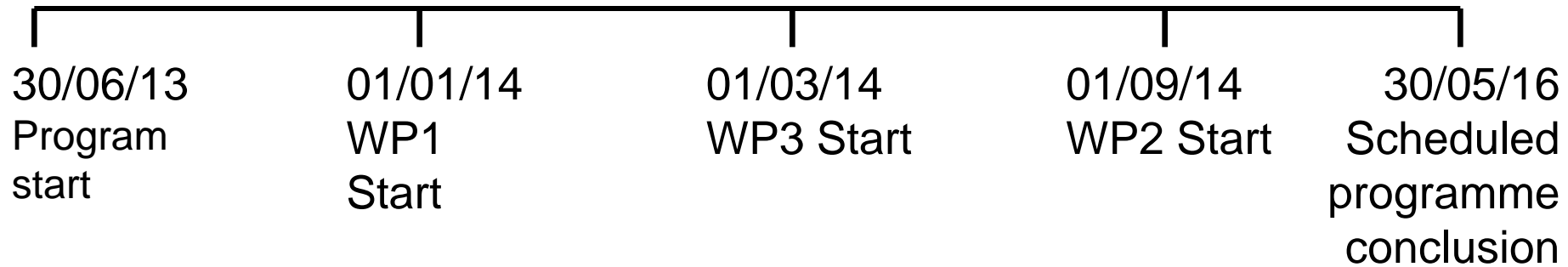
Motion Analysis Laboratory,  
Spaulding Rehabilitation Hospital  
Harvard Medical School, Boston, MA



Motion Analysis Lab

# **Enrollment subjects and Data collecting**

# Patients enrollment timeline



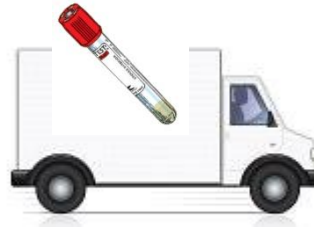
# Research pathway

RU1

RU4



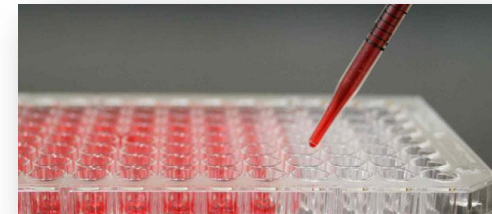
*Blood sample*



*Assessments*



*flow-cytometry analyses*



*16 aliquots*



*Biobank*

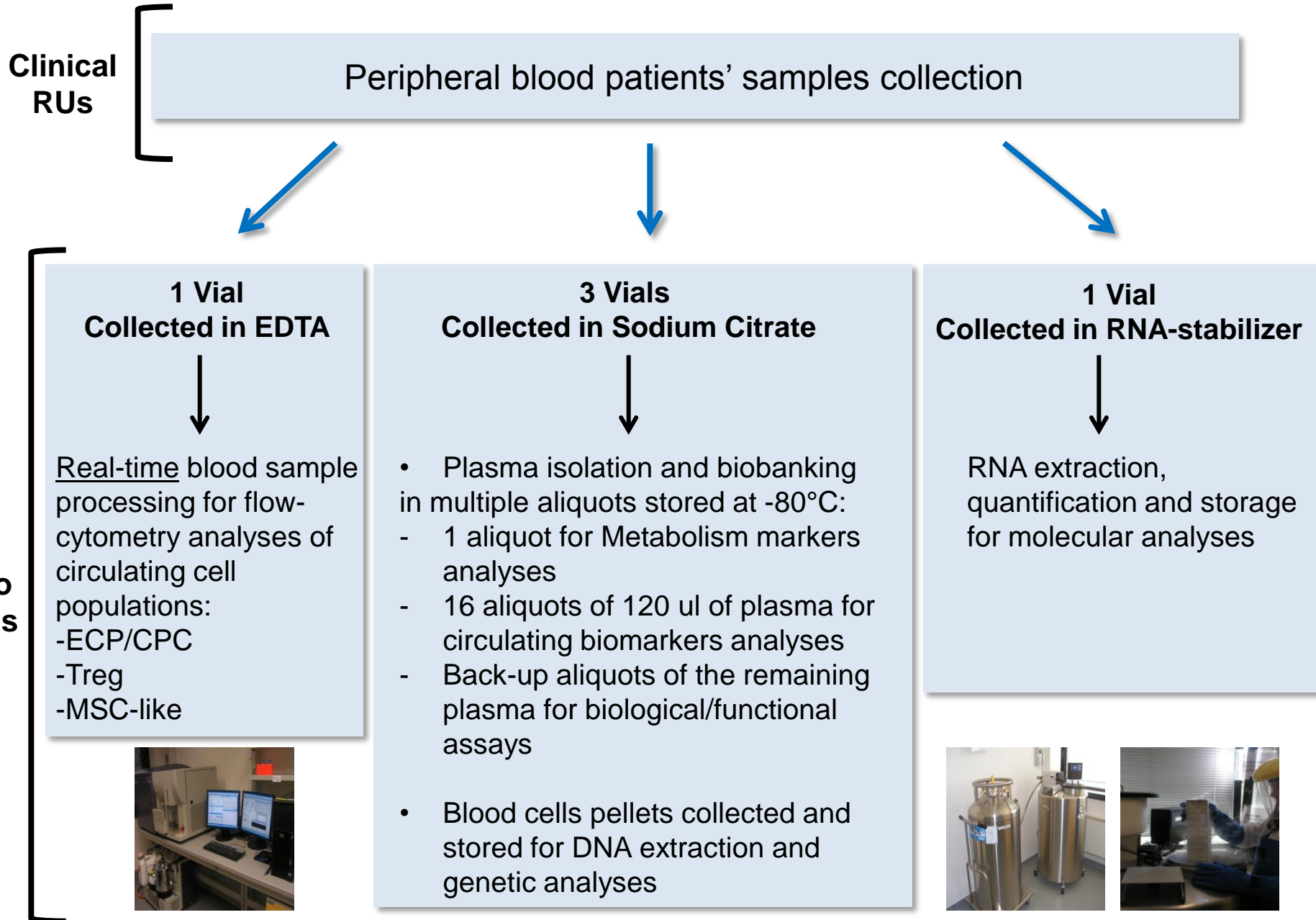


*Neurotrophic  
Coagulation  
Factors  
Cytokines  
Chemokines*



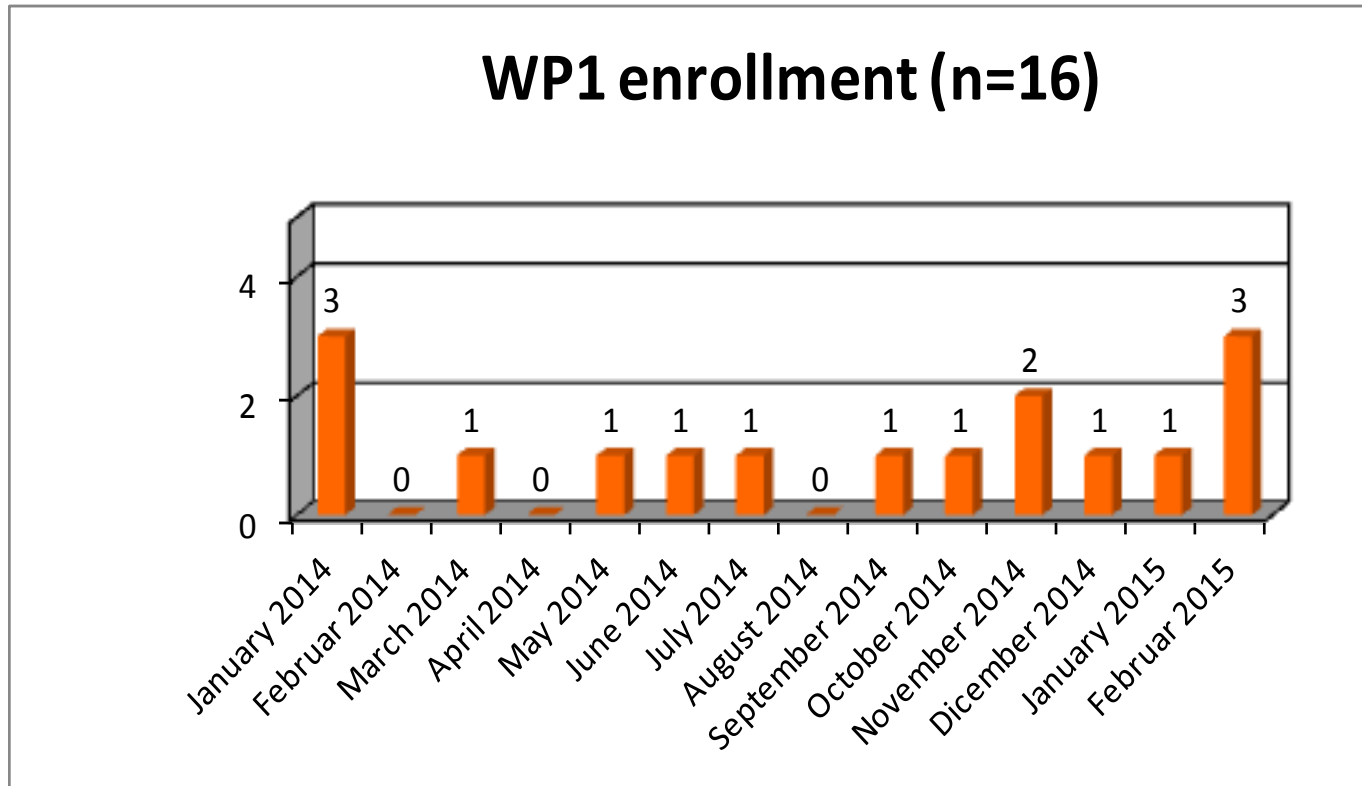
*Treatment*

# Biological Samples Flow-chart Collection and processing

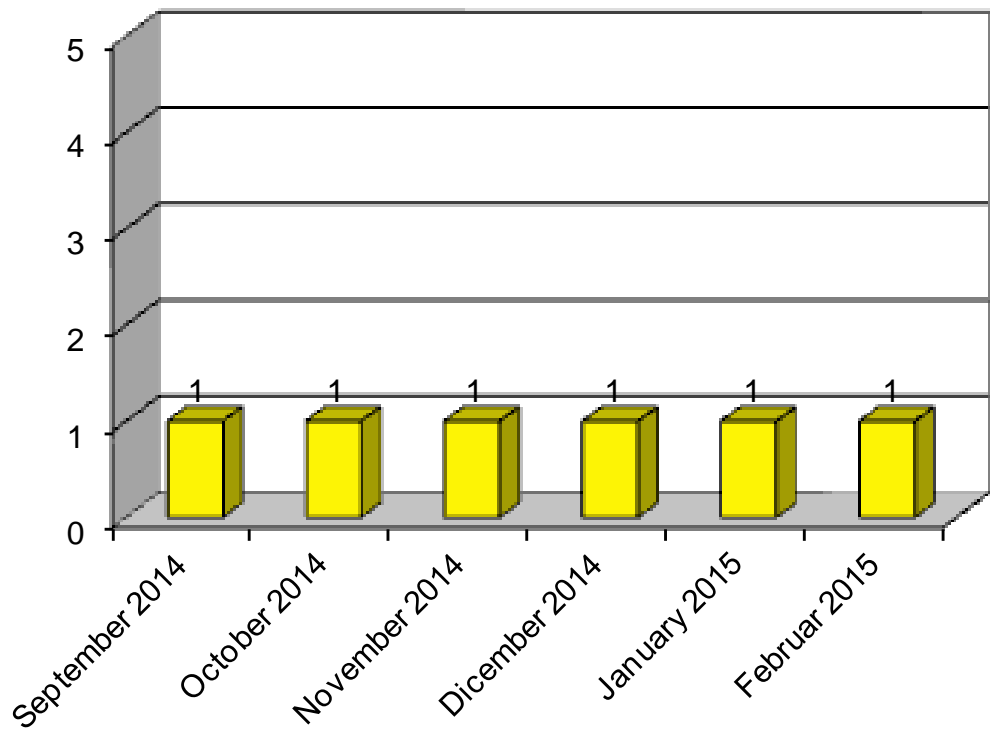




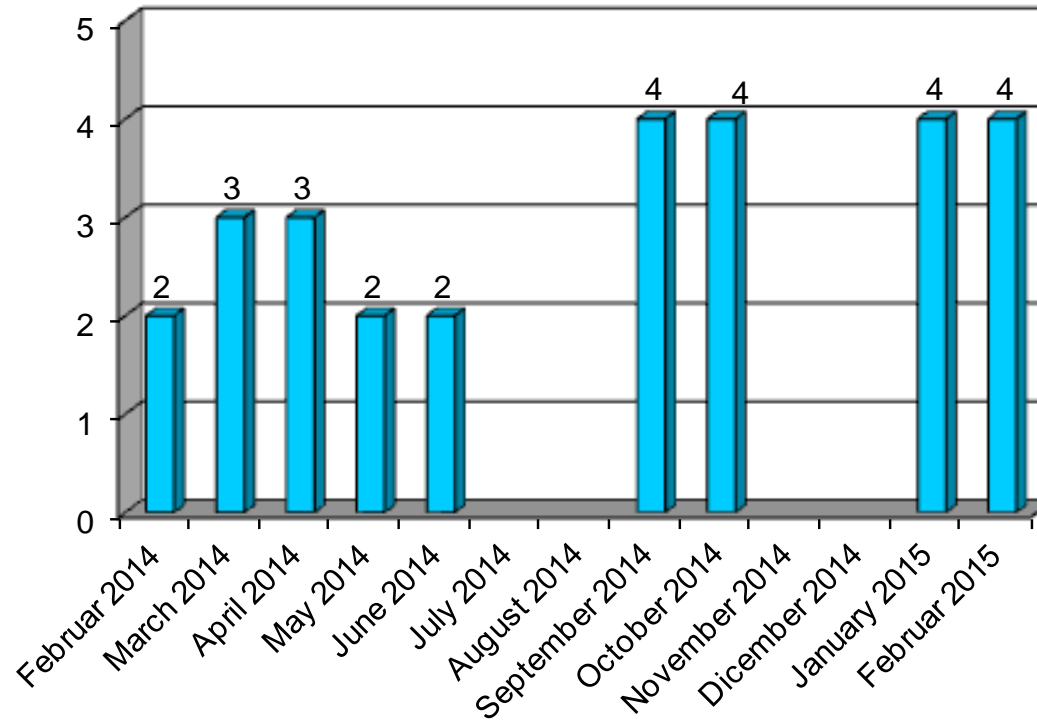
# Subjects' enrollment



# WP2 enrollment (n=6)



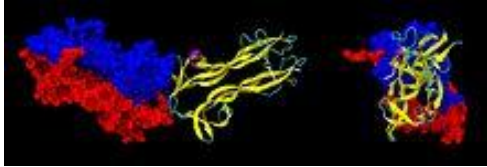
## WP3 enrollment (n=28)



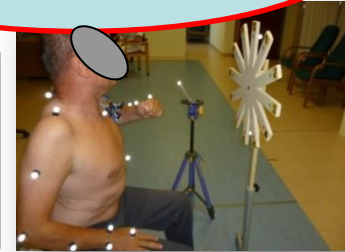
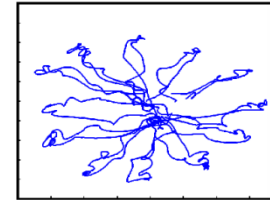
Waiting List: 25 patients

# Measures

Circulating Biomarkers



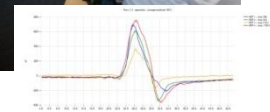
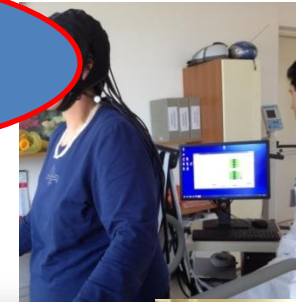
Upper Extremity Motor Synergies



Functional Tests



Brain Plasticity  
(fNIRS, EEG, TMS)



# First set of antigens selected

## for Circulating Biomarkers Screening by Luminex Technology

### Pre-costumed panels

#### Human Angiogenesis / Growth Factor

|                  |                                |
|------------------|--------------------------------|
| Angiopoietin-2   | HB-EGF                         |
| BMP-9            | HGF                            |
| EGF              | IL-8/CXCL8                     |
| Endoglin         | Leptin                         |
| Endothelin-1     | Placental Growth Factor (PLGF) |
| FGF-1/FGF-acidic | VEGF-A                         |
| FGF-2/FGF-basic  | VEGF-C                         |
| Follistatin      | VEGF-D                         |
| G-CSF            |                                |

#### Human Neurodegenerative Disease Panel 3

|             |               |
|-------------|---------------|
| BDNF        | PAI-1 (total) |
| Cathepsin D | PDGF-AA       |
| sICAM-1     | PDGF-AB/BB    |
| MPO         | RANTES        |
| sNCAM       | sVCAM-1       |

#### Human Cytokine / Chemokine Panel I

|                     |                        |
|---------------------|------------------------|
| sCD40L              | IL-9                   |
| EGF ♦               | IL-10 ♦                |
| Eotaxin/CCL11 ♦     | IL-12 (p40) ♦          |
| FGF-2/FGF-basic     | IL-12 (p70) ♦          |
| Flt3 ligand         | IL-13 ♦                |
| Fractalkine /CX3CL1 | IL-15 ♦                |
| G-CSF ♦             | IL-17A ♦               |
| GM-CSF ♦            | IP-10/CXCL10 ♦         |
| GRO                 | MCP-1/CCL2 ♦           |
| IFN $\alpha$ 2 ♦    | MCP-3/CCL7             |
| IFN $\gamma$ ♦      | MDC/CCL22              |
| IL-1 $\alpha$ ♦     | MIP-1 $\alpha$ /CCL3 ♦ |
| IL-1 $\beta$ ♦      | MIP-1 $\beta$ /CCL4 ♦  |
| IL-1ra ♦            | PDGF-AA $\Delta$       |
| IL-2 ♦              | PDGF-AB/BB $\Delta$    |
| IL-3 ♦              | RANTES/CCL5 ♦ $\Delta$ |
| IL-4 ♦              | TGF $\alpha$           |
| IL-5 ♦              | TNF $\alpha$ ♦         |
| IL-6 ♦              | TNF $\beta$ /LTA ♦     |
| IL-7 ♦              | VEGF-A ♦               |
| IL-8/CXCL8 ♦        |                        |

#### Human CVD Panel 4

|                   |                    |
|-------------------|--------------------|
| sE-Selectin       | Pentraxin-3 (PTX3) |
| Follistatin (FST) | Tissue Factor (TF) |
| dPAPP-A           | Thrombomodulin     |
| sPECAM-1          | Troponin T (TnT)   |

#### Human Cytokine / Chemokine Panel II

|                            |                                 |
|----------------------------|---------------------------------|
| 6Ckine/CCL21               | I-309/CCL1                      |
| BCA-1/CXCL13               | LIF                             |
| CTACK/CCL27                | MCP-2/CCL8                      |
| ENA-78/CXCL5               | MCP-4/CCL13                     |
| Eotaxin-2/CCL24/<br>MPIF-2 | MIP-1 $\delta$ /MIP-5/<br>CCL15 |
| Eotaxin-3/CCL26            | SCF                             |
| IL-16                      | SDF-1/CXCL12                    |
| IL-20                      | TARC/CCL17                      |
| IL-21                      | TPO                             |
| IL-23                      | TRAIL/TNFSF10                   |
| IL-28A                     | TSLP                            |
| IL-33/NF-HEV<br>(mature)   |                                 |

### Selected single antigens

IGFBP3

IGF-1

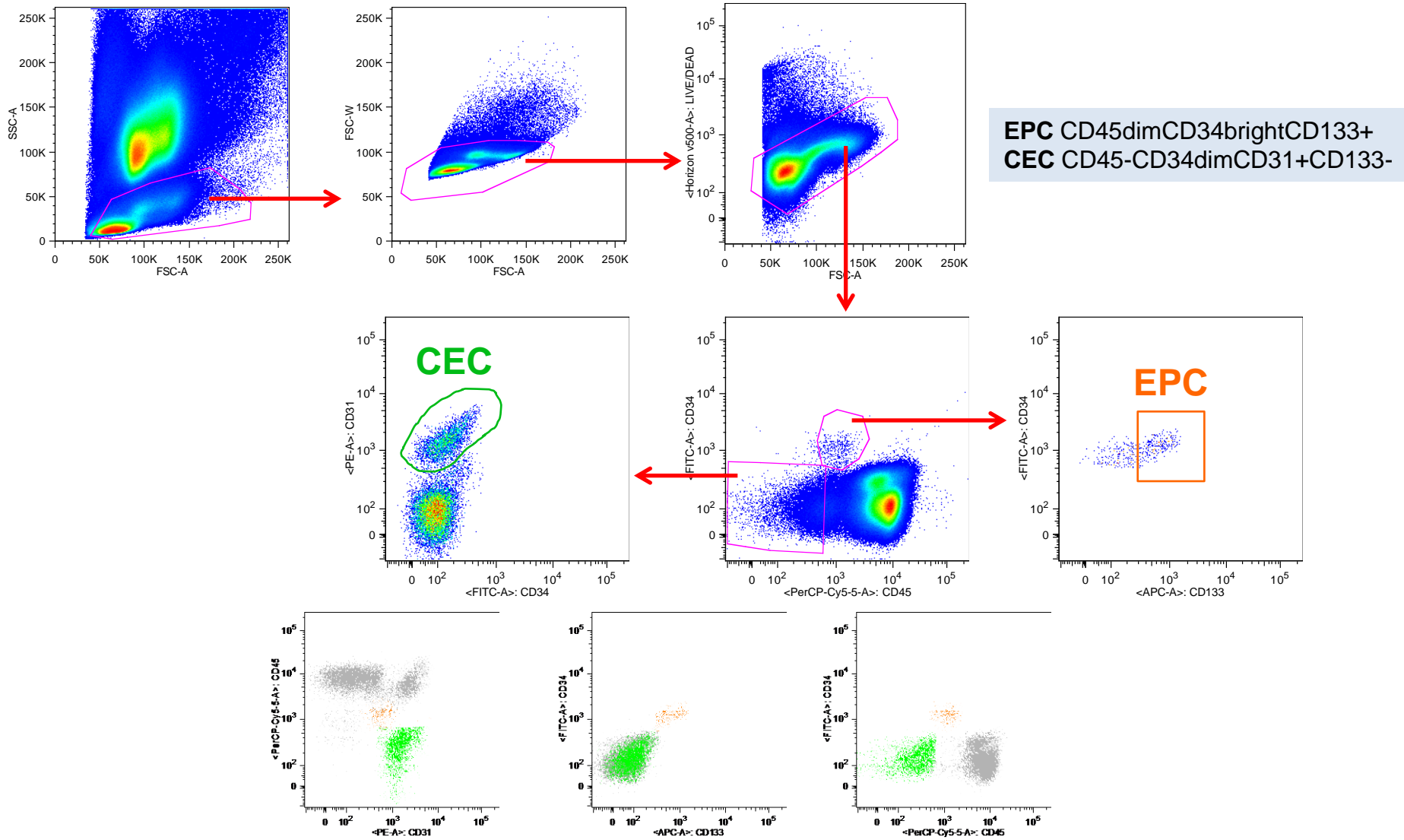
Thrombospondin-1 (TSP-1)

Osteopontin (OPN)

Adiponectin

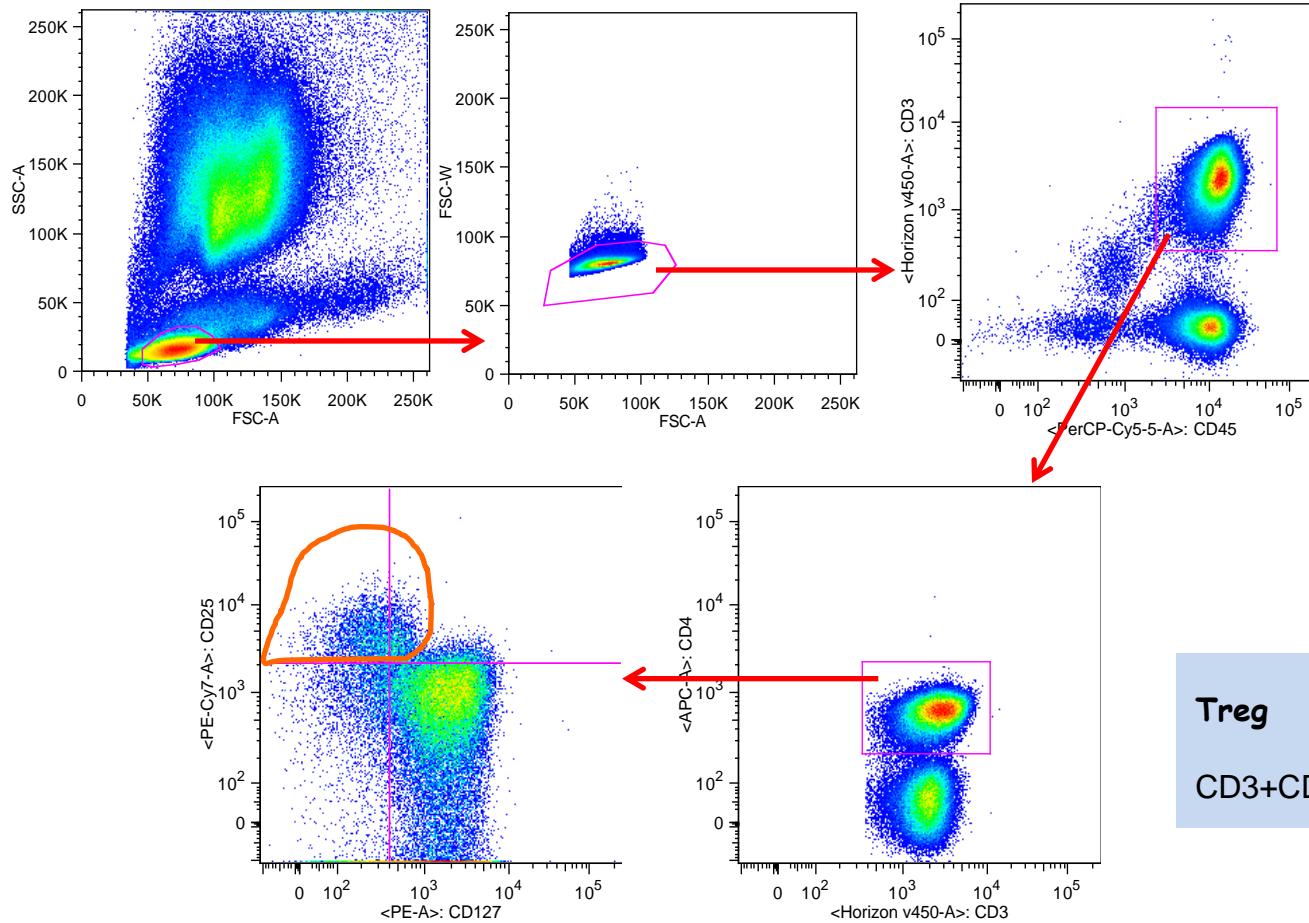
# Circulating Cells Population Analyses

## 1. Circulating Endothelial Cells (CEC) and Endothelial Progenitors Cells (EPC) Gating Strategy (Duda G et al. Nat Protoc. 2007)



# Circulating Cells Population Analyses

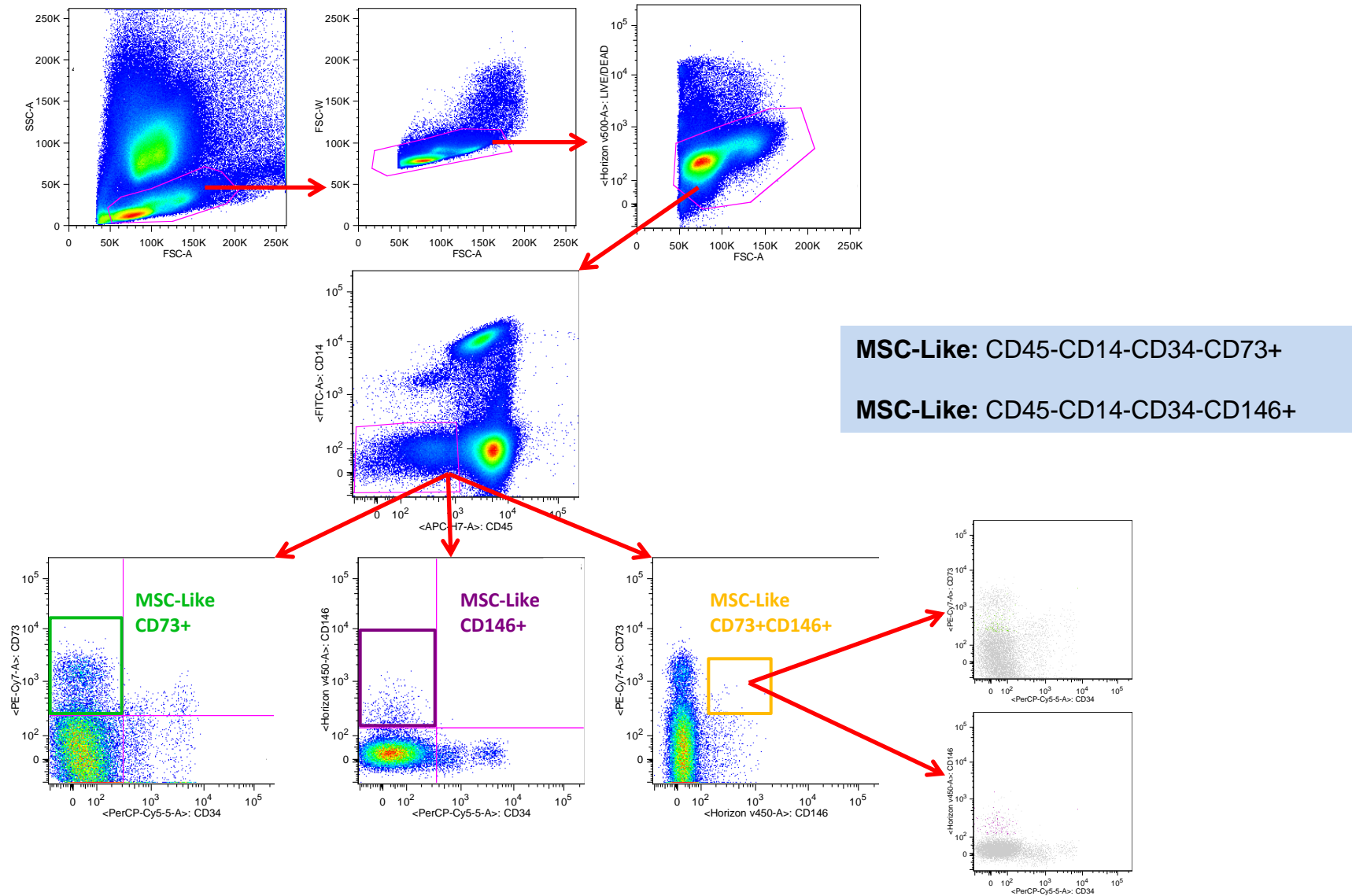
## 2. T regulatory cells (Treg) Gating Strategy (Liu W. et al., J Exp Med. Jul 10, 2006)



**Treg**  
CD3<sup>+</sup>CD45<sup>+</sup>CD4<sup>+</sup>CD25<sup>+</sup>CD127<sup>-</sup>

# Circulating Cells Population Analyses

## 3. Mesenchymal Stem Cell-Like (MSC-Like) Gating Strategy





# Interventions



Robot-assisted therapy  
Functional Electrical Stimulation (FES)  
tDCS  
High-intensity “conventional” therapy



# Results achieved (1)

The evaluation of the rehabilitation efficacy after a primary analysis on n=16 (wp1), n=6 (wp2) n=27 (wp3) revealed a positive effect of the treatments received

However, the cohorts of patients is too small to develop hypothesis about the efficacy and the difference between the treatments

At the moment, the following goals have been reached in all the studies:

- i. methodology of patients enrollment
- ii. definition and execution of the three protocols
- iii. clinical measures and questionnaires collection

# Results achieved (2)

Regarding clinical/instrumental and circulating biomarkers the following goals have been reached:

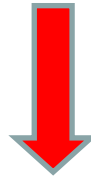
1. the establishment of a Biobank of biological samples from patients
2. the identification of a panel of circulating antigens/cell populations to be analyzed in biological samples collected
3. few preliminary data on correlation between clinical, circulating markers and recovery are available (i.e. UE- motor synergies, MEPs, progenitors cells)

# Strengths

1. high synergies between clinical and pre-clinical research groups
2. high adherence to treatments and low-rate drop-outs
3. no major adverse effects (falls, pain, other..)
4. subjects recruited from extra-ER regions (active mobility)

# Limits

- equipment and software acquisition (+ 6 months)
- subjects recruitment (i.e. subacute stroke survivors: 16 subjects enrolled out of 167 subjects screened or 6 chronic traumatic minimally conscious state disorders out of 60 disorders of consciousness)



1- year extension will be necessary to complete the strategic program

# Future goals

- Increase sample size
- Increase knowledge about biomarkers and networks that may be involved in recovery process



# Neurorehabilitation team

## **LTTA (Unife)**

Fabio Casciano, PhD  
Veronica Tisato, PhD  
Silvia Meneghetti, PhD  
Chiara Agnoletto, PhD  
Massimo Bonora, PhD  
Paola Secchiero, PhD  
Paolo Pinton, PhD  
Francesco Bernardi, PhD  
Giovanna Marchetti, PhD

## **Sez. Fisiologia (Unife)**

Sonia Mele, PhD  
Laila Craighero, PhD  
Saro Canto, MS

## **Motion Analysis Lab (HMS, Boston, MA)**

Giacomo Severini, PhD  
Paolo Bonato, PhD

## **Medicina Riabilitativa (Ferrara)**

Nino Basaglia, MD  
Sofia Straudi, MD  
Susanna Lavezzi, MD  
Valentina Buonsangue, MD  
Claudia Pavarelli, FT  
Carlotta Martinuzzi, FT  
Andrea Baroni, FT  
Amira Sabbagh Charabati, FT  
Marco da Roit, FT  
Daniela Ripa, FT  
Livio Balugani, FT  
Laura Di Marco, FT  
Donatella Marchetti, FT

Fabio Manfredini, MD  
Nicola Lamberti, PhD

Paolo Zamboni, MD

Andrea Montis, MD

## **Neuromodulation lab (HMS, Boston, MA)**

Felipe Fregni, MD, PhD





**Physical Medicine and Rehabilitation  
Neuroscience and Rehabilitation Department  
Ferrara University Hospital  
Ferrara, Italy**



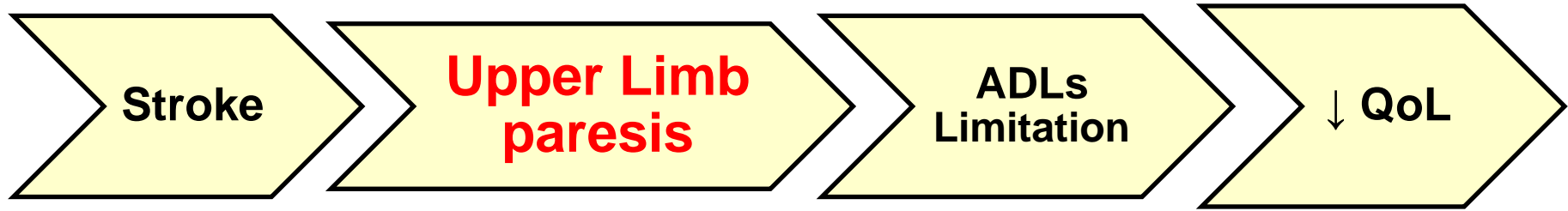


WP1: Scientific coordinator Sofia Straudi, MD

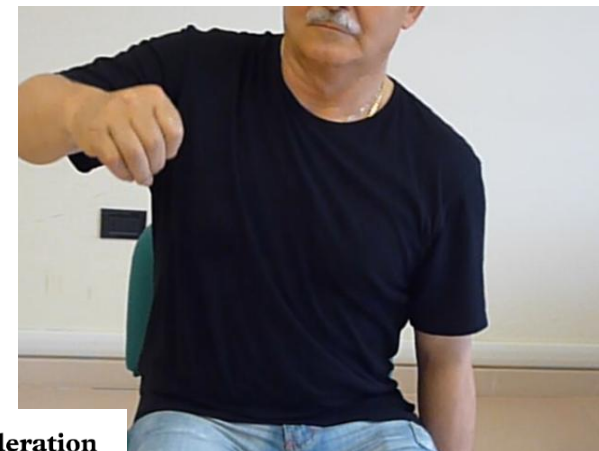
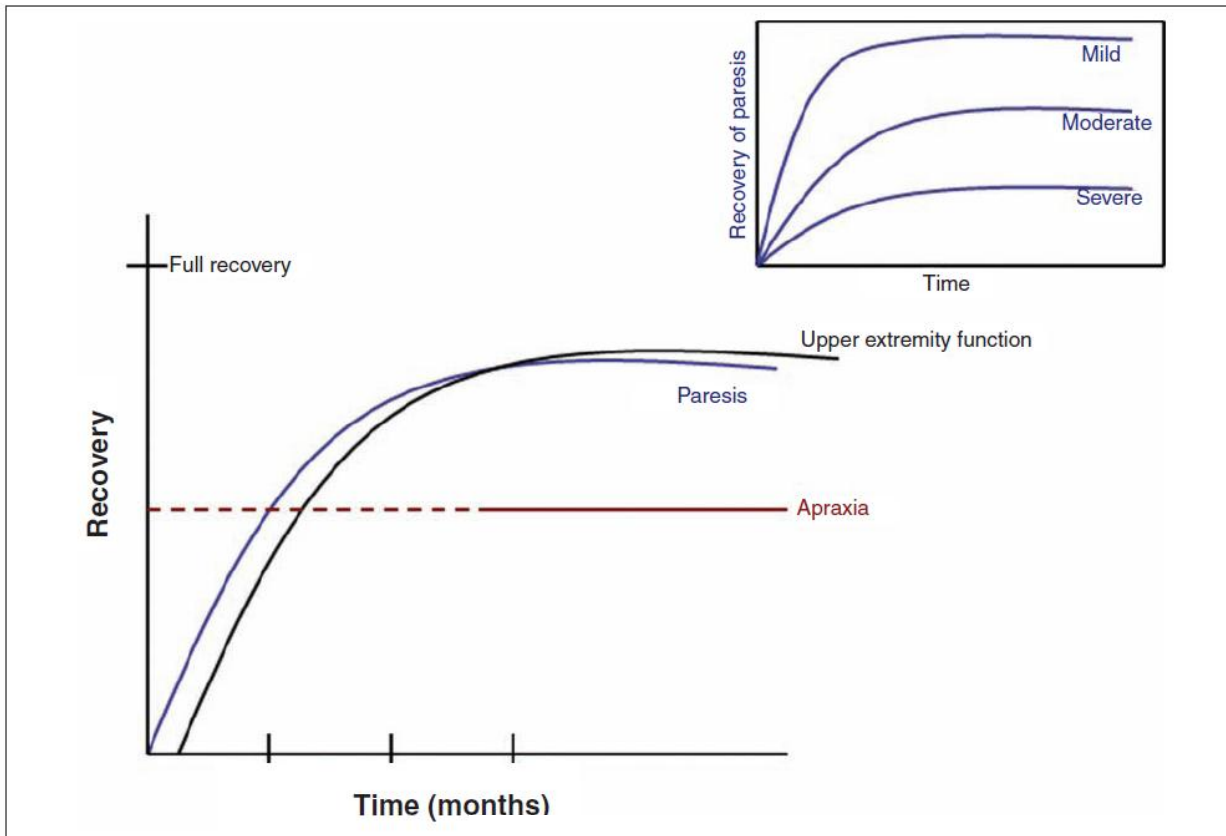
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# **The effects of repetitive arm training combined with functional electrical stimulation on upper extremity motor recovery in subacute stroke survivors**

Single center, single blinded, 2 arm-trial,  
conducted at PM&R Dept, Ferrara



**Recovery: fx (time / severity)**



**Impact of intensity of practice after stroke: Issues for consideration**

Figure 4. Schematic of time course of recovery of  
The dashed line for apraxia represents the current

# Primary aims

- to test the feasibility of an upper-arm intensive rehabilitation with the additional use of functional electrical stimulation during an early phase of rehabilitation in stroke subjects
- to test the hypothesis that this intervention could have higher benefit, compared with conventional therapy alone, in arm and hand function in sub-acute stroke subjects
- The evaluation of arm motor recovery will be performed by: i) clinical tests (i.e. Fugl-Meyer Assessment Score); ii) measures of motor cortical excitability (TMS) and cerebral perfusion (NIRS); (iii) muscle activation patterns during upper extremity movement (EMG)

# Secondary aims

- to assess the potential role of circulating biomarkers in revealing the effects of these interventions and their possible correlations with clinical and instrumental outcomes
- to transfer these findings into rehabilitative strategies

# Trial design (NCT02267798)

Ischemic stroke < 2 m  
Arm paresis (FM-UE >10 e <55)

RAT+SEF

ICT

100  
min/session  
5 times/week  
over 6 weeks

WP1  
STROKE SURVIVORS  
(n=64)

TRAINING

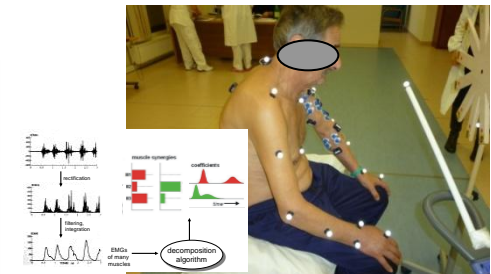
Time  
(weeks)

0

3

6

Follow-Up  
(week 30)



# Robot-assisted Upper Limb rehabilitation



intensive  
repetitive  
**task-specific**  
progressive  
providing feedback



ReoGo (Motorika) device:

End-effector connected with a monitor  
Multiplanar reaching movements

# Hand - FES



Motor recovery

Muscle  
strengthening

Cortical priming?

Sensory stimulation



H200 Wireless  
HandRehabilitation

REVIEW

Open Access

The influence of functional electrical stimulation on hand motor recovery in stroke patients: a review

Fanny Quandt<sup>1</sup> and Friedhelm C Hummel<sup>1,2\*</sup>

**Efficacy of electrical stimulation as an adjunct to repetitive task practice therapy on skilled hand performance in hemiparetic stroke patients: a randomized controlled trial**

Nevein MM Gharib, Ahmed M Aboumousa, Abeer A Elowishy, Soheir S Rezk-Allah and Fatma S Yousef

*Clin Rehabil* published online 14 August 2014

DOI: 10.1177/0269215514544131



# Clinical Outcome Measures



**Fugl-Meyer Upper Extremity (FMUE)**

**Wolf Motor Function Test (WMFT)**

**Modified Ashworth Scale (MAS)**

**Box and Block Test (BBT)**

**Motor Activity Log (MAL)**

**Barthel Index (BI)**

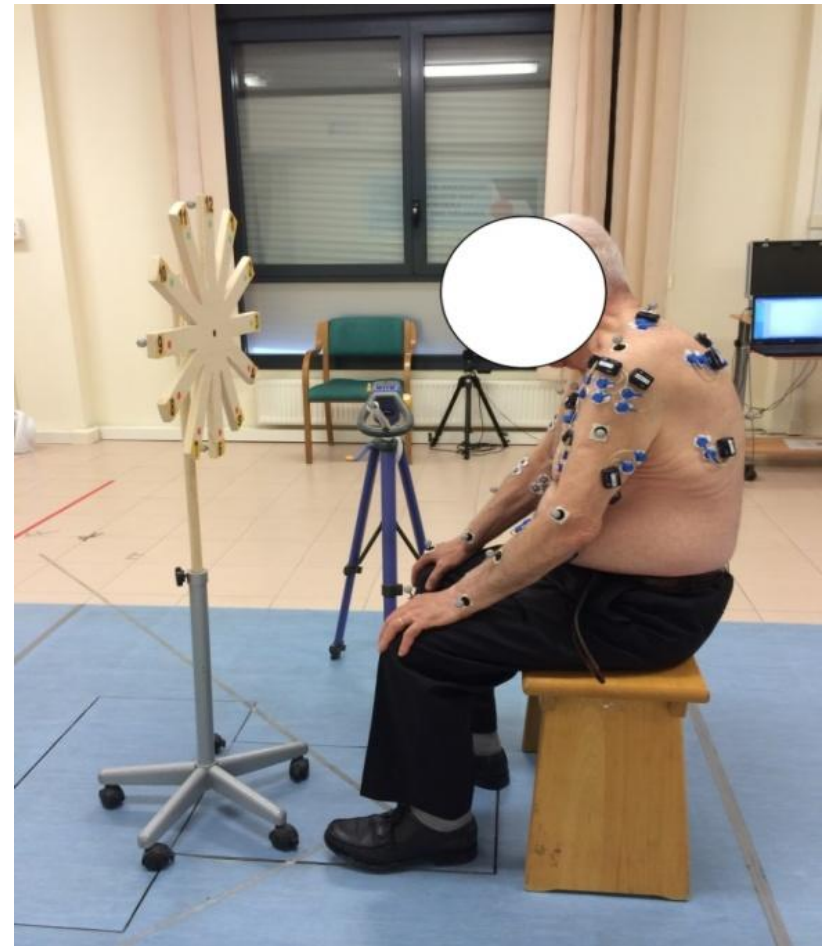
**Stroke Impact Scale 2.0 (Italian version)**



# UE – motor synergies

## Muscles recorded

- R1: infraspinatus
- R2: latissimus dorsi
- R3: superior trapezius
- R4: rhomboid major / medial trapezius
- R5: pectoralis major, clavicular head
- R6: deltoid, anterior part
- R7: deltoid, medial part
- R8: deltoid, posterior part
  
- L1: triceps, lateral head
- L2: biceps, short head
- L3: biceps, long head
- L4: brachialis
- L5: brachioradialis
- L6: pronator teres



## Muscle synergy patterns as physiological markers of motor cortical damage

Vincent C. K. Cheung<sup>a</sup>, Andrea Turolla<sup>b</sup>, Michela Agostini<sup>b</sup>, Stefano Silvoni<sup>b</sup>, Caoimhe Bennis<sup>c</sup>, Patrick Kasi<sup>c</sup>, Sabrina Paganoni<sup>c</sup>, Paolo Bonato<sup>c</sup>, and Emilio Bizzi<sup>a,1</sup>

<sup>a</sup>McGovern Institute for Brain Research and Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology, Cambridge, MA 02139;

<sup>b</sup>Istituto di Ricovero e Cura a Carattere Scientifico Fondazione Ospedale San Camillo, 30126 Lido di Venezia, Italy; and <sup>c</sup>Department of Physical Medicine and Rehabilitation, Harvard Medical School, Boston, MA 02114

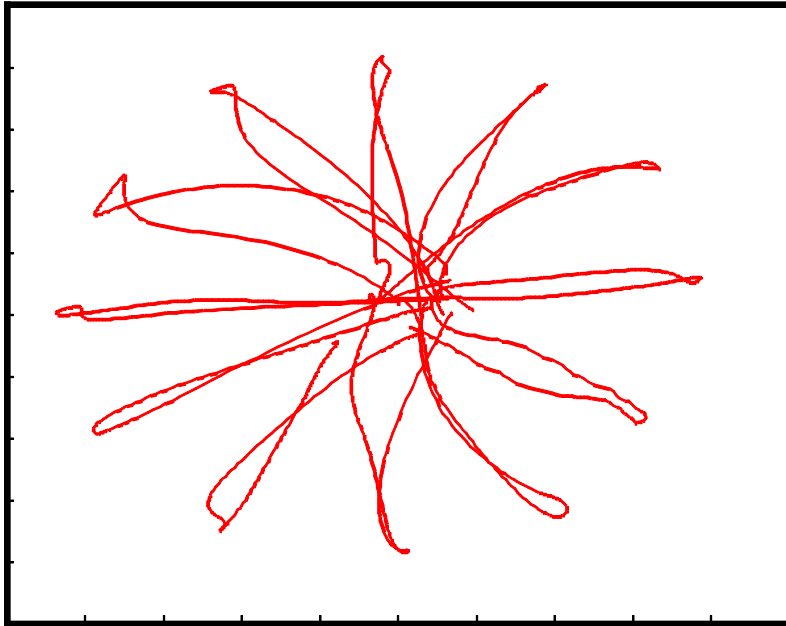
Contributed by Emilio Bizzi, July 16, 2012 (sent for review June 15, 2012)

# Reaching Trajectories



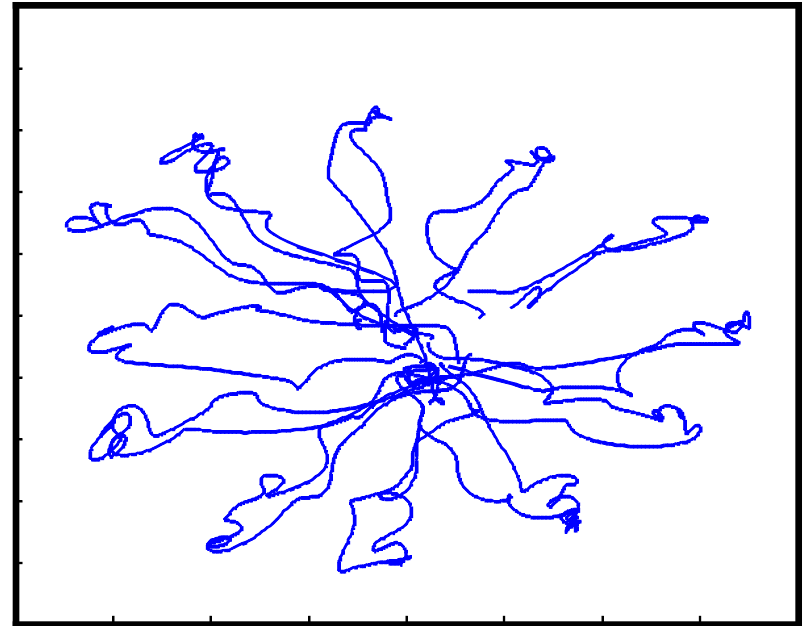
100 mm

Position (mm)

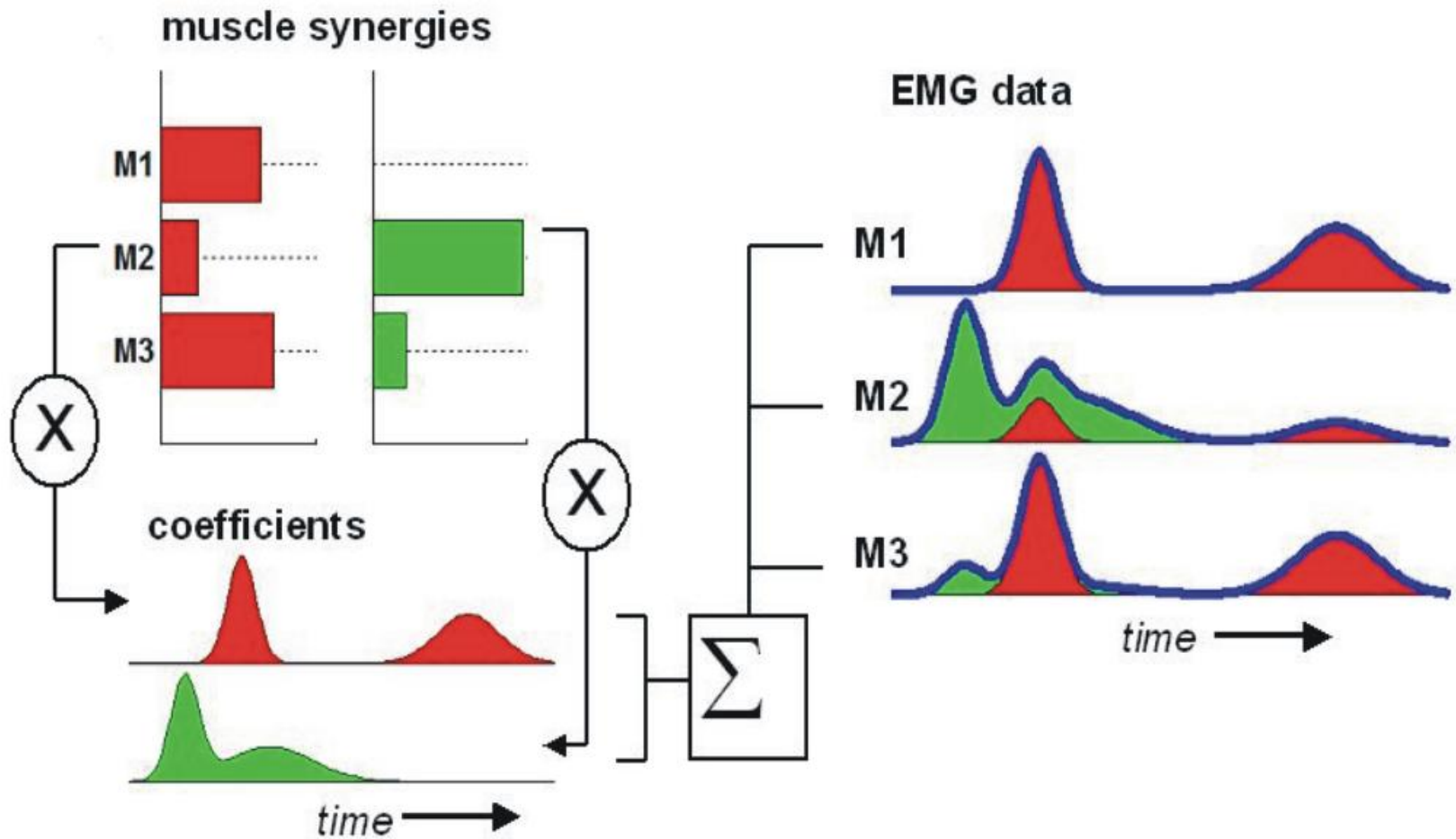


Position (mm)

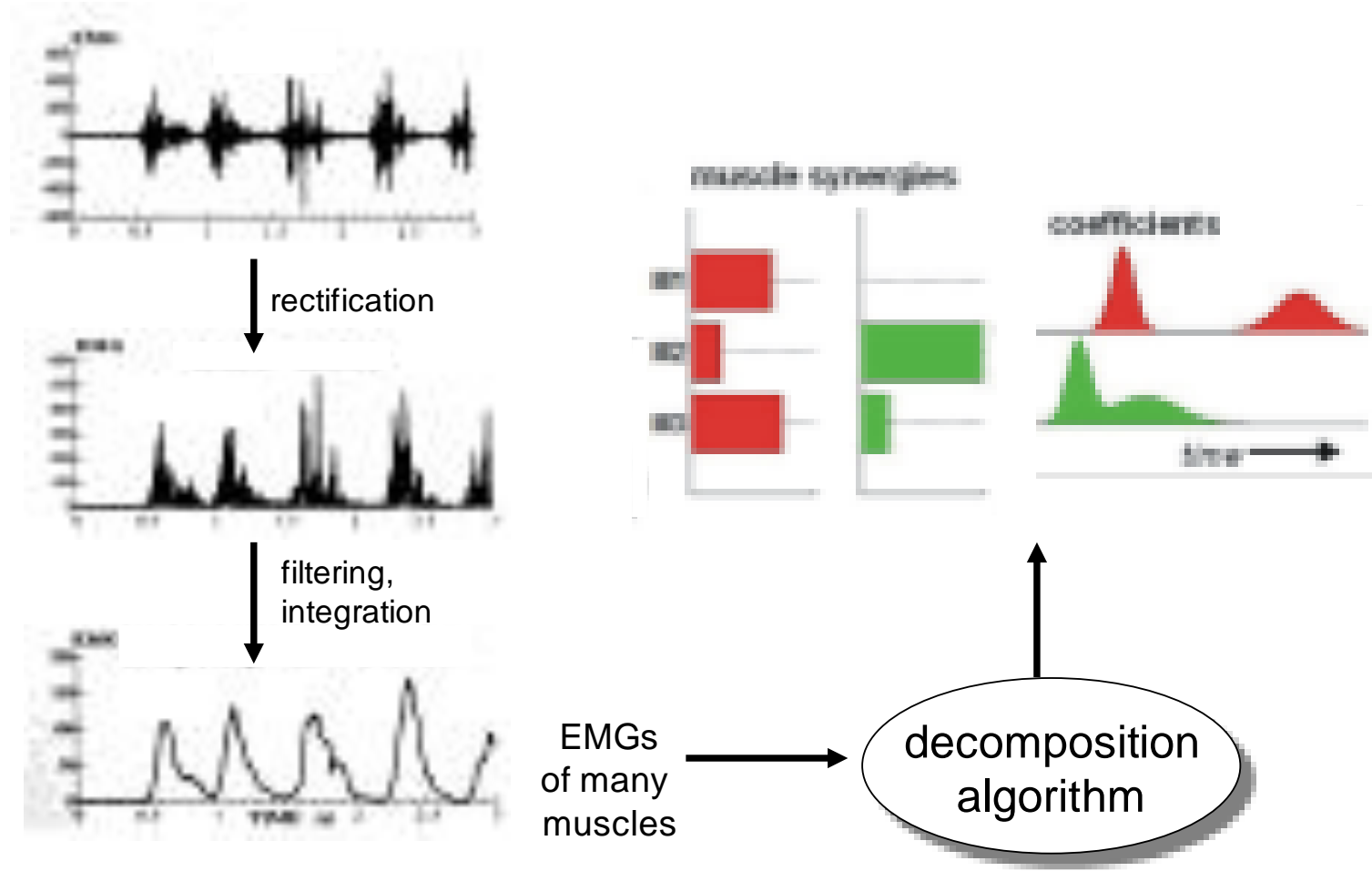
100 mm



# A Group of Muscles Controlled as a Single Unit



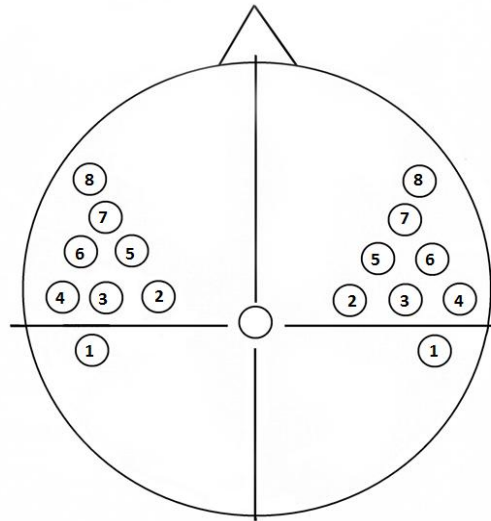
# Identifying Muscle Synergies



# Motor cortex excitability (TMS)



- I. Resting motor threshold (MT)
- II. mapping (8 points/each hemisphere) : 4 MEPs (110% MT)



- III. MEPs recruitment curve (110%, 130%, 150% di MT)

# fNIRS



NIRScout system

48 channels

16 sources – 16 detectors

Record of Oxygenated and Deoxygenated hemoglobin from M1 in both hemispheres.

Experimental condition:

x6  
times

Task: reaching and grasping 15"

Rest  
45"

Healthy forearm

x6  
times

Task: reaching and grasping 15"

Rest  
45"

Paretic forearm

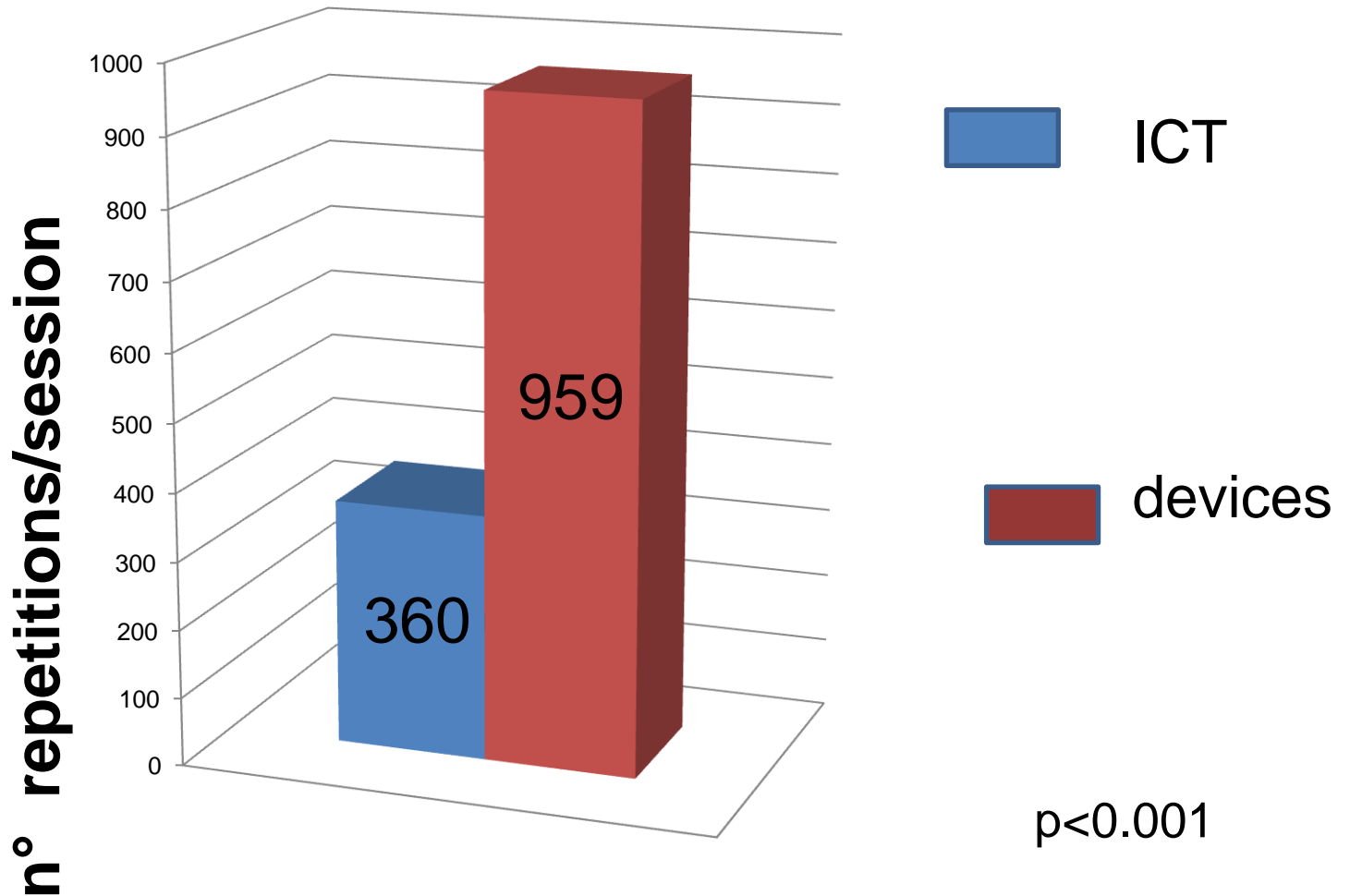


# Preliminary Results

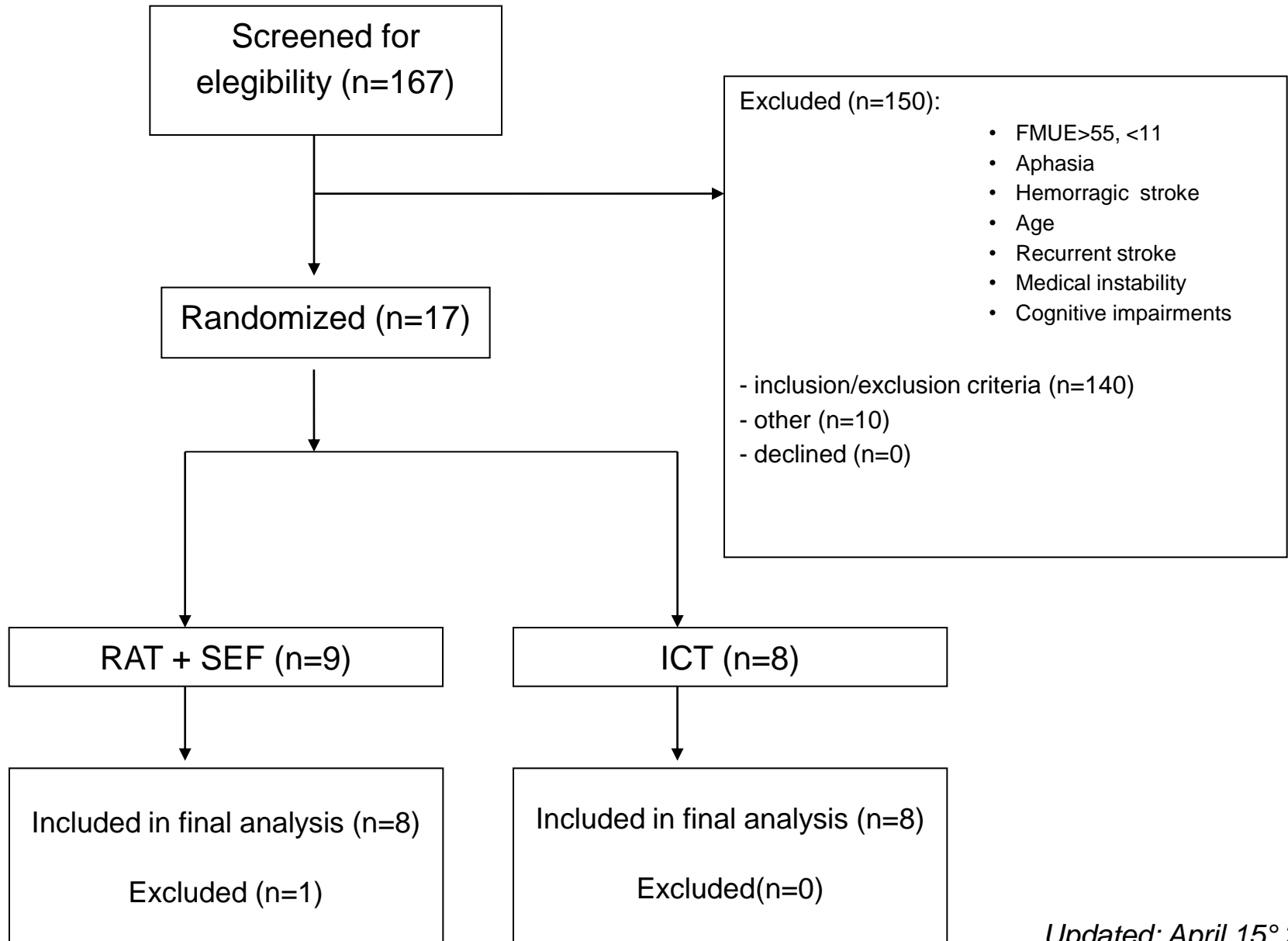


# Intensity

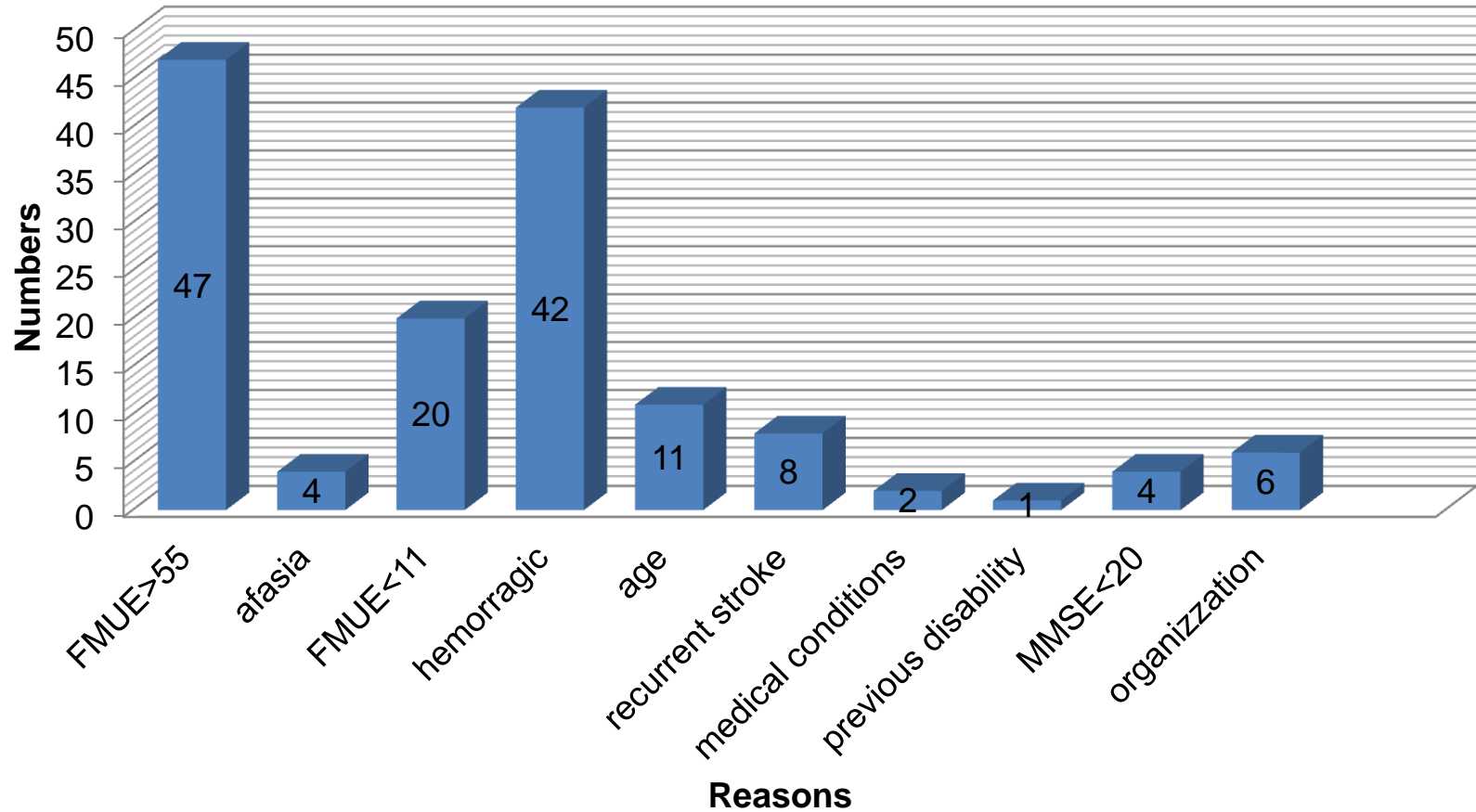
(n=60 sessions)



# CONSORT flow diagram



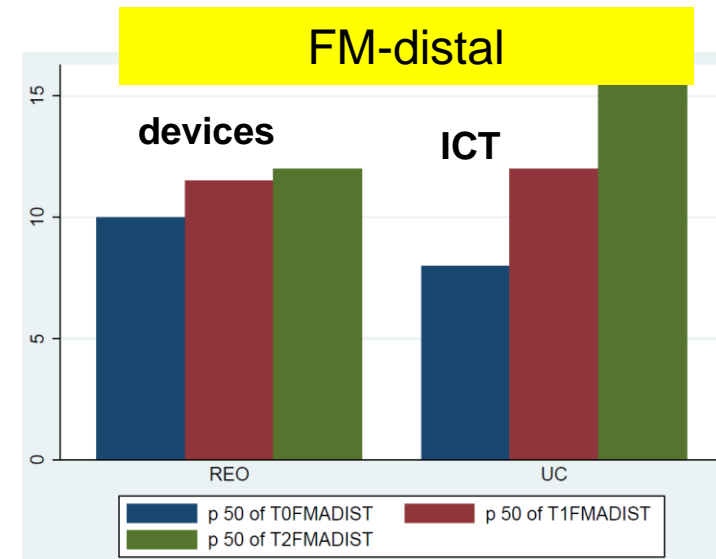
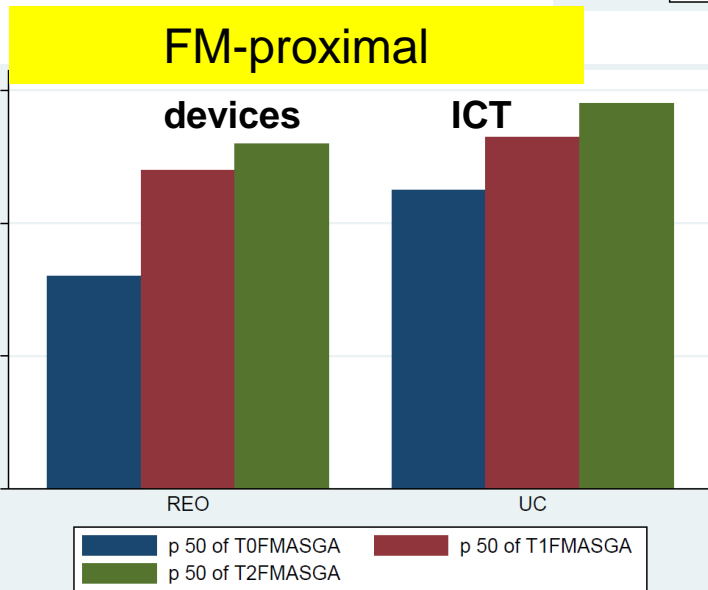
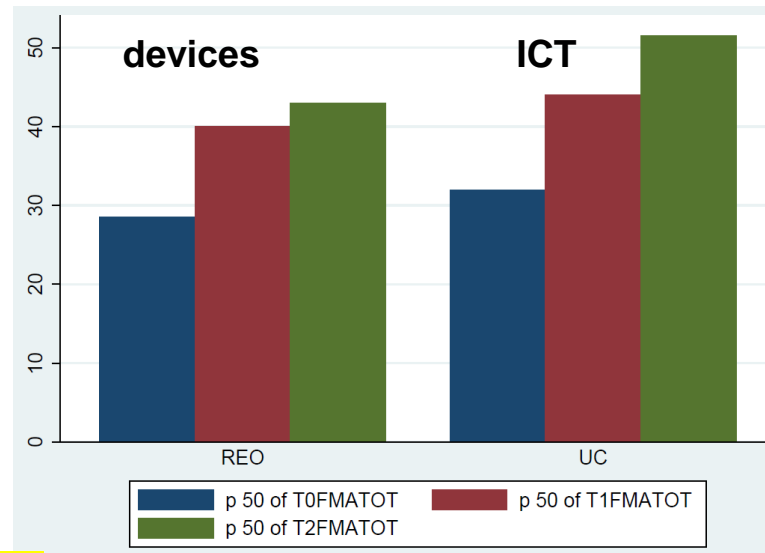
# Exclusion reasons



# Sample characteristics (n=16)

| Characteristics        | Sample             | RAT + SEF           | ICT                |
|------------------------|--------------------|---------------------|--------------------|
| subjects (n)           | 16                 | 8                   | 8                  |
| age (mean $\pm$ SD)    | (66.5 $\pm$ 10.46) | (65.38 $\pm$ 12.16) | (67.14 $\pm$ 9.77) |
| male (n)               | 9                  | 5                   | 4                  |
| female (n)             | 7                  | 3                   | 4                  |
| lacunar stroke (n)     | 10                 | 5                   | 1                  |
| big vassels stroke (n) | 6                  | 3                   | 3                  |
| Right hemiplegia (n)   | 5                  | 3                   | 2                  |
| Letf hemiplegia (n)    | 11                 | 5                   | 6                  |
| Stroke onset (days)    | 40.88              | 35.63               | 45.43              |
| Fibrinolysis yes (n)   | 3                  | 1                   | 2                  |
| Fibrinolysis no (n)    | 12                 | 6                   | 6                  |

# Primary outcome: FM-UE

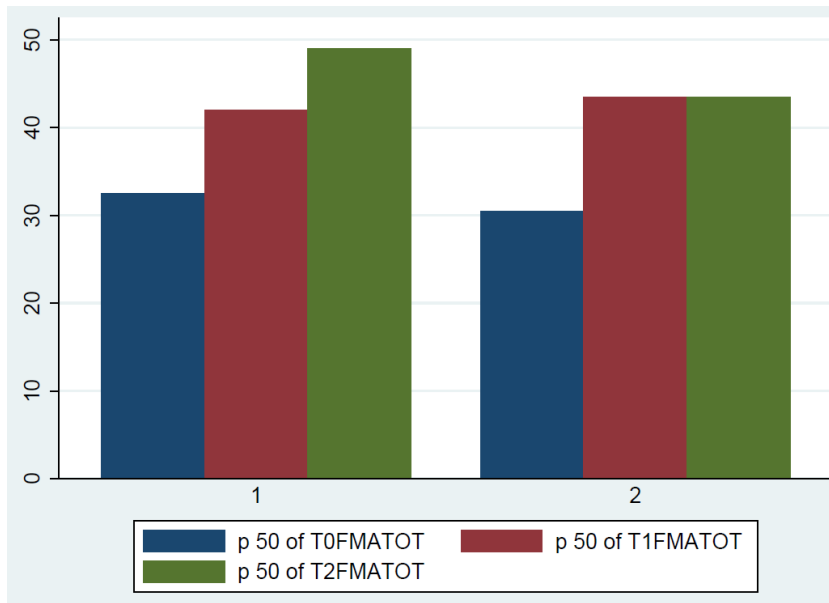


# FM-UE: time and impairment

## Early-Late

< 4 weeks

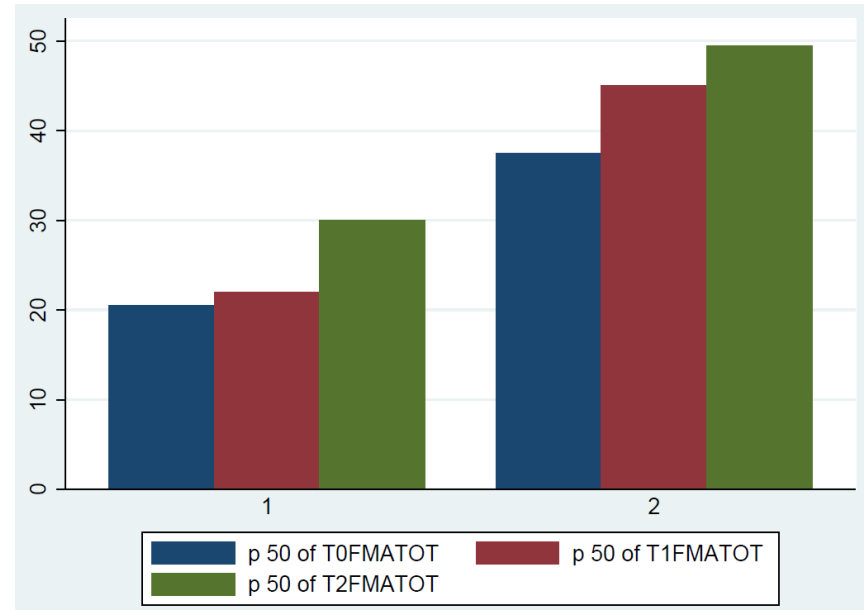
> 4 weeks



## Low-High functioning

< 30

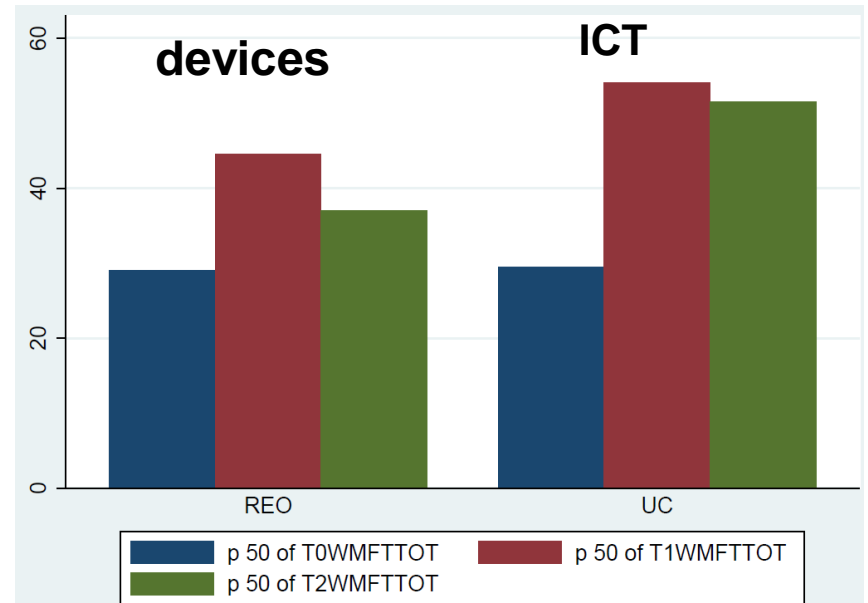
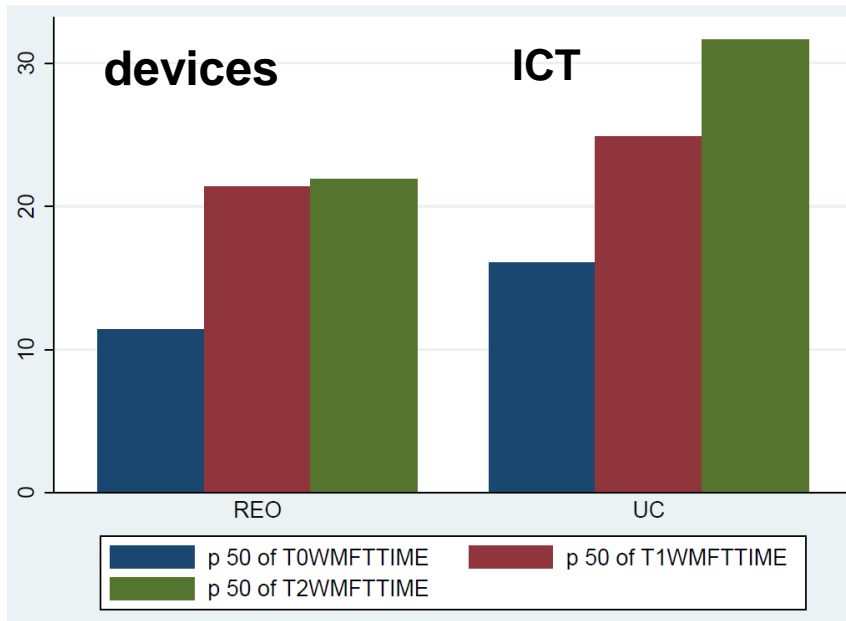
> 30



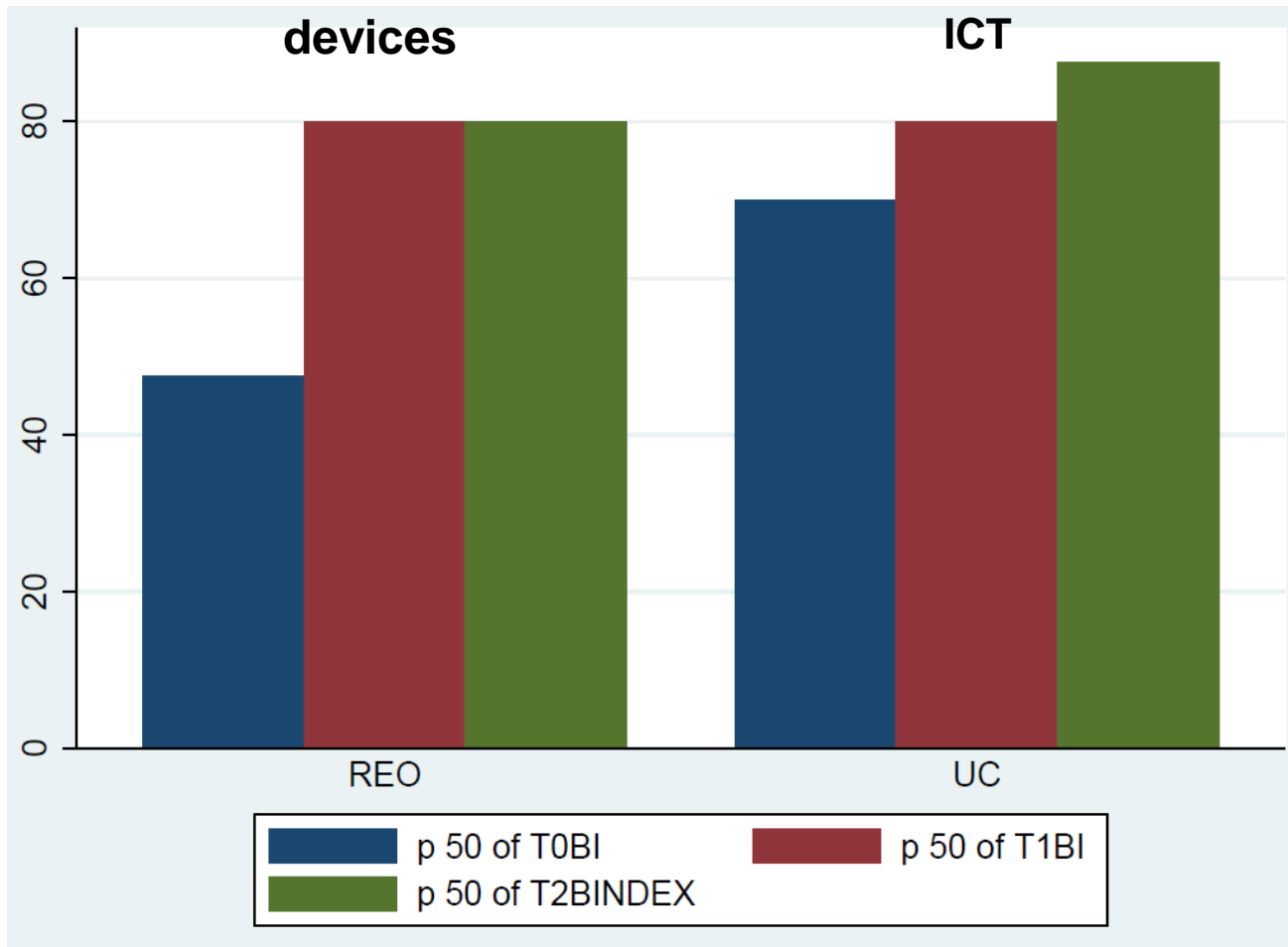
# Wolf Motor Function Test

rate: n tasks/60s

Quality of movements



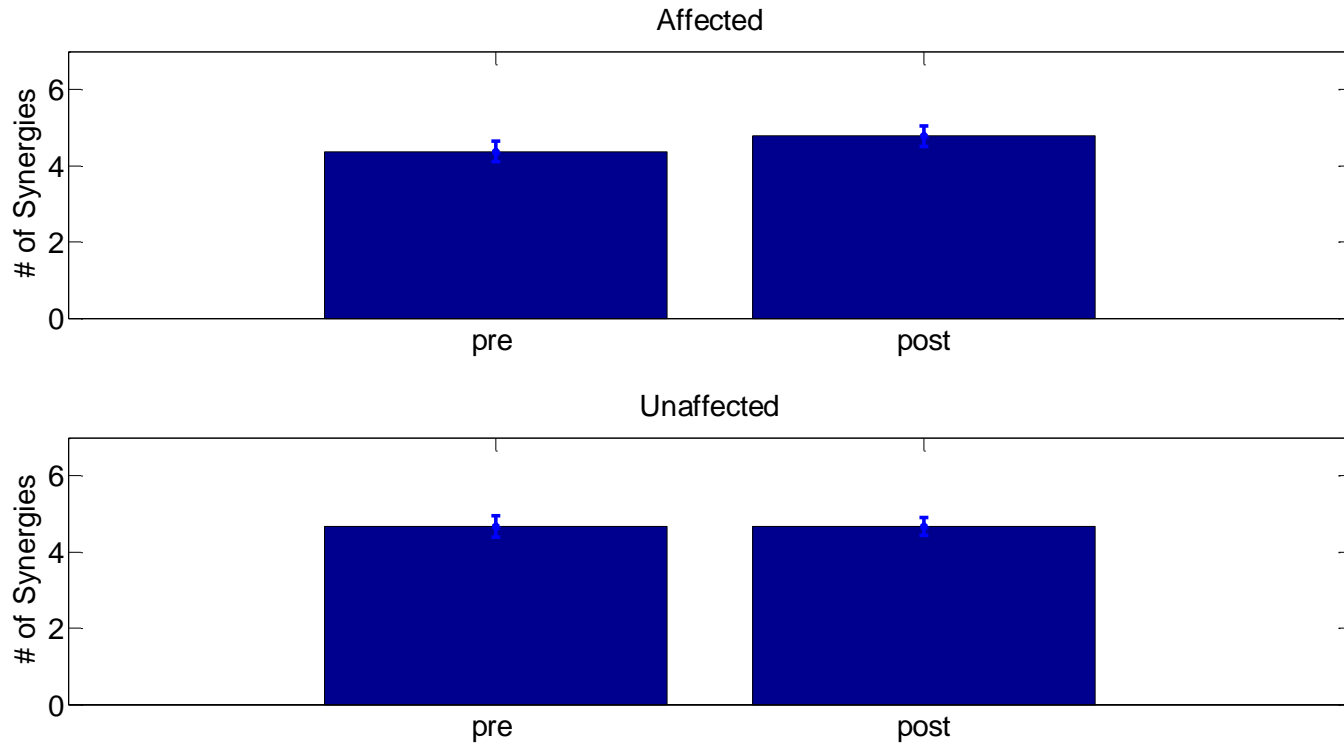
# Barthel Index (ADL)





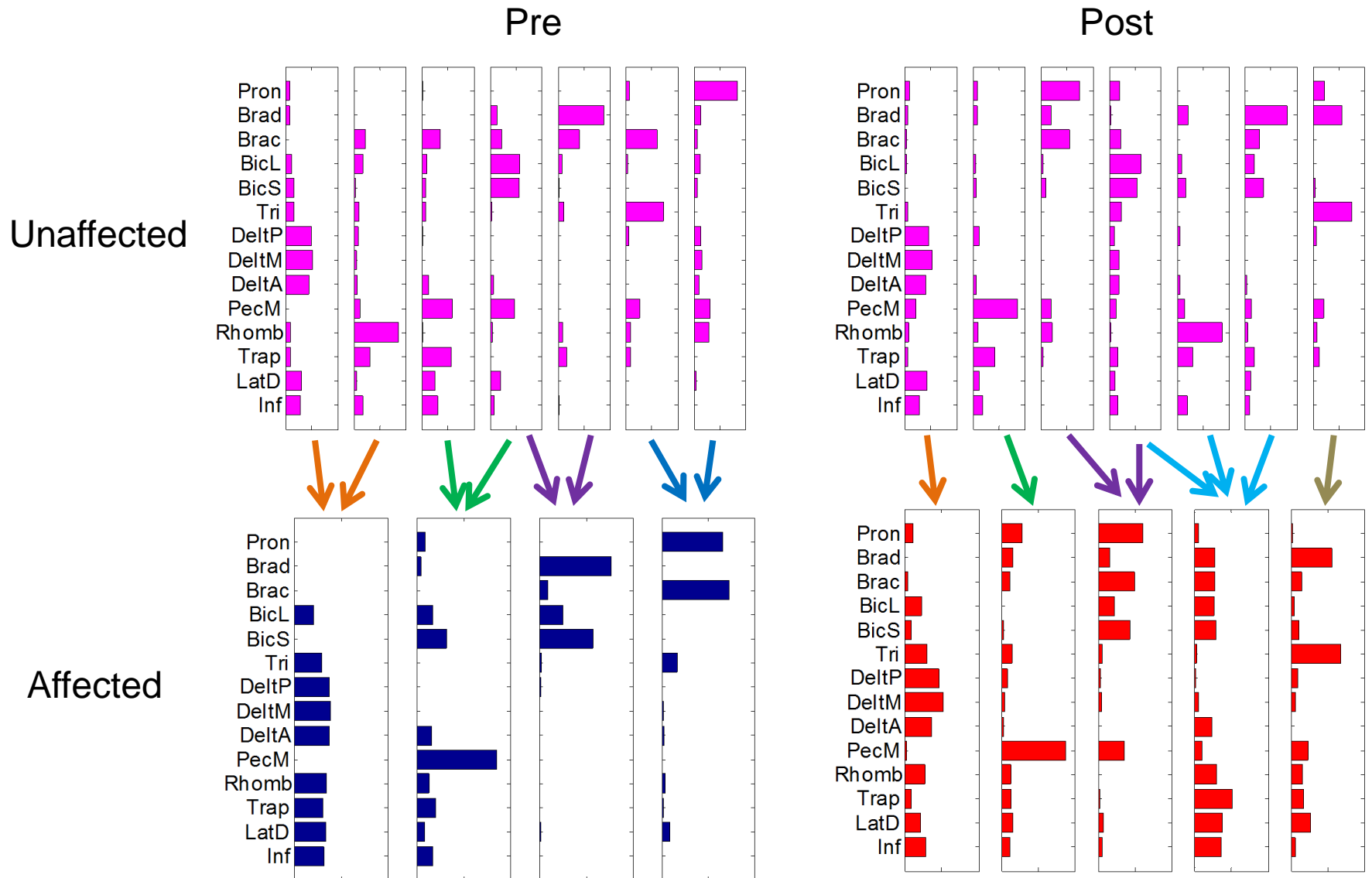
# **Preliminary results on UE Motor Synergies**

# # of Synergies

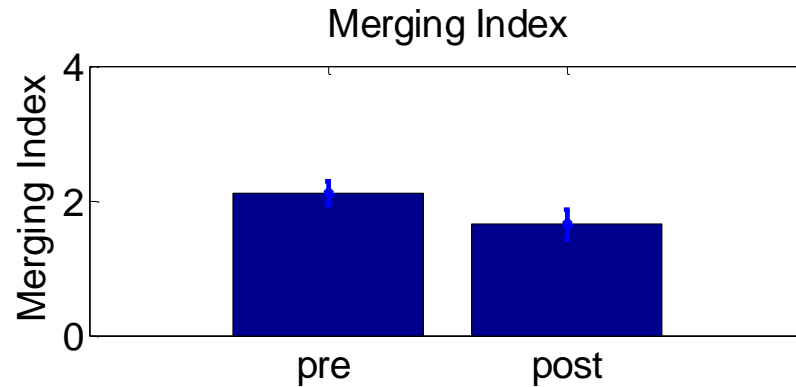


- smaller number of synergies in the affected side with respect to the sound one
- the number of synergies on the unaffected side does not change across time
- increase in number of synergies for the affected side

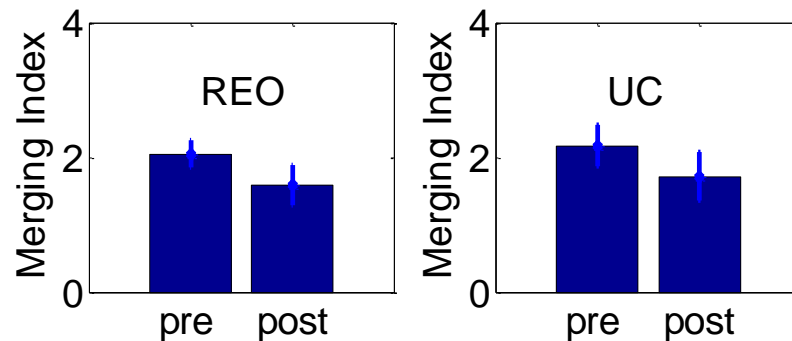
# Example of synergies



# Merging index



MI = number of merged synergies

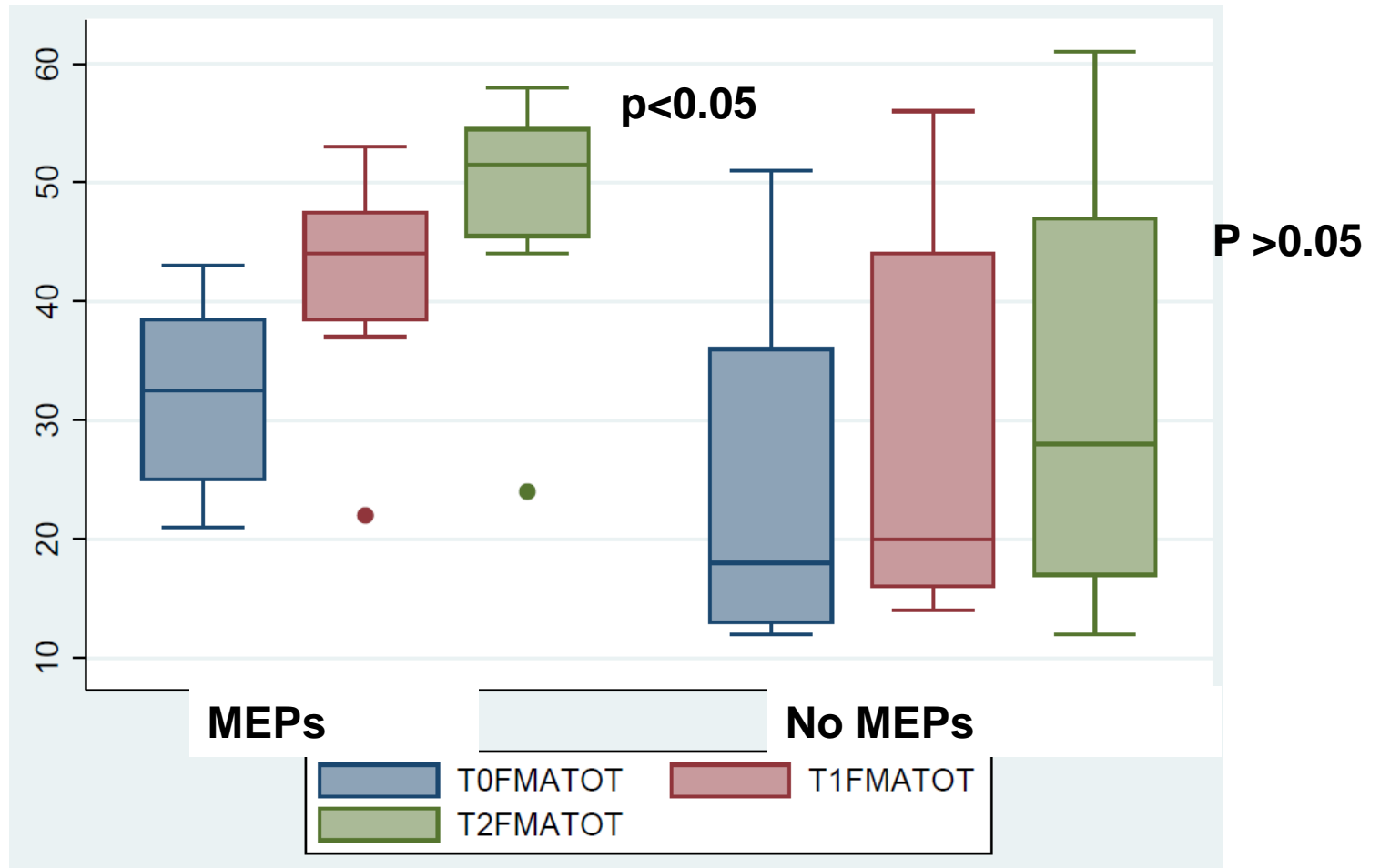


at least 2 synergies for each patient can be identified as merged versions of two or more unaffected synergies

Merging Index decreased after rehabilitation

# **Preliminary results on Motor Cortex Reorganizations**

# FM-UE and MEPs

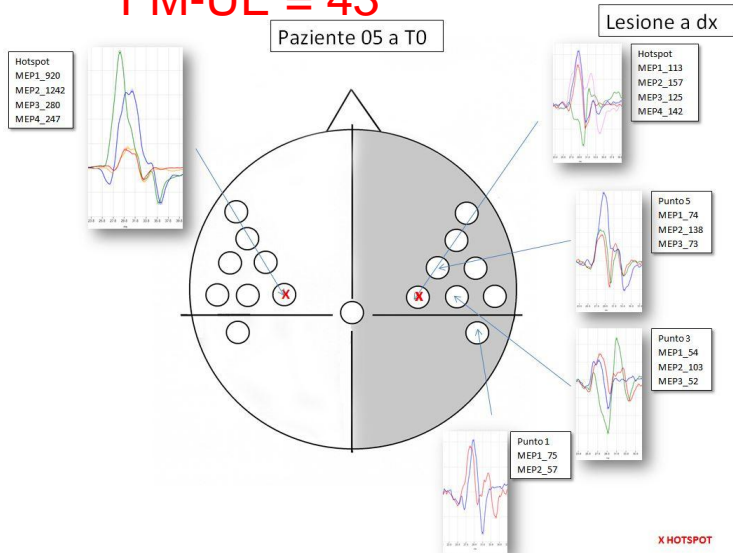


n=8 MEPs  
n=5 no MEPs  
n=3 MEPs nv

# Subject #05 (good recovery)

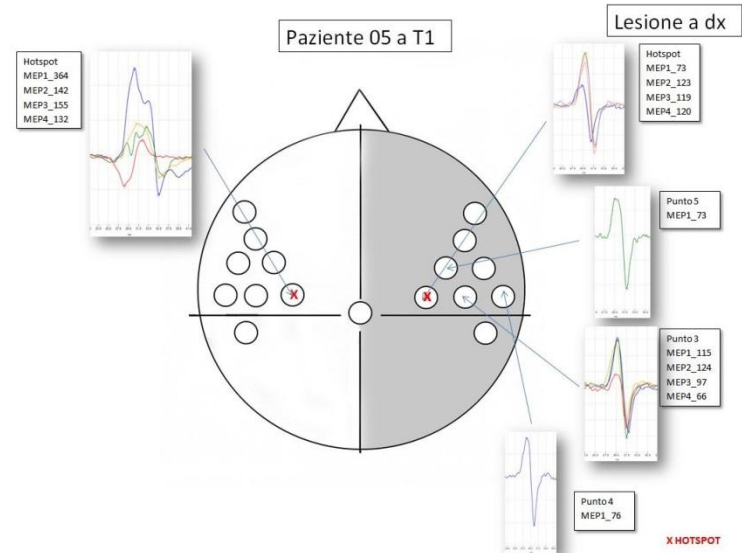
FM-UE = 43

Paziente 05 a T0



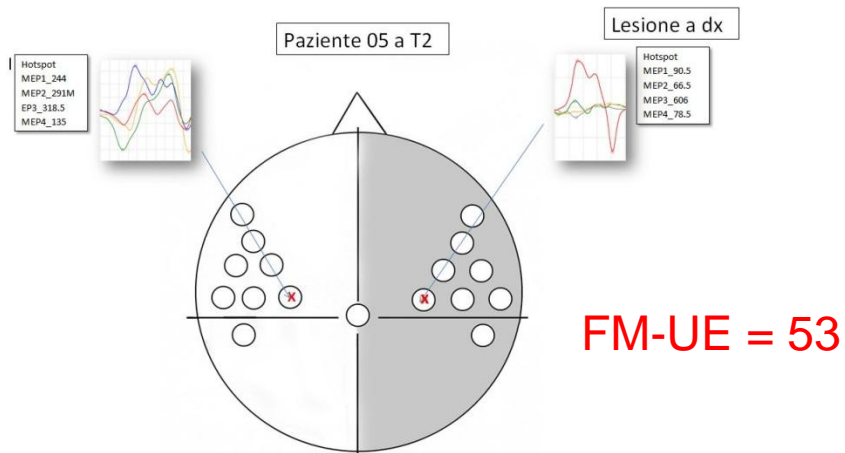
FM-UE = 49

Paziente 05 a T1



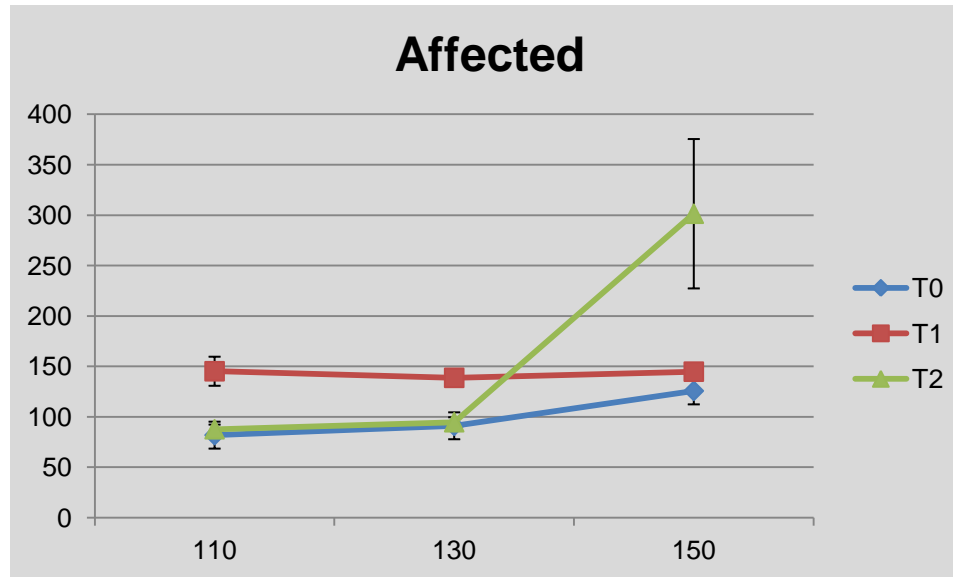
Paziente 05 a T2

Lesione a dx



# MEPs recruitment curve

|    | MT |
|----|----|
| T0 | 48 |
| T1 | 39 |
| T2 | 45 |



T0, T1 no curve

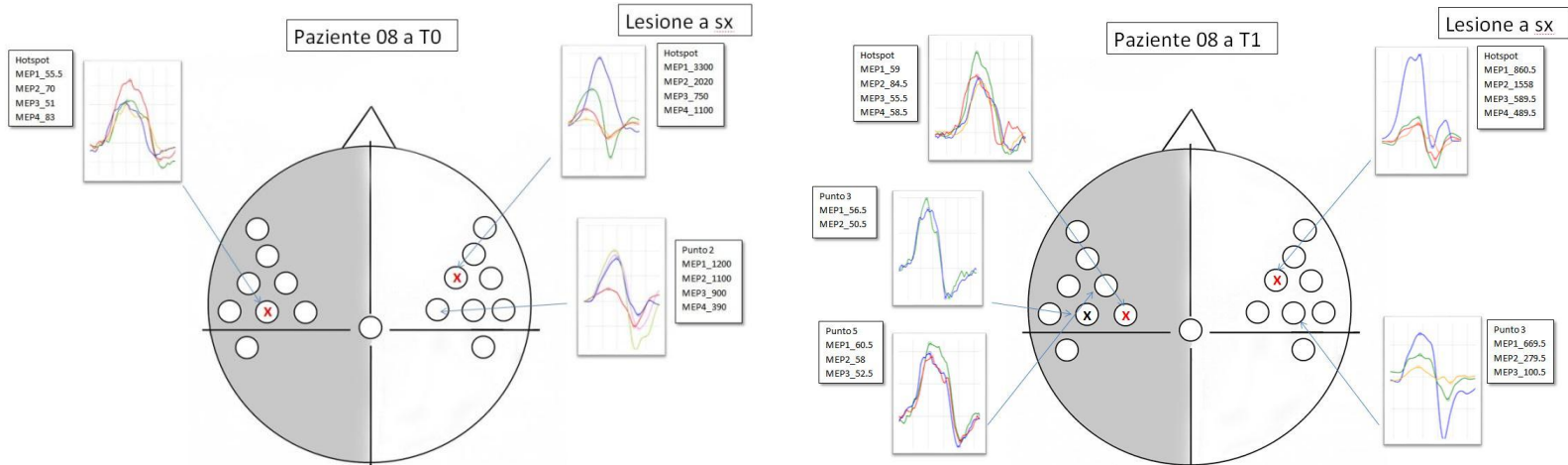
T2: increased MEPs amplitude (150%)



# Subject #08 (poor recovery)

FM-UE = 21

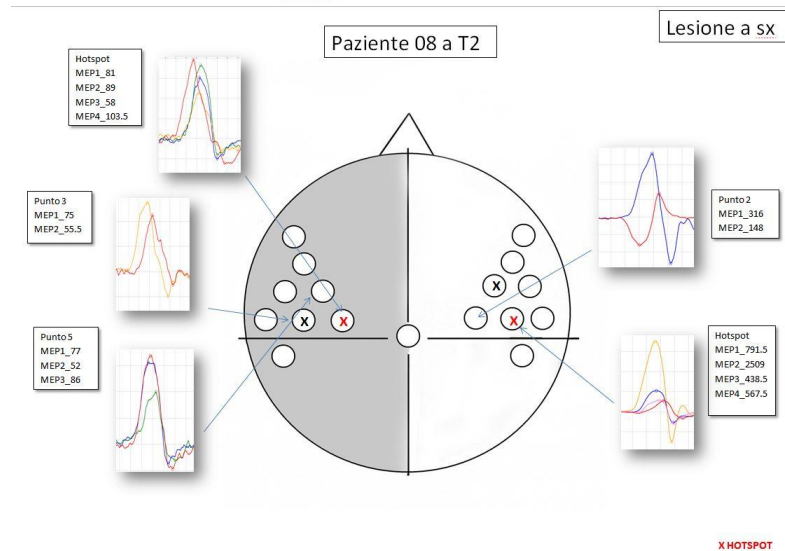
FM-UE = 22



FM-UE = 24

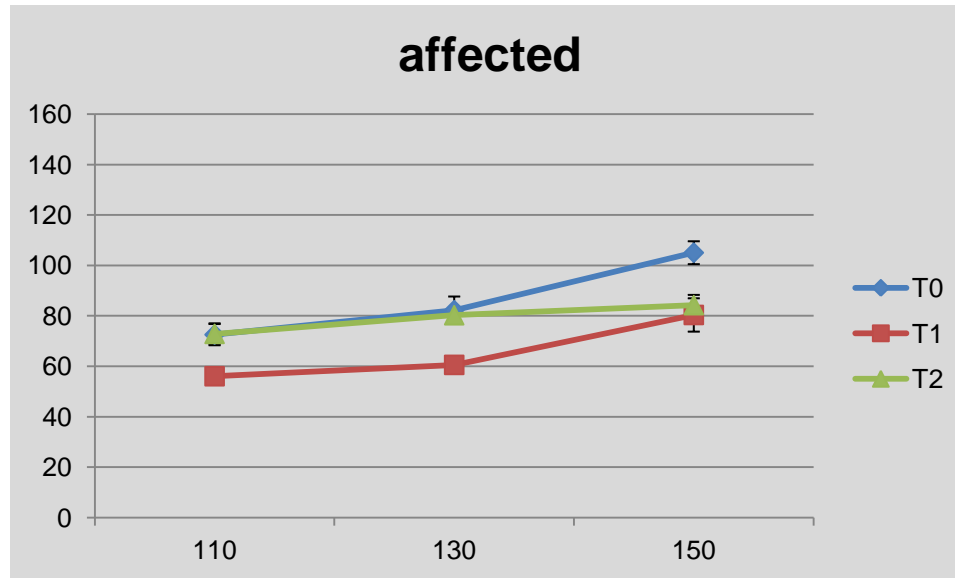
X HOTSPOT

X HOTSPOT



# MEPs recruitment curve

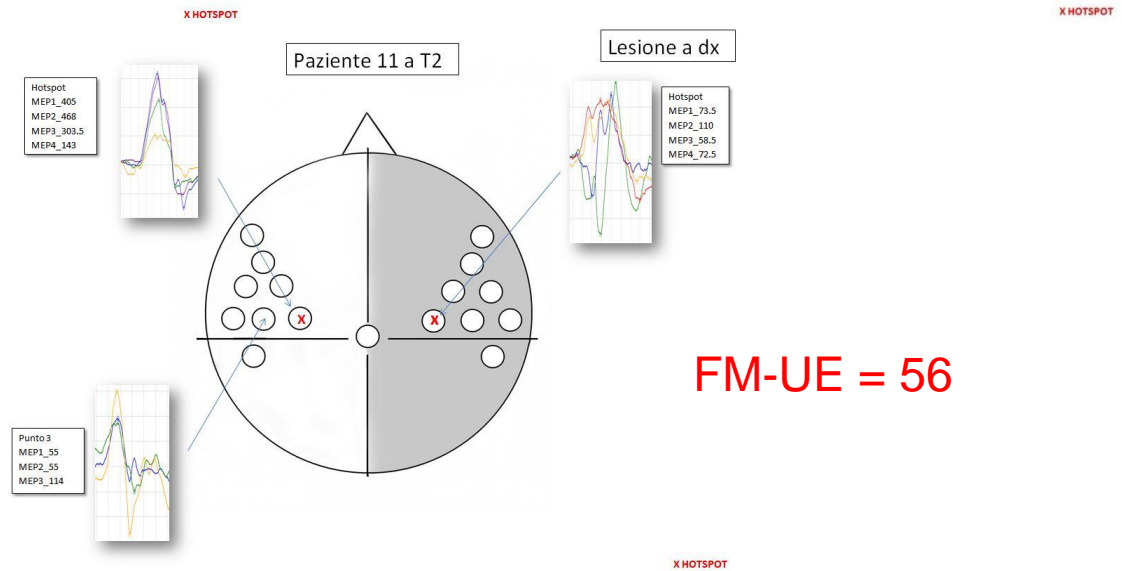
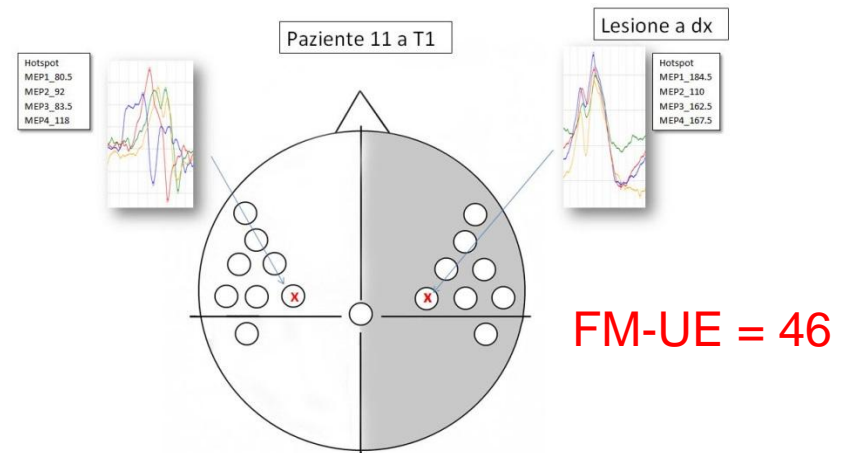
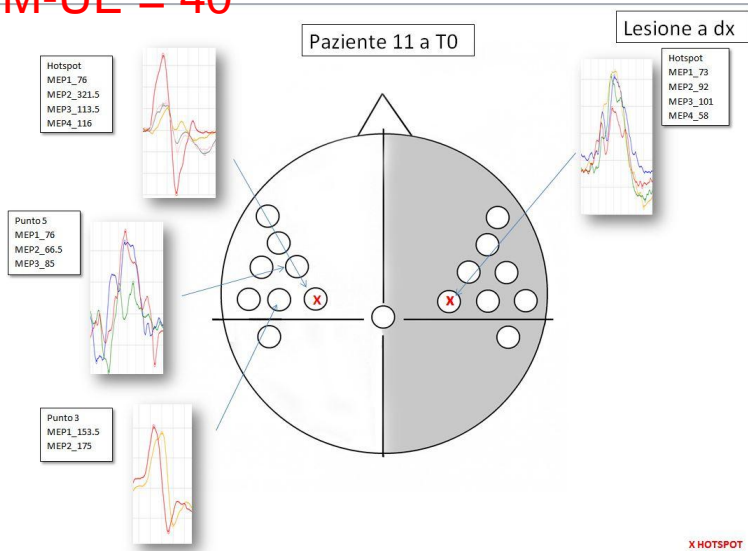
|    | MT |
|----|----|
| T0 | 43 |
| T1 | 41 |
| T2 | 45 |



Low MEPs amplitude

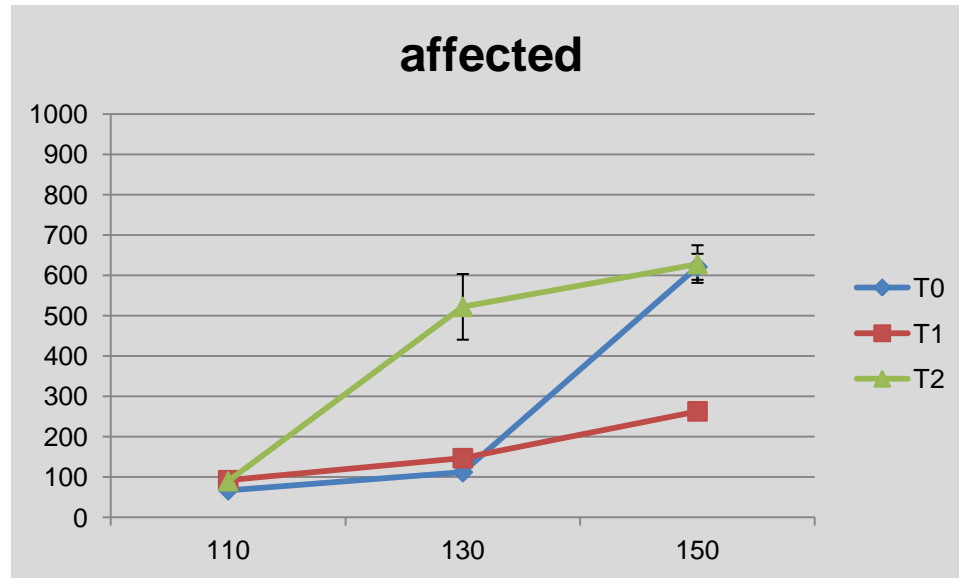
# Subject #11 (high-functioning)

FM-UE = 40



# MEPs recruitment curve

|    | MT |  |
|----|----|--|
| T0 | 35 |  |
| T1 | 32 |  |
| T2 | 32 |  |

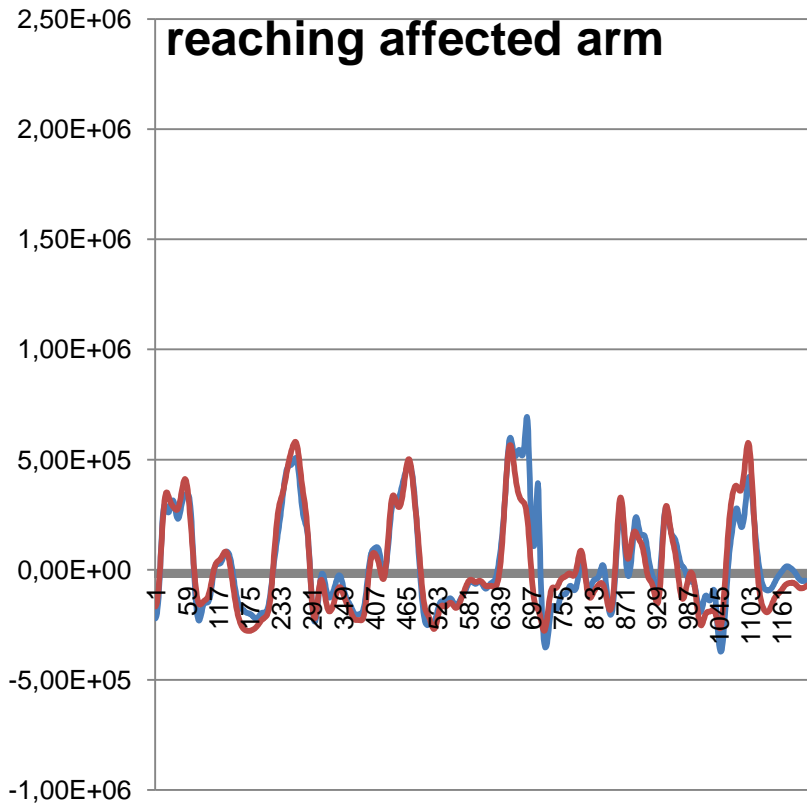


T0, T1 increased MEPs amplitude (150%)  
T2 regular curve

**Preliminary results on  
Functional near-infrared  
spectroscopy**

# Oxy-HB raw data

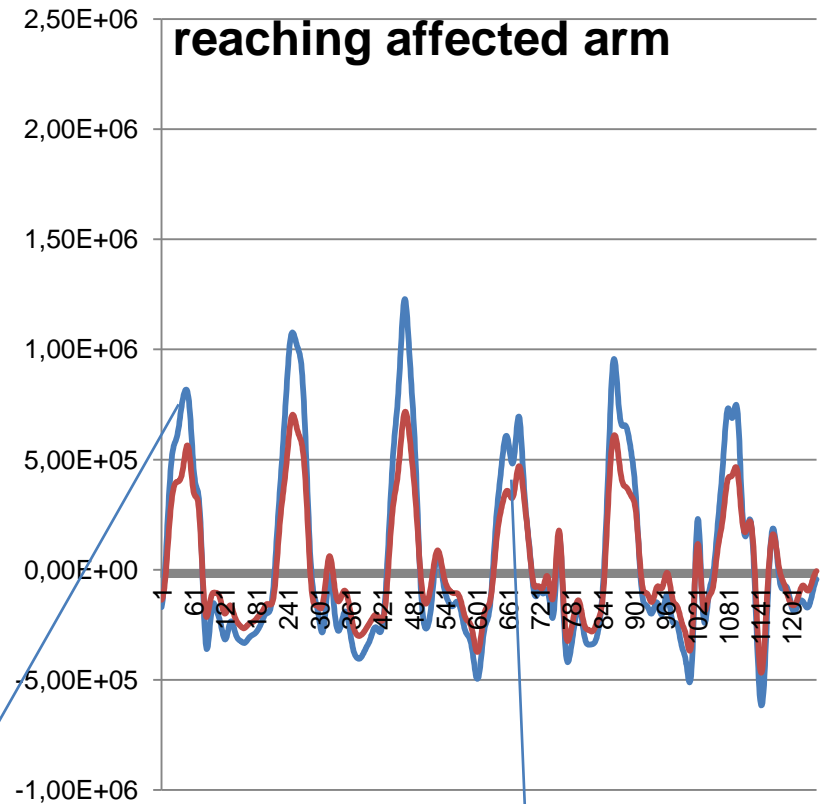
## pre-treatment



*data collected 13/16 subjects*

Unaffected M1 (blue)

## post-treatment

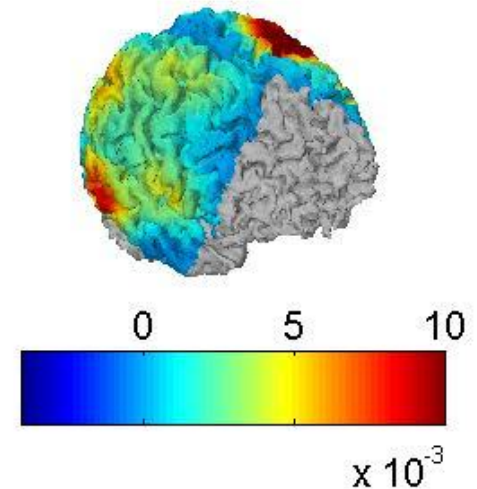
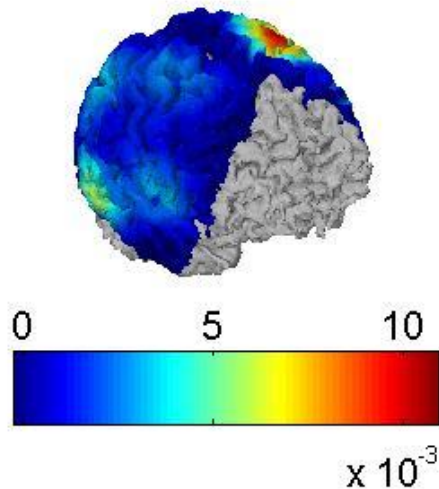


Affected M1 (red)

# fNIRS brain maps

pre-treatment (FM-UE=36)

post-treatment (FM-UE = 47)



right hemisphere stroke: reaching task with the affected arm  
(15 seconds)

# **Preliminary results on circulating biomarkers**



- **significant changes in circulating endothelial cells and endothelial cell progenitors are highlighted over time**
- **they might represent damage/repair biomarkers after stroke**

# Circulating endothelial progenitor cells



## The Increase of Circulating Endothelial Progenitor Cells After Acute Ischemic Stroke Is Associated With Good Outcome

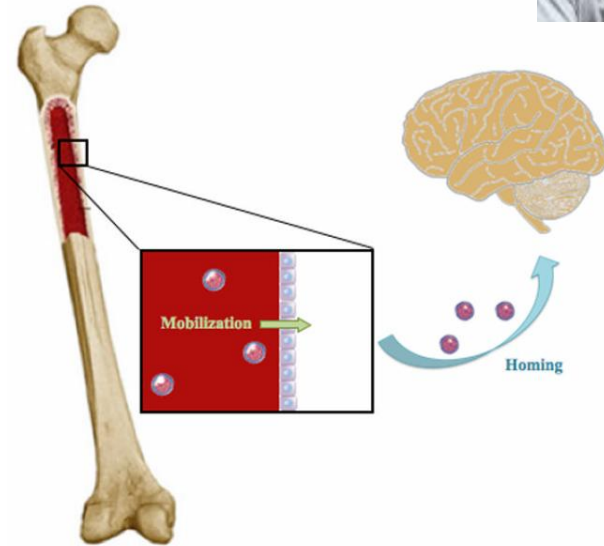
Tomás Sobrino, PhD; Olivia Hurtado, PhD; María Ángeles Moro, PhD; Manuel Rodríguez-Yáñez, MD, PhD; Mar Castellanos, MD, PhD; David Brea, BSc; Octavio Moldes, BSc; Miguel Blanco, MD, PhD; Juan F. Arenillas, MD, PhD; Rogelio Leira, MD, PhD; Antonio Dávalos, MD, PhD; Ignacio Lizasoain, MD, PhD; José Castillo, MD, PhD

**Background and Purpose**—Increased circulating endothelial progenitor cells (EPC) have been associated with a low cardiovascular risk and may be involved in endothelial cell regeneration. The present study was designed to evaluate the prognostic value of EPC in acute ischemic stroke.

**Methods**—Forty-eight patients with a first-ever nonlacunar ischemic stroke were prospectively included in the study within 12 hours of symptoms onset. Stroke severity was evaluated by the National Institutes of Health Stroke Scale, and functional outcome was assessed at 3 months by the modified Rankin Scale (mRS). Infarct volume growth between admission and days 4 to 7 was measured on multiparametric MRI. EPC colonies were defined as early outgrowth colony-forming unit-endothelial cell (CFU-EC). The increment of CFU-EC was quantified during the first week and defined as the absolute difference between the number of CFU-EC at day 7 and admission. The influence of CFU-EC increase on good functional outcome (mRS  $\leq 2$ ) and infarct growth was analyzed by logistic regression and linear models.

**Results**—Patients with good outcome ( $n=25$ ) showed a higher CFU-EC increment during the first week (median [quartiles], 23 [11, 36] versus  $-3$  [ $-7, 1$ ],  $P<0.0001$ ) compared with patients with poor outcome. CFU-EC increment  $\geq 4$  during the first week was associated with good functional outcome at 3 months (odds ratio, 30.7; 95% CI, 2.4 to 375.7;  $P=0.004$ ) after adjustment for baseline stroke severity, ischemic volume and thrombolytic treatment. For each unit increase in the CFU-EC the mean reduction in the growth of infarct volume was 0.39 (0.03 to 0.76) mL ( $P=0.033$ ).

**Conclusions**—The increase of circulating EPC after acute ischemic stroke is associated with good functional outcome and reduced infarct growth. These findings suggest that EPC might participate in neurorepair after ischemic stroke. (*Stroke*. 2007;38:2759-2764.)

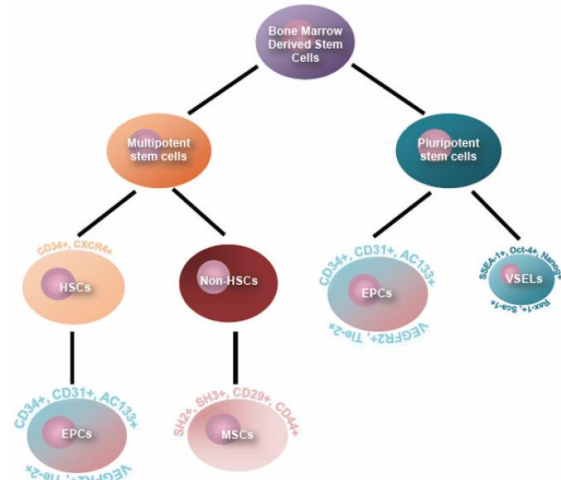


## The Great Migration of Bone Marrow-Derived Stem Cells Toward the Ischemic Brain: Therapeutic Implications for Stroke and Other Neurological Disorders

Cesar V. Borlongan, Ph.D., Loren E. Glover, M.S., Naoki Tajiri, Ph.D., Yuji Kaneko, Ph.D., and Thomas B. Freeman, M.D.  
Department of Neurosurgery and Brain Repair, University of South Florida College of Medicine, 12901 Bruce B. Downs Blvd, Tampa, Florida 33612

### Abstract

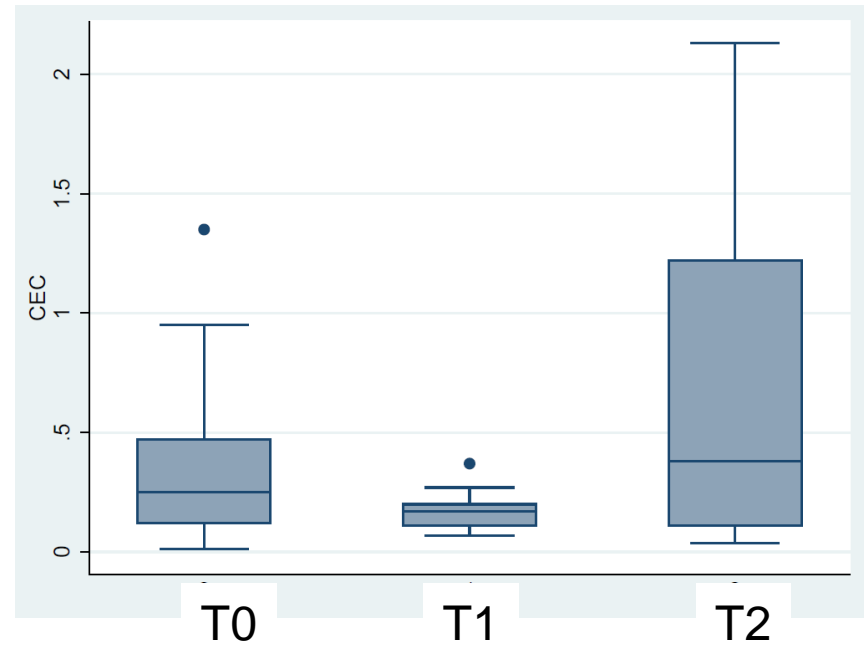
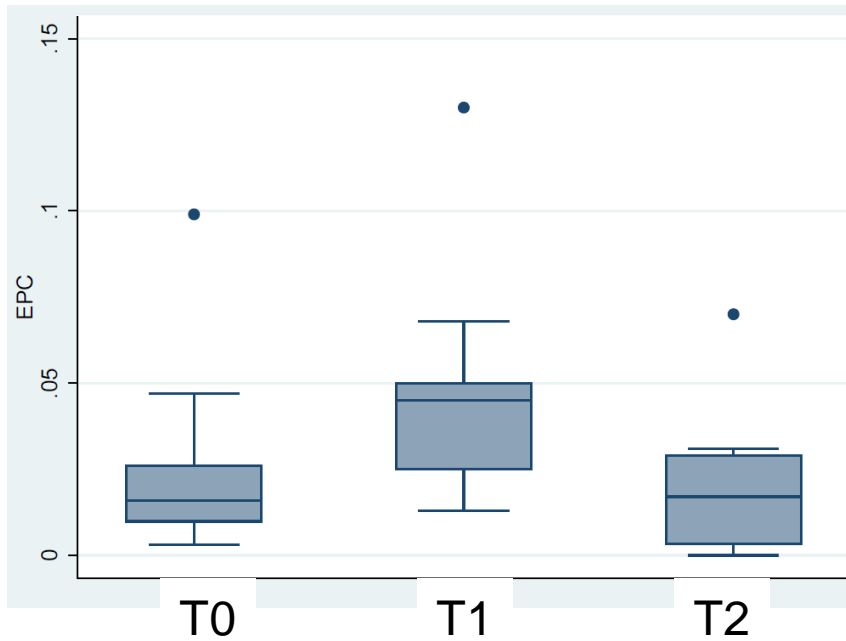
Accumulating laboratory studies have implicated the mobilization of bone marrow (BM)-derived stem cells in brain plasticity and stroke therapy. This mobilization of bone cells to the brain is an essential concept in regenerative medicine. Over the past ten years, mounting data have shown the ability of bone marrow-derived stem cells to mobilize from BM to the peripheral blood (PB) and eventually enter the injured brain. This homing action is exemplified in BM stem cell mobilization following ischemic brain injury. Various BM-derived cells, such as hematopoietic stem cells (HSCs), mesenchymal stem cells (MSCs), endothelial progenitor cells (EPCs) and very small embryonic-like cells (VSELs) have been demonstrated to exert therapeutic benefits in stroke. Here, we discuss the current status of these BM-derived stem cells in stroke therapy, with emphasis on possible cellular and molecular mechanisms of action that mediate the cells' beneficial effects in the ischemic brain. When possible, we also discuss the relevance of this therapeutic regimen in other central nervous system (CNS) disorders.



# Circulating endothelial cell populations

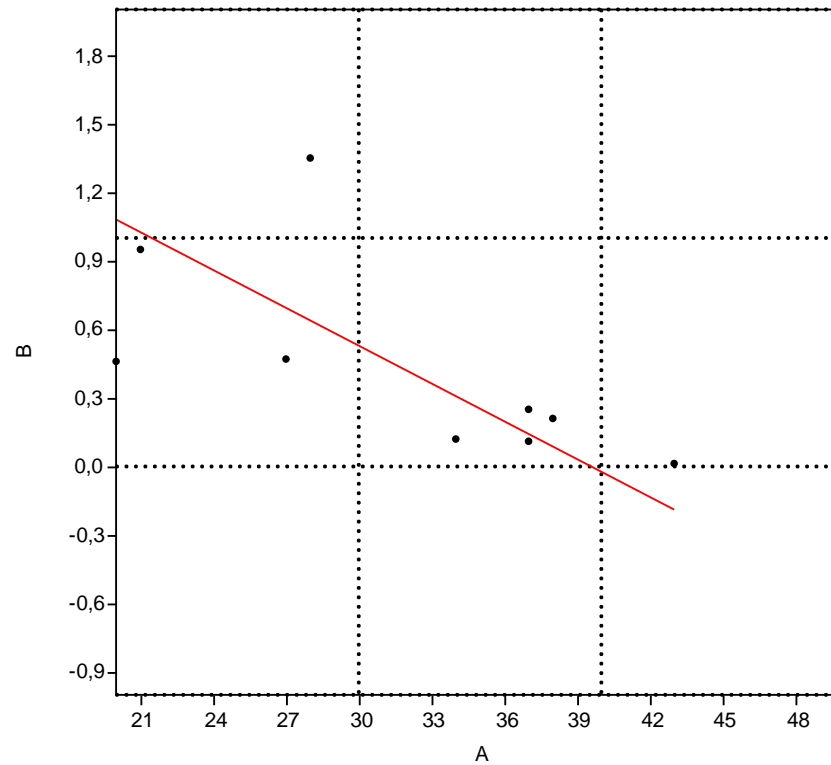
Endothelial progenitor cell (EPC)

Circulating endothelial cell (CEC)



$p < 0.05$

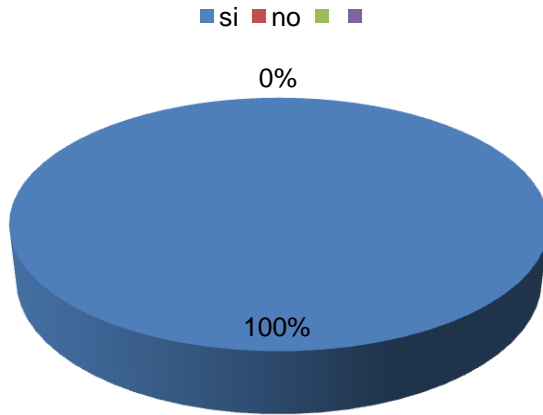
# Baseline FM-UE-CEC



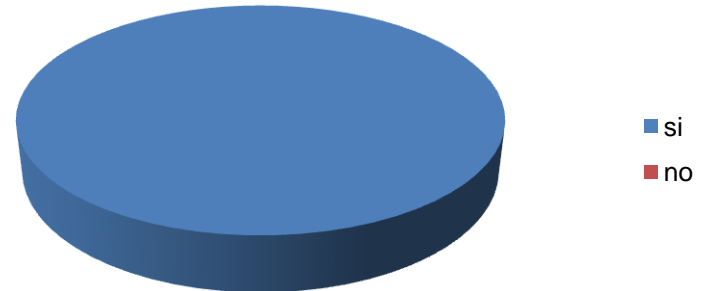
**$r=-0.66$ ;  $p=0.05$**

# Milestones

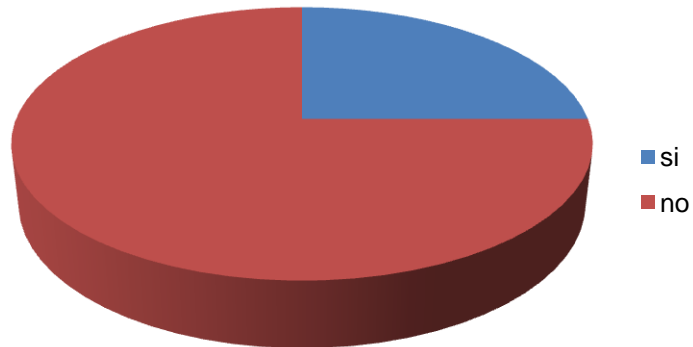
## Trial registration



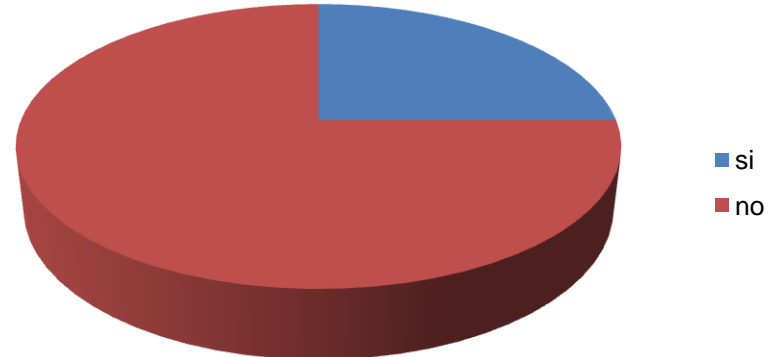
## Personnel selection and training



## Enrollment

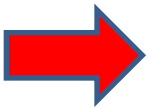


## Intervention/outcomes



## Strenghts

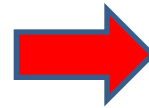
clinical outcomes alone failed to prove the relevance of early-high –intensity arm rehabilitation



combining clinical outcome with neurophysiological/neuroimaging, biological and motor control biomarkers might shed light on arm recovery after stroke

## Limits

subacute stroke recruitment rate: 1 subject/month, 10% of the whole stroke population (*in line with literature*)



1-year extension



SERVIZIO SANITARIO REGIONALE  
EMILIA-ROMAGNA  
Azienda Ospedaliero - Universitaria di Ferrara



università di ferrara  
DA SEICENTO ANNI GUARDIAMO AVANTI.

WP2: Scientific coordinator Susanna Lavezzi, MD

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# The role of transcranial direct current stimulation in minimally conscious state

Feasibility study,  
conducted at PM&R Dept, Ferrara

CME

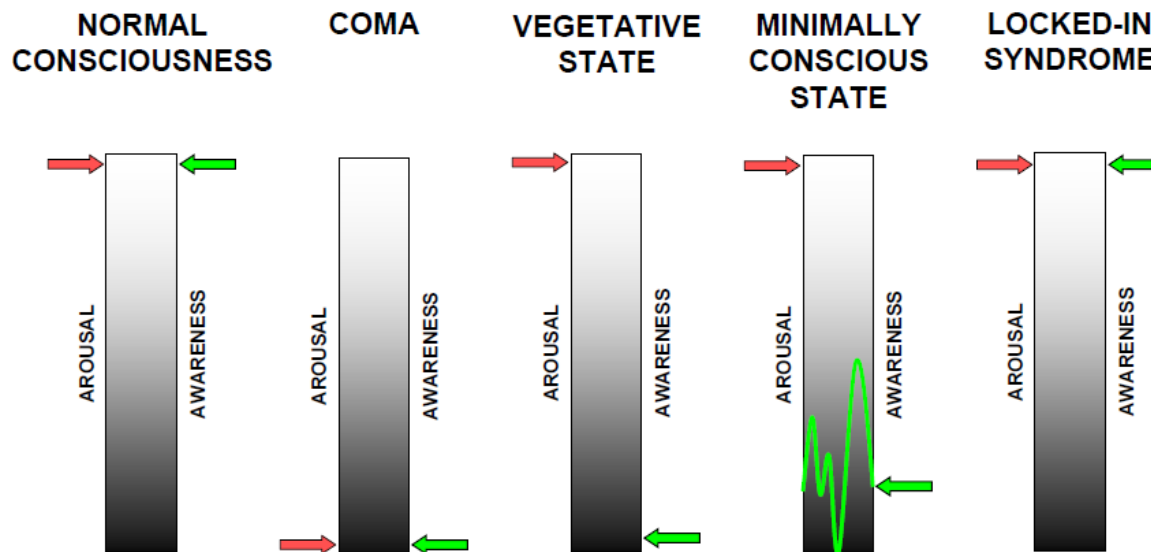
# The minimally conscious state

## Definition and diagnostic criteria

J.T. Giacino, PhD; S. Ashwal, MD; N. Childs, MD; R. Cranford, MD; B. Jennett, MD; D.I. Katz, MD;  
J.P. Kelly, MD; J.H. Rosenberg, MD; J. Whyte, MD, PhD; R.D. Zafonte, DO; and N.D. Zasler, MD

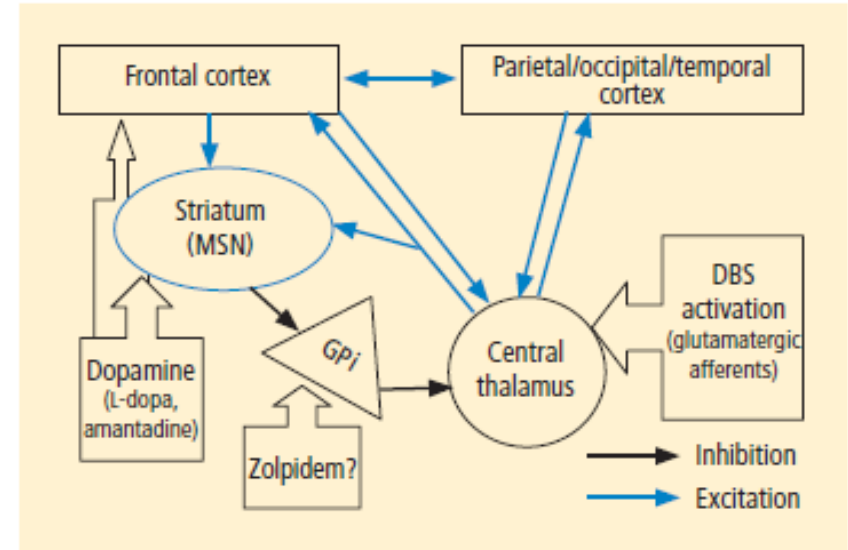
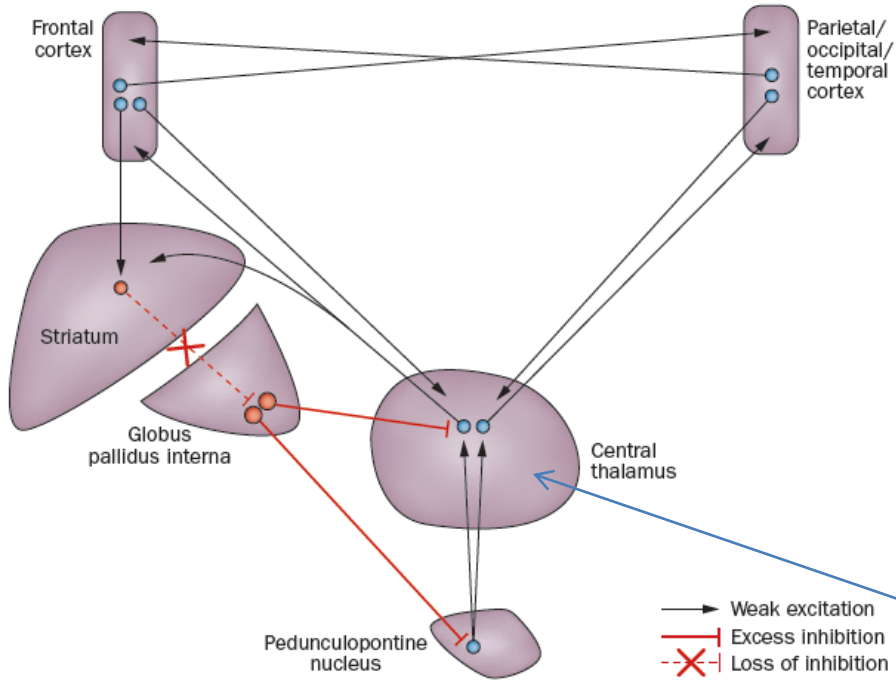
2002.

The minimally conscious state is a condition of severely altered consciousness in which minimal but definite behavioral evidence of self or environmental awareness is demonstrated.





# RATIONALE



M1  
bilaterally

# AIMS

- To test the feasibility of tDCS in chronic MCS
- To test the hypothesis that it might be beneficial in modulating behaviour
- To verify any correlations between clinical and brain mapping measures (EEG, fNIRS, ERP)

# Inclusion/exclusion criteria

## **Inclusion criteria:**

- males and females aged  $> 18$  years and  $< 60$  years
- diagnosis of disorders of consciousness classified as minimally conscious state (MCS)
- traumatic etiology ( $> 12$  months after the acute event)

## **Exclusion criteria:**

- tDCS contraindications such as the presence of metallic implants that can be stimulated, misplaced or over-heated by electric current
- the presence of skull defects or skull plates
- severe cardio-pulmonary, renal, hepatic diseases

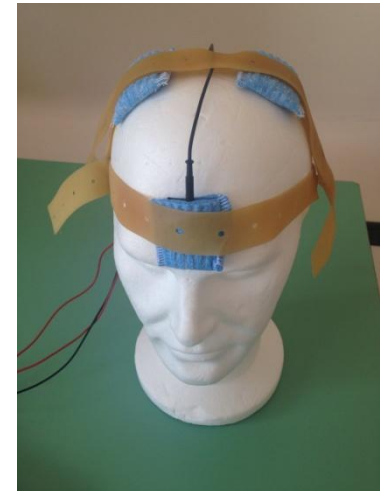
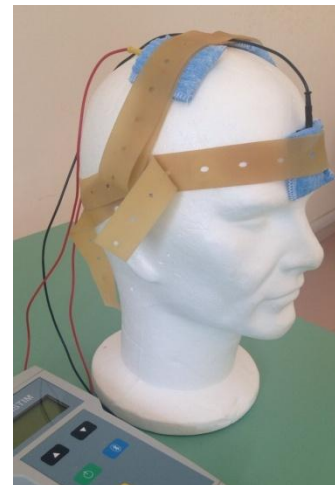
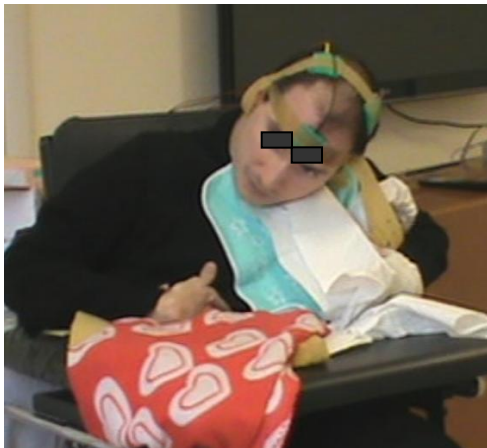
# Procedures

Each subject has received 10 sessions of tDCS (5 sessions / week) for two weeks.

## tDCS protocol

- ✓ 2 electrodes (anode) in the primary motor cortex (M1) bilaterally
- ✓ electrode cathode (reference electrode) on the nasion
- ✓ the electrode sponge surface area of 16 cm<sup>2</sup> (4x4), (soaked in saline solution)
- ✓ constant current stimulator (Brainstim, EMS, Italy)
- ✓ 2 mA intensity
- ✓ duration of stimulation 40 minutes.

Questionnaire reporting adverse events related to tDCS



According to International System of electrode placement for EEG

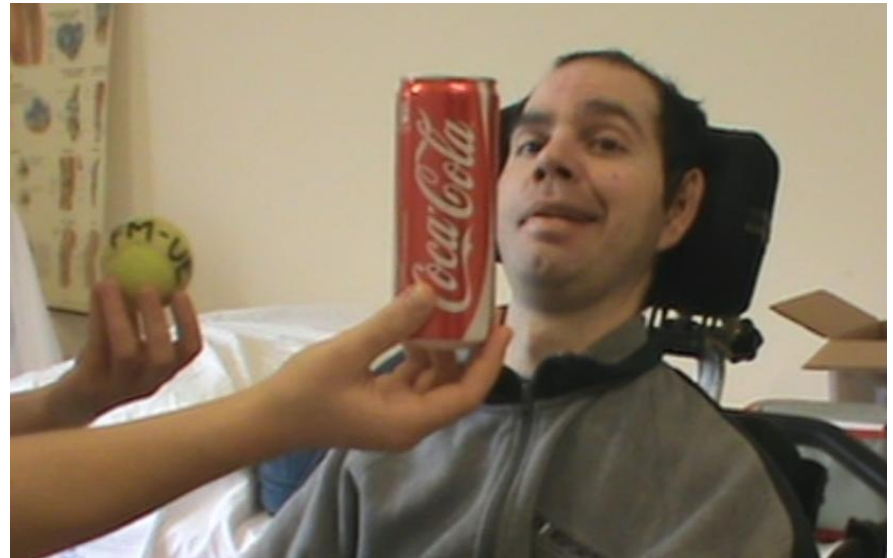
# Outcome Measures

## 1- Clinical evaluations

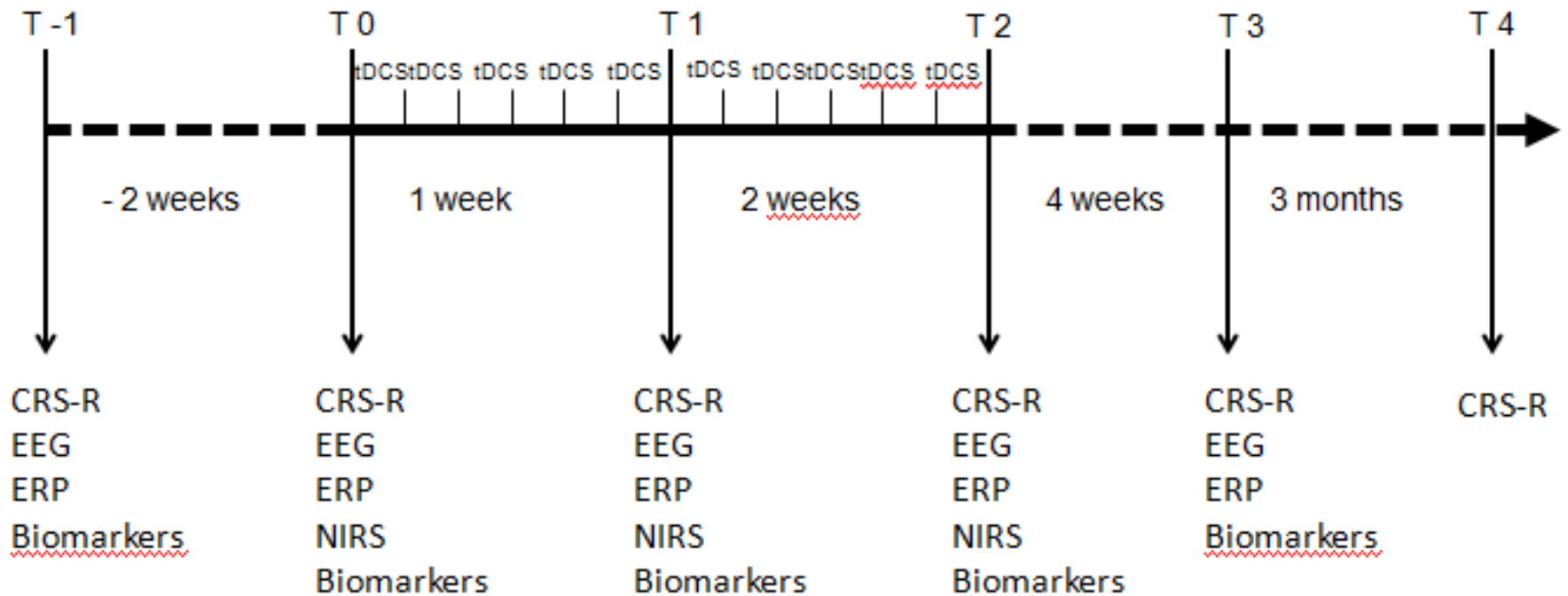
- JFK Coma Recovery revised scales (CRS-R)
- Disability Rating Scale (DRS)
- Coma Nociception Scale
- Caregivers diary

## 2- Strumental evaluations

- EEG
- Event-Related Potentials (**ERPs**)
- NIRS
- Circulating Biomarkers



# Study timeline



# Clinical evaluations

| BRAIN STEM REFLEX GRID ©2004       |                          |       |  |  |  |  |
|------------------------------------|--------------------------|-------|--|--|--|--|
| Record Form                        |                          |       |  |  |  |  |
| Patient:                           |                          | Date: |  |  |  |  |
| Pupillary Light                    | Reactive                 |       |  |  |  |  |
|                                    | Equal                    |       |  |  |  |  |
|                                    | Constricted              |       |  |  |  |  |
|                                    | Dilated                  |       |  |  |  |  |
|                                    | Pinpoint                 |       |  |  |  |  |
|                                    | Accommodation            |       |  |  |  |  |
| Corneal Reflex                     | Absent                   |       |  |  |  |  |
|                                    | Present Unilateral       |       |  |  |  |  |
|                                    | Present Bilateral        |       |  |  |  |  |
| Spontaneous Eye Movements          | None                     |       |  |  |  |  |
|                                    | Skew Deviation           |       |  |  |  |  |
|                                    | Conjugate Gaze Deviation |       |  |  |  |  |
|                                    | Roving                   |       |  |  |  |  |
|                                    | Dysconjugate             |       |  |  |  |  |
| Oculocephalic Reflex               | None                     |       |  |  |  |  |
|                                    | Abnormal                 |       |  |  |  |  |
|                                    | Full                     |       |  |  |  |  |
|                                    | Normal                   |       |  |  |  |  |
| Postural Responses (Indicate Limb) | Abnormal Extension       |       |  |  |  |  |
|                                    | Abnormal Flexion         |       |  |  |  |  |

| JFK COMA RECOVERY SCALE - REVISED ©2004  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
|--|-----|---|---|---|--------------------|---|---|---|---|-----------|----|----|----|----|----|----|
| Record Form  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| This form should only be used in association with the "CRS-R ADMINISTRATION AND SCORING GUIDELINES" which provide instructions for standardized administration of the scale. |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| Patient:   |     |   |   |   | Diagnosis:         |   |   |   |   | Etiology: |    |    |    |    |    |    |
| Date of Onset:   |     |   |   |   | Date of Admission: |   |   |   |   |           |    |    |    |    |    |    |
| Date   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| Week   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
|  | ADM | 2 | 3 | 4 | 5                  | 6 | 7 | 8 | 9 | 10        | 11 | 12 | 13 | 14 | 15 | 16 |
| <b>AUDITORY FUNCTION SCALE</b>   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 4 - Consistent Movement to Command *   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 3 - Reproducible Movement to Command *   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 2 - Localization to Sound  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 1 - Auditory Startle   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 0 - None   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| <b>VISUAL FUNCTION SCALE</b>   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 5 - Object Recognition *   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 4 - Object Localization: Reaching *  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 3 - Visual Pursuit *   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 2 - Fixation *   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 1 - Visual Startle   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 0 - None   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| <b>MOTOR FUNCTION SCALE</b>  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 6 - Functional Object Use †  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 5 - Automatic Motor Response *   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 4 - Object Manipulation *  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 3 - Localization to Noxious Stimulation *  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 2 - Flexion Withdrawal   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 1 - Abnormal Posturing   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 0 - None/Flaccid   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| <b>OROMOTOR/VERBAL FUNCTION SCALE</b>  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 3 - Intelligible Verbalization *   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 2 - Vocalization/Oral Movement   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 1 - Oral Reflexive Movement  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 0 - None   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| <b>COMMUNICATION SCALE</b>   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 2 - Functional: Accurate †   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 1 - Non-Functional: Intentional *  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 0 - None   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| <b>AROUSAL SCALE</b>   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 3 - Attention  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 2 - Eye Opening w/o Stimulation  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 1 - Eye Opening with Stimulation   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| 0 - Unarousable  |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |
| <b>TOTAL SCORE</b>   |     |   |   |   |                    |   |   |   |   |           |    |    |    |    |    |    |

Denotes emergence from MCS<sup>†</sup>

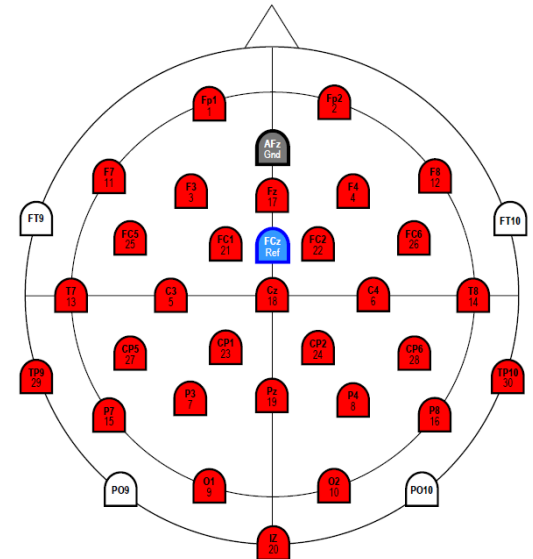
Denotes MCS \*

# Instrumental evaluations

**EEG:** TMS-compatible EEG equipment (BrainAmp, Brain Products GmbH, Munich, Germany) was used to record EEG signals (BrainVision Recorder). The EEG activity was continuously recorded from a Fast'n Easy placed according to the 10–20 International System. Additional electrodes were used as reference and ground and for the electro-oculogram. The ground electrode was placed in AFz.

**Examination:** 15 EEG registration minutes done after stimulation and clinical evaluation (CRS-R).

**Analysis:** - frequency of alpha, theta and delta bands  
- coherence (measure of connectivity between electrode sites).





# fNIRS

NIRScout system

48 channels

16 sources – 16 detectors

Record of Oxygenated and Deoxygenated hemoglobin from M1 in both hemispheres.

Experimental condition:



NIRS registration  
20'

tDCS  
40'

NIRS registration  
20'

Comparison of the traces collected for Oxygenated hemoglobin of the “Pre-tDCS period” and the “Post-tDCS period”.

Area Under Curve of Oxygenated hemoglobin for all channels in both hemispheres.



## Per il familiare

### OSSERVAZIONE PAZIENTE

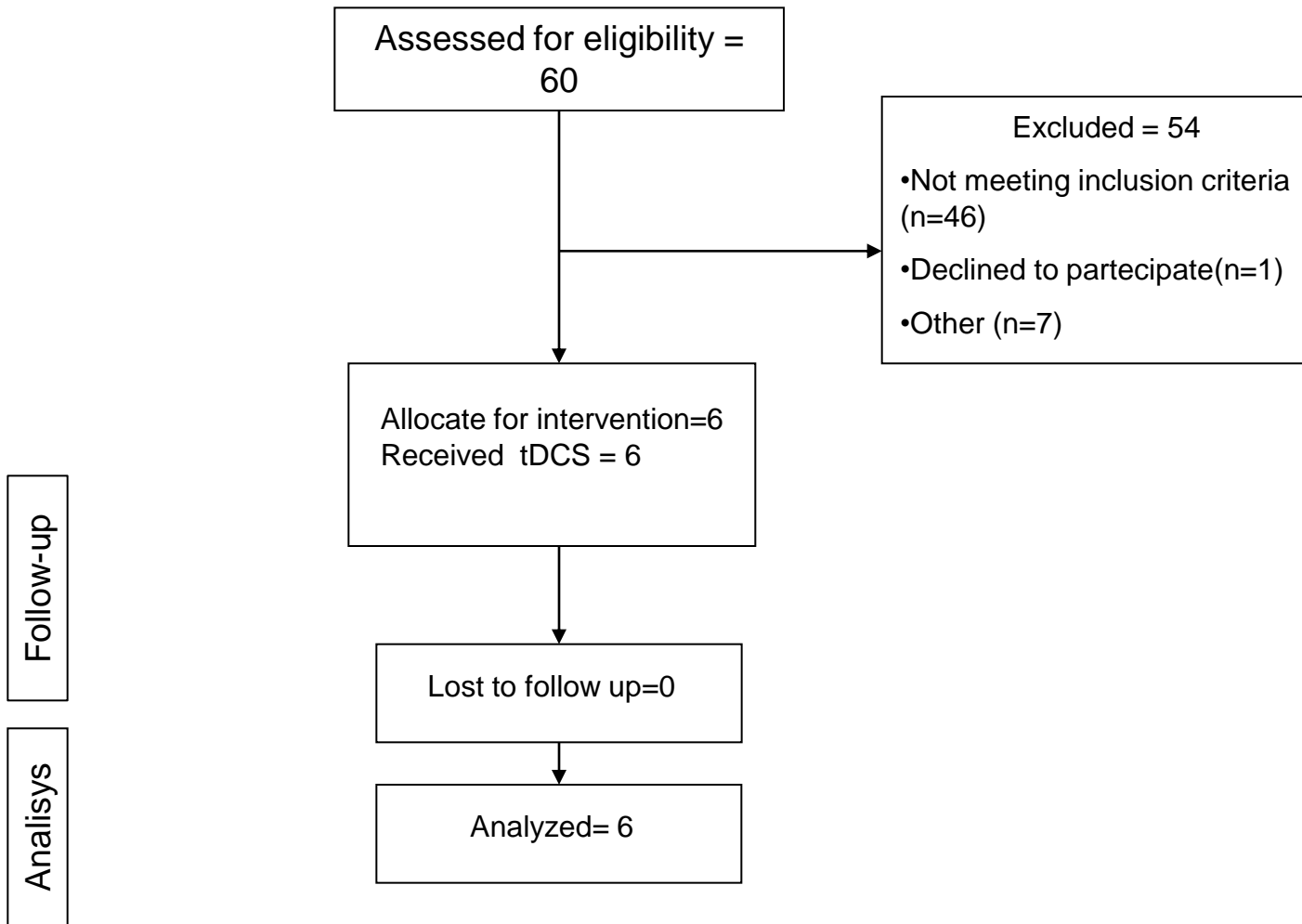
Cognome e Nome .....

Le chiediamo di riportare qui di seguito eventuali modificazioni nel comportamento del suo familiare che lei ha notato durante tutto il periodo di studio, dalle valutazioni due settimane prima di iniziare la somministrazione della tDCS, fino alla conclusione dello studio con le ultime valutazioni a due settimane dalla fine del trattamento.

Vorremmo che lei ci riferisse, qui di seguito, tutto quello che nota possa essersi modificato (alcuni esempi potrebbero riguardare: atteggiamento e postura della testa, movimento degli occhi come seguire con lo sguardo, espressione del viso, pronuncia di parole o suoni, movimenti delle braccia, mani, gambe, cambiamenti postura seduta...).

Se non dovesse notare nulla di diverso, non scriva nulla.

|                  |
|------------------|
| Giorno 1<br>data |
|                  |
| Giorno 2<br>data |
|                  |
| Giorno 3<br>data |
|                  |
| Giorno 4<br>data |
|                  |



# Exclusions causes

- Unresponsive Wakefulness Syndrome (UWS)
- Difficult diagnosis
- Skull plates and skull defects
- Non traumatic acquired brain injury
- Organization and logistic issues (extra-ER subjects)

# Participant characteristics

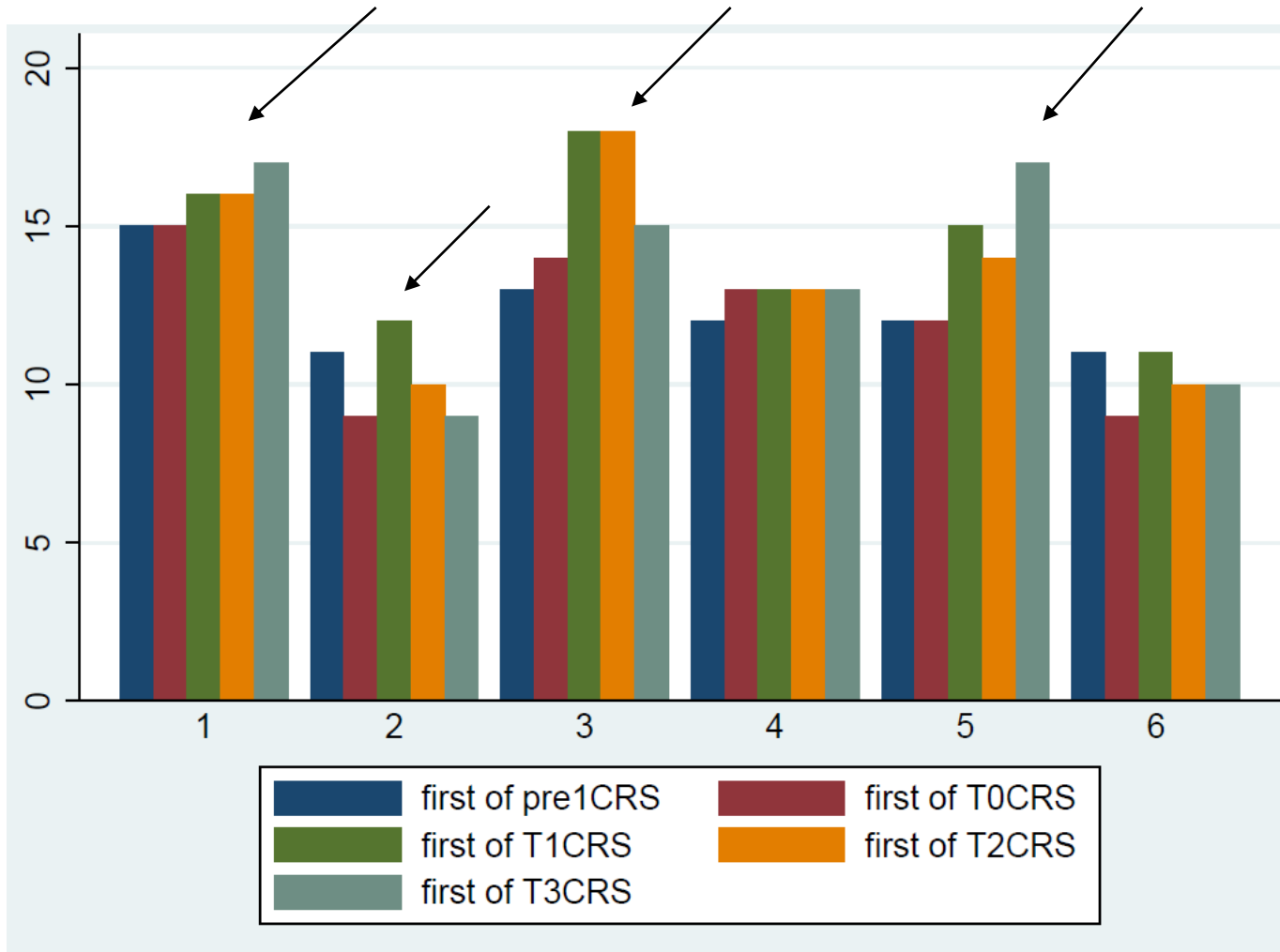
| Patient | Sex | Age | Interval since trauma (y=years) (m=month) | CNS Drugs  | Epilepsy      | Devices intra and extra cranial | Setting |
|---------|-----|-----|---|------------|---------------|---------------------------------|---------|
| 1       | M   | 35  | 11 y                                      |            |               |                                 | DH      |
| 2       | M   | 36  | 8 y, 9 m                                  |            |               | DVP                             | DH      |
| 3       | M   | 47  | 4 y, 7 m                                  |            | Levetiracetam | IB                              | UGC     |
| 4       | M   | 34  | 19 y                                      |            |               |                                 | DH      |
| 5       | F   | 24  | 2 y                                       | Amantadina | Levetiracetam |                                 | UGC     |
| 6       | F   | 27  | 7 y, 6 m                                  |            | Levetiracetam | IB                              | UGC     |

# Safety

All patients tolerated well tDCS without any significant adverse effects related to the stimulation



# PRELIMINARY DATA CRS-R



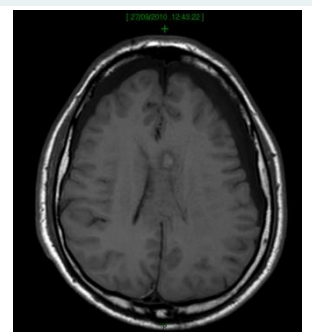
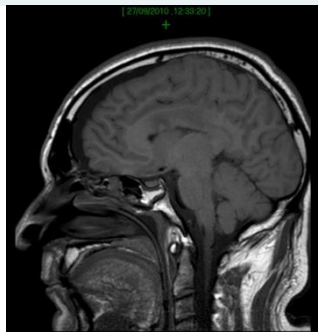
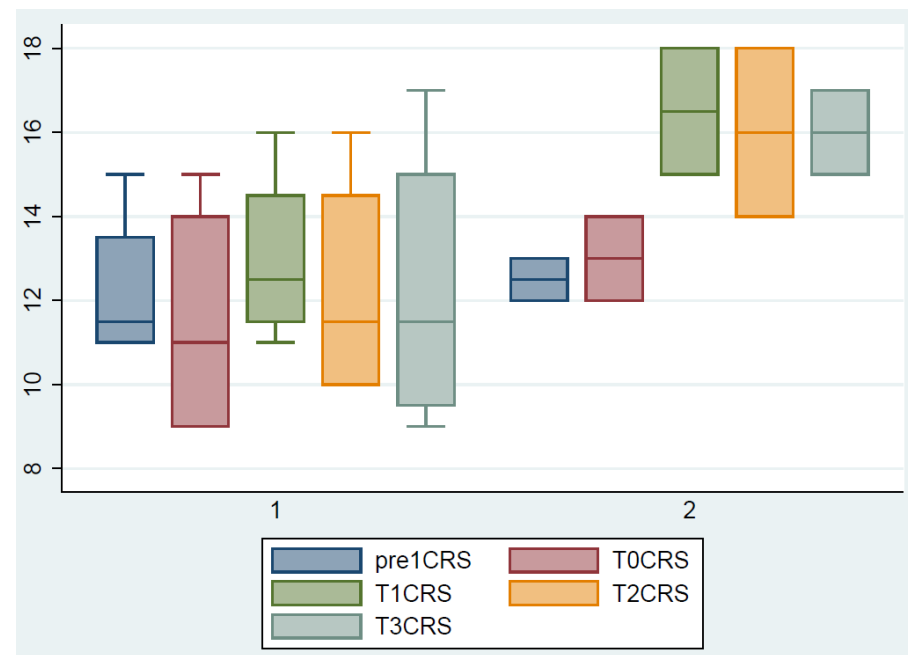
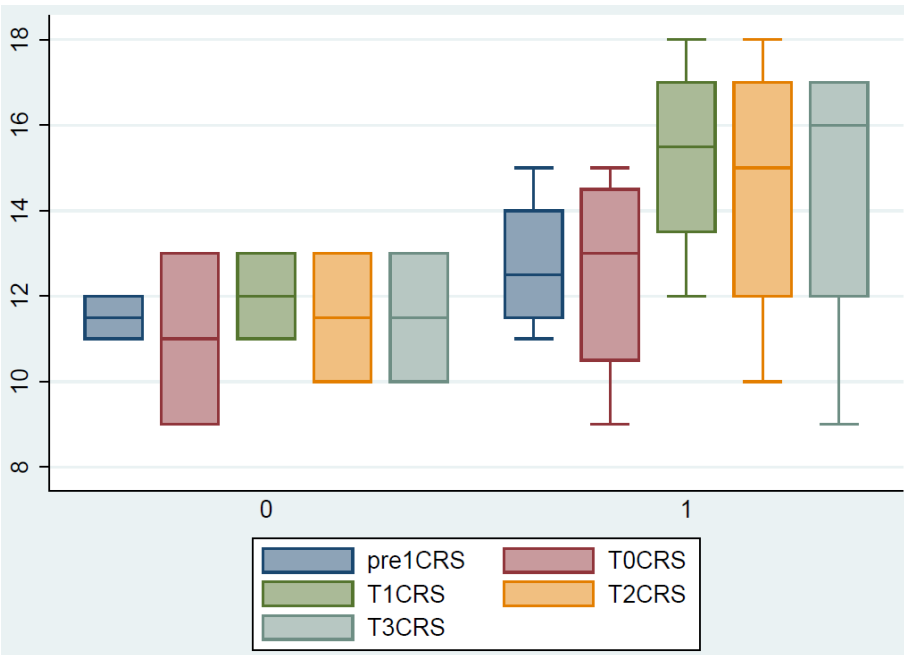
# PRELIMINARY DATA CRS-R

Patients non responder

Patients responder

> 5 years

< 5 years



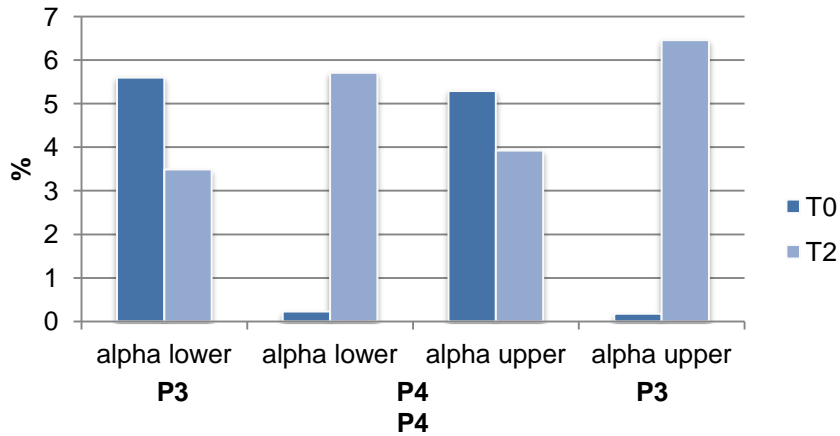


# EEG – preliminary results

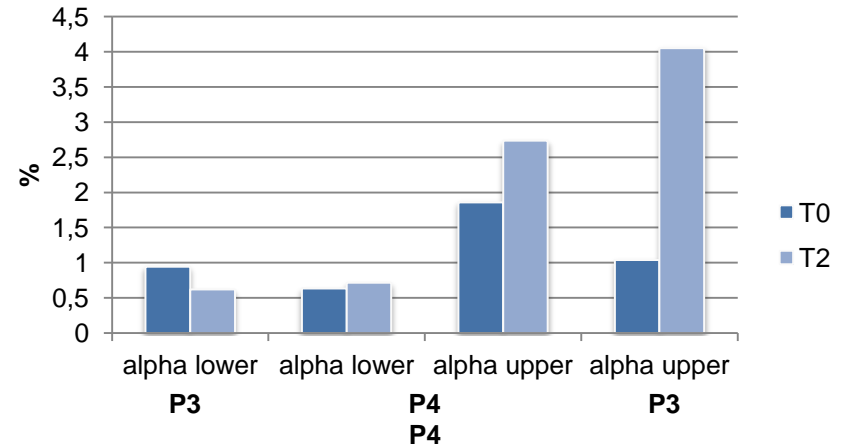
Patient 2

Patient 5

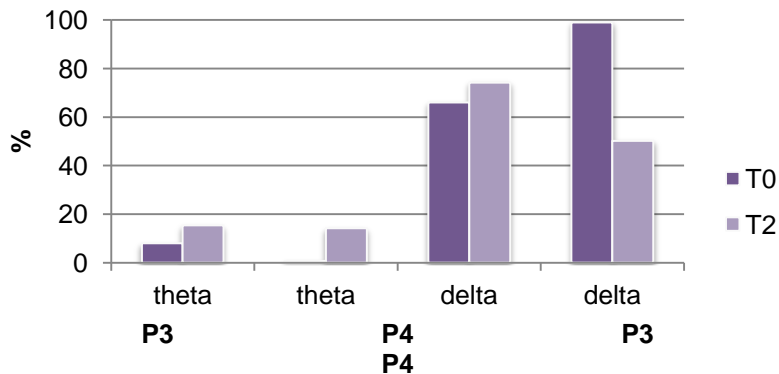
Subject #02 parietal sites



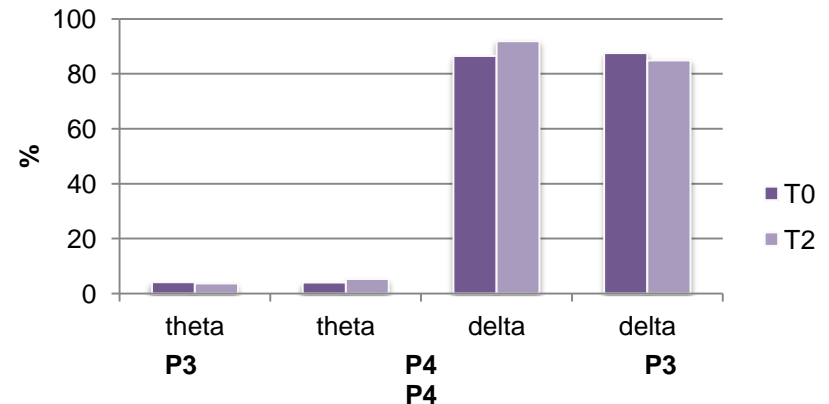
Subject #05 parietal sites



Subject #02 parietal sites



Subject #05 parietal sites

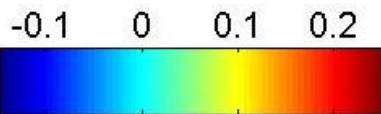
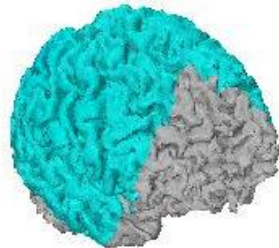
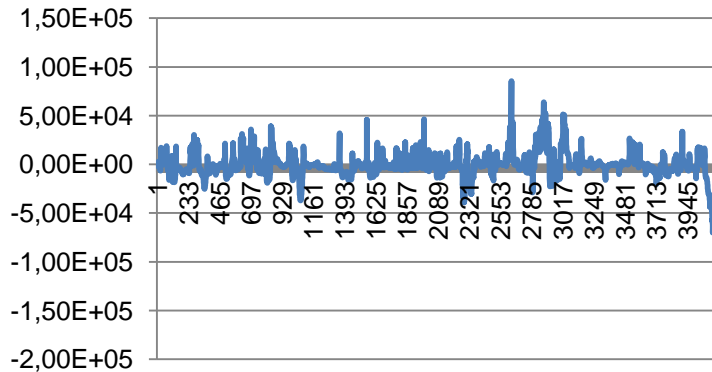


# fNIRS – preliminary results

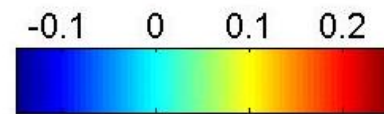
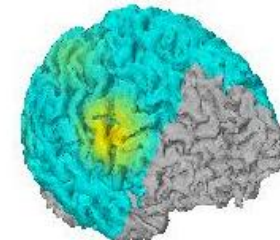
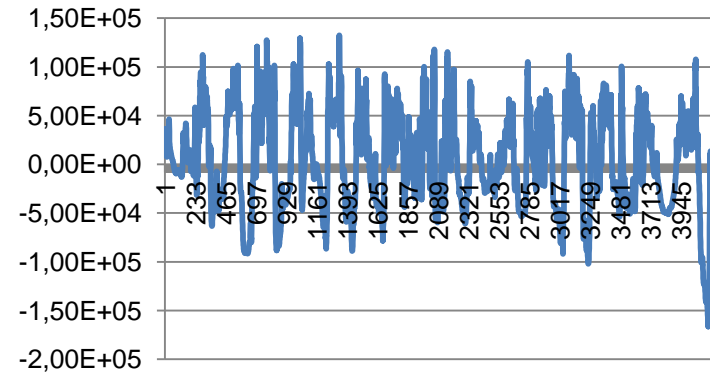
Data collected safely on 4 out of 6 patients

Preliminary data show that “Post-tDCS” Oxygenation appears greater than baseline oxygenation

**Pre-tDCS**



**Post-tDCS**



Further analysis will be necessary for better study this interesting trend

## Care givers reports, patient 3

*“.... Carmine sembra più attento rispetto a prima, ruota meglio il capo per guardare quello che sta intorno. Ascolta quando qualcuno parla con lui...”* (Moglie)

## Care givers reports, patient 5

*“.... Eleonora, guarda di più quando è insieme ad altre persone, tiene su la testa da sola, la capisco di più quando parla, mi aiuta quando la sposto dalla sedia al letto...”* (Mamma)

## Care givers reports, patient 2

*“... Oggi pomeriggio Cesare ha avuto un momento bellissimo, di estrema attenzione ascoltando un programma televisivo musicale. Ad una mia domanda su una canzone che stavamo ascoltando mi ha risposto sì per 3 volte con assoluta certezza, tenendo gli occhi chiusi stretti e sorridendo, un sorriso vero. È stato davvero emozionante vederlo così lucido, episodi rari ma che accadono sempre più spesso.”* (Mamma)

# LIMITS

- Subject's recruitment (criteria, organization and logistic issues)
- Awareness fluctuations (morning/evening)
- Fragility and clinical complexity
- Outcome measure (sensitivity, physically demanding)



WP3: Scientific coordinator Fabio Manfredini, MD

# Effectiveness of robot-assisted gait training versus conventional therapy on mobility in severely disabled multiple sclerosis patients.

PROGRAMMA DI RICERCA REGIONE-UNIVERSITÀ  
REGIONE EMILIA-ROMAGNA

Area 1 - Ricerca innovativa  
Programmi strategici  
Area tematica "Riabilitazione"

Regione Emilia-Romagna  
SERVIZIO SANITARIO REGIONALE  
EMILIA-ROMAGNA

Agenzia  
sanitaria  
e sociale  
regionale

**Programma strategico**  
"Role of Rehabilitation after cerebral and myocardial damage:  
functional recovery and identification of biomarkers related to  
the clinical outcome"

Responsabile scientifico prof. Nino Basaglia  
Azienda Ospedaliero-Universitaria di Ferrara

**Workshop di presentazione dei risultati  
intermedi**

Bologna, 24 aprile 2015  
viale Aldo Moro 21, sala 417c

Single center, single blinded, 2 arm-trial, conducted at PM&R Dept, Ferrara

1. Introduction: the study
2. Response to rehabilitation (all patients - all treatments)
3. Efficacy of the experimental treatment
  - a) Primary outcome measures
  - b) Secondary outcome measures
  - c) Clinical biomarkers
4. Individual response to the treatment
5. Conclusions

# WP3:

## Effectiveness of robot-assisted gait training versus conventional therapy on mobility in severely disabled multiple sclerosis patients.

### 1. Introduction: the study



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**Workshop di presentazione dei risultati  
intermedi**

**Bologna, 24 aprile 2015**  
viale Aldo Moro 21, sala 417c

The poster features a background image of several hot air balloons in a clear sky. The balloons are in various colors and patterns, including stripes and checkered designs.

prospective, randomized, single-blinded, controlled study

Primary objective:

to test **the efficacy** on **mobility** of the rehabilitation treatment

Robot-Assisted Gait  
Training (RAGT)

Conventional Therapy  
(CT)

Secondary objectives:

a) to test **the efficacy** on **walking endurance, balance, fatigue, QoL**

b) to associate circulating /metabolic **markers to clinical outcomes**;

c) to identify **biomarkers with a predictive value** to detect groups of patients who most likely will benefit from a particular rehabilitation program;

d) to improve the knowledge about the **recovery mechanisms**;



# Study protocol

Assessment of  
elegibility

Randomization 1:1  
(EDSS stratified)

Robot-Assisted Gait  
Training (RAGT)

Conventional Therapy  
(CT)

Inclusion criteria:

- males and females, 18 - 65 years
- EDSS 6-7
- lack of EDSS worsening in the last 3 months



# Methods

## Robot-Assisted Gait Training (RAGT)

12 Training sessions  
3 sessions/week  
Duration: 30' real walking time  
(30' set up)

Walk on treadmill  
with robotic-driven gait orthosis  
Partial/Total Weight support  
Speed: 0 to 3km/h



## Conventional Therapy (CT)

12 Training sessions  
3 sessions/week  
Duration: 10' stretching exercise  
10' muscle strengthening  
≈30' walking time

Overground walking  
with aid of physiotherapist

# Outcome measures

## Clinical:

Primary outcome: **T25FW**

Secondary outcomes: 6MWT, UGT,  
scales and questionnaires (BBS, FSS, MAS, SF-36)

## Clinical biomarkers:

Metabolic measurements (NIRS: muscle, brain),

Circulating biomarkers (TReg, cytokines, EPC, CPC, MSC)

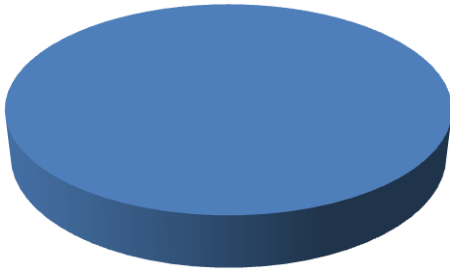


| WP  | Tasks                                 | Task lead | Start (M) | End (M) | Duration (M) |
|---|---------------------------------------|-----------|-----------|---------|--------------|
| <b>WP3 - Scientific Coordinator: Dott. Fabio Manfredini</b> |                                       |           |           |         |              |
| 3,1   | Submission/Approval Ethical Committee |           | 1°        | 3°      | 3            |
| 3,2   | Personnel selection and training      |           | 1°        | 3°      | 3            |
| 3,3   | Enrollment                            |           | 4°        | 29°     | 25           |
| 3,4   | Interventions                         |           | 5°        | 31°     | 27           |
| 3,5   | Outcome measures                      |           | 5°        | 33°     | 29           |
| 3,6   | Data analysis                         |           | 12°       | 36°     | 25           |

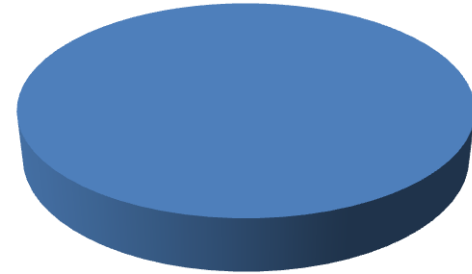
|     |                                       | Start (M) | End (M) | Duration (M) | Phase               |
|-----|---------------------------------------|-----------|---------|--------------|---------------------|
| 3,1 | Submission/Approval Ethical Committee | 1°        | 3°      | 3            | Completed           |
| 3,2 | Personnel selection and training      | 1°        | 3°      | 3            | Completed           |
| 3,3 | Enrollment                            | 4°        | 29°     | 25           | In progress         |
| 3,4 | Interventions                         | 5°        | 31°     | 27           | In progress (36/98) |
| 3,5 | Outcome measures                      | 5°        | 33°     | 29           | In progress         |
| 3,6 | Data analysis                         | 12°       | 36°     | 25           | In progress         |

# Milestones

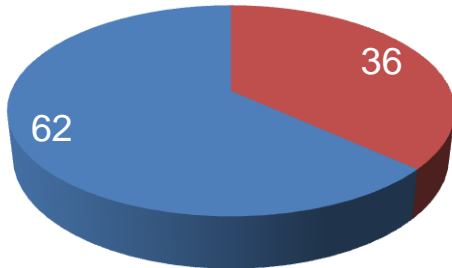
**Trial registration**



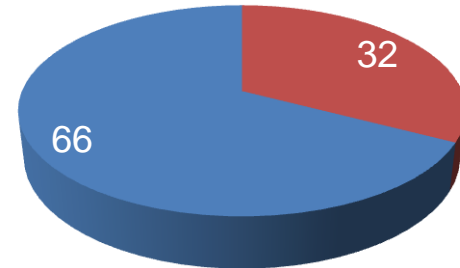
**Personnel selection and training**



**Enrollment**



**Intervention / outcomes**



# WP3:

## Effectiveness of robot-assisted gait training versus conventional therapy on mobility in severely disabled multiple sclerosis patients.

### PRELIMINARY RESULTS

## 2. Response to rehabilitation (all patients - all treatments)



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"Role of Rehabilitation after cerebral and myocardial damage:  
functional recovery and identification of biomarkers related to  
the clinical outcome"

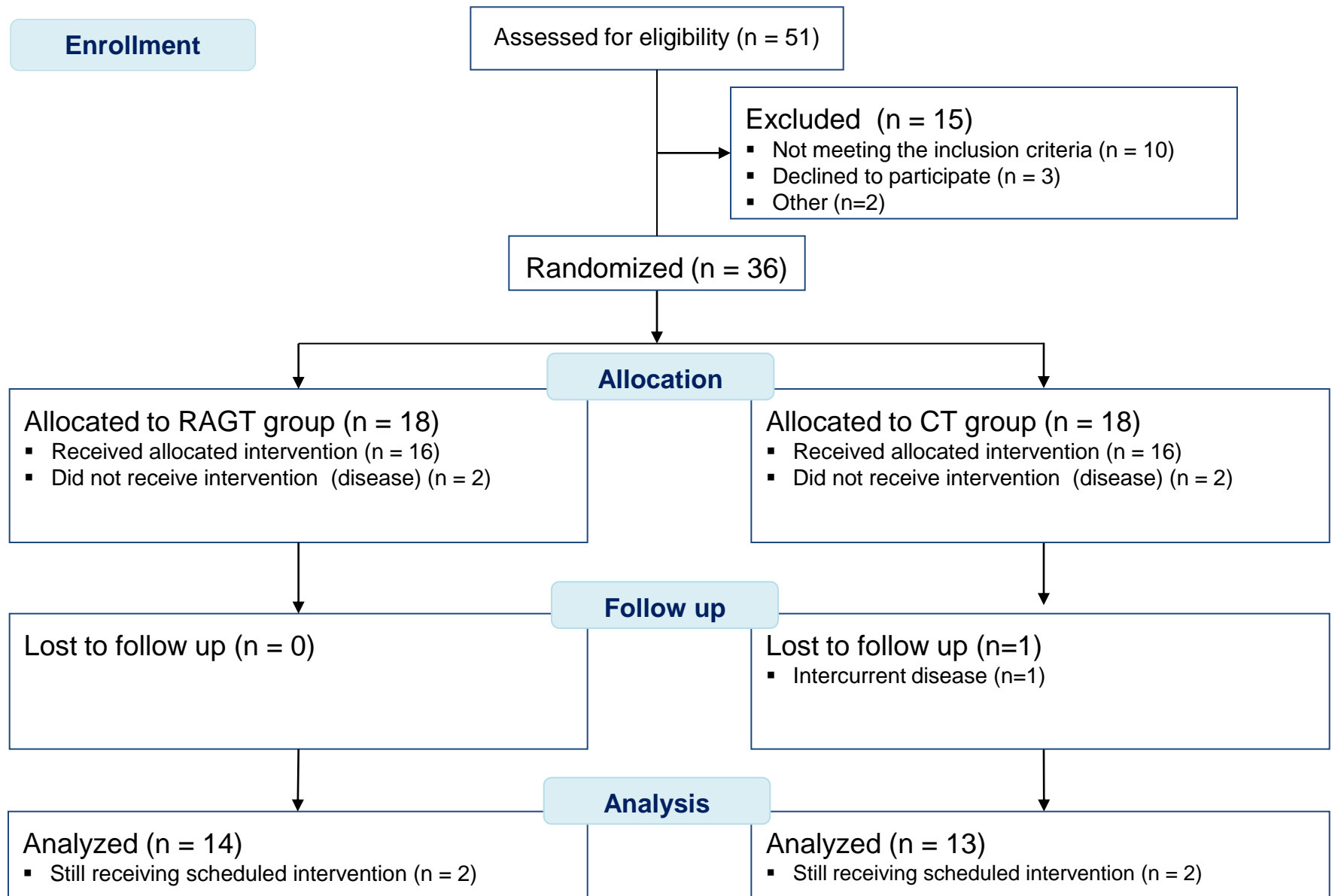
Responsabile scientifico prof. Nino Basaglia  
Azienda Ospedaliero-Universitaria di Ferrara

**Workshop di presentazione dei risultati  
intermedi**

**Bologna, 24 aprile 2015**  
viale Aldo Moro 21, sala 417c

The poster features a background image of several hot air balloons in a clear sky. The text is arranged in a structured layout with logos at the top right and a vertical title on the left.

# Intermediate results: Consort flow diagram



Waiting for enrollment: 26 people

# Results: patients

N= 27

|                |       |
|----------------|-------|
| Beer, 2008     | n=29  |
| Vaney 2011     | n= 49 |
| Schwartz, 2011 | n= 28 |
| Lo , 2008      | n= 13 |
| Straudi, 2013  | n =16 |
| Gandolfi 2014  | n=22  |

|                  | <b>RAGT<br/>(n= 14)</b> | <b>CT<br/>(n=13)</b> |
|------------------|-------------------------|----------------------|
| Age              | 55 ± 12                 | 57 ± 9               |
| Males; n(%)      | 4 (29)                  | 6 (46)               |
| Classification   |                         |                      |
| PP; n(%)         | 8 (57)                  | 8 (62)               |
| SP; n(%)         | 6 (43)                  | 5 (38)               |
| Disease duration | 10.8 ± 7.7              | 18.1 ± 10.8          |
| EDSS             | 6.3 ± 0.3               | 6.3 ± 0.3            |

Baseline values of the patients of the two study groups which completed the intervention phase



# 1. Primary outcome: Walking speed (Timed 25-Foot Walk)



Testing conditions:

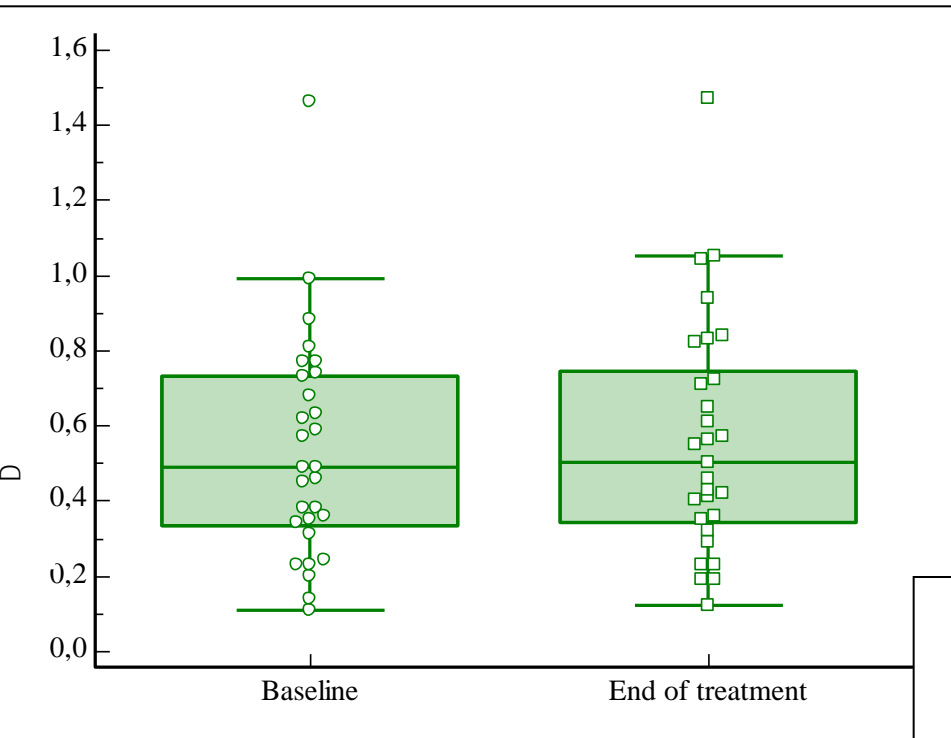
at the same time of day,

at the same conditions.

Patients picked up at entrance  
and brought to testing site on a  
wheelchair

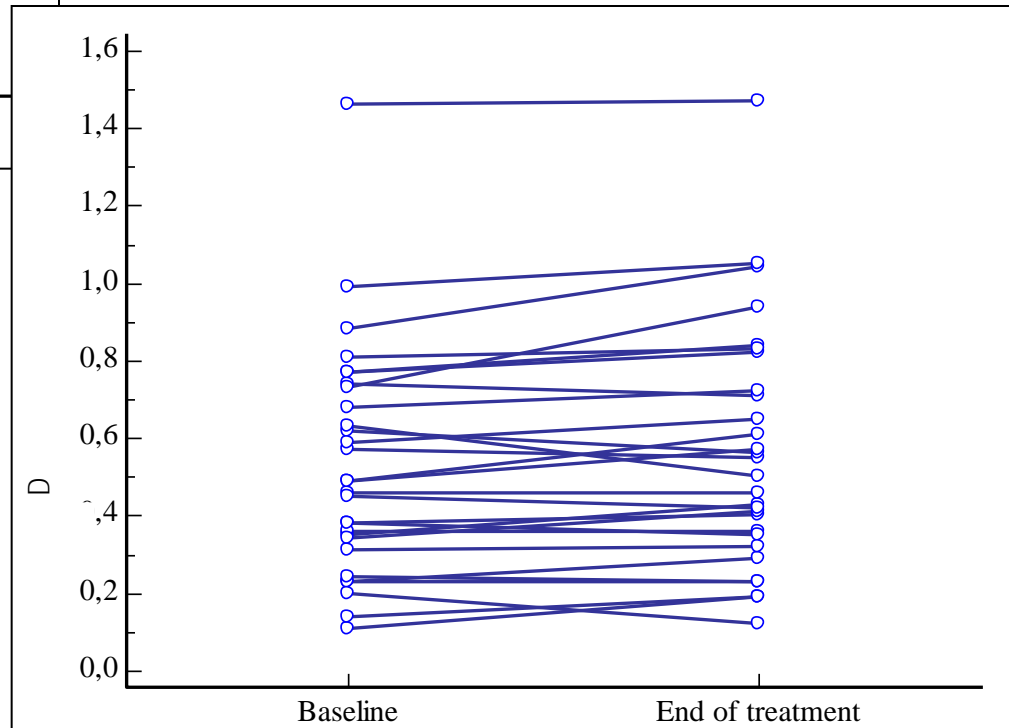
Operators blinded to the  
treatment

# Primary outcome: all patients (n=27)

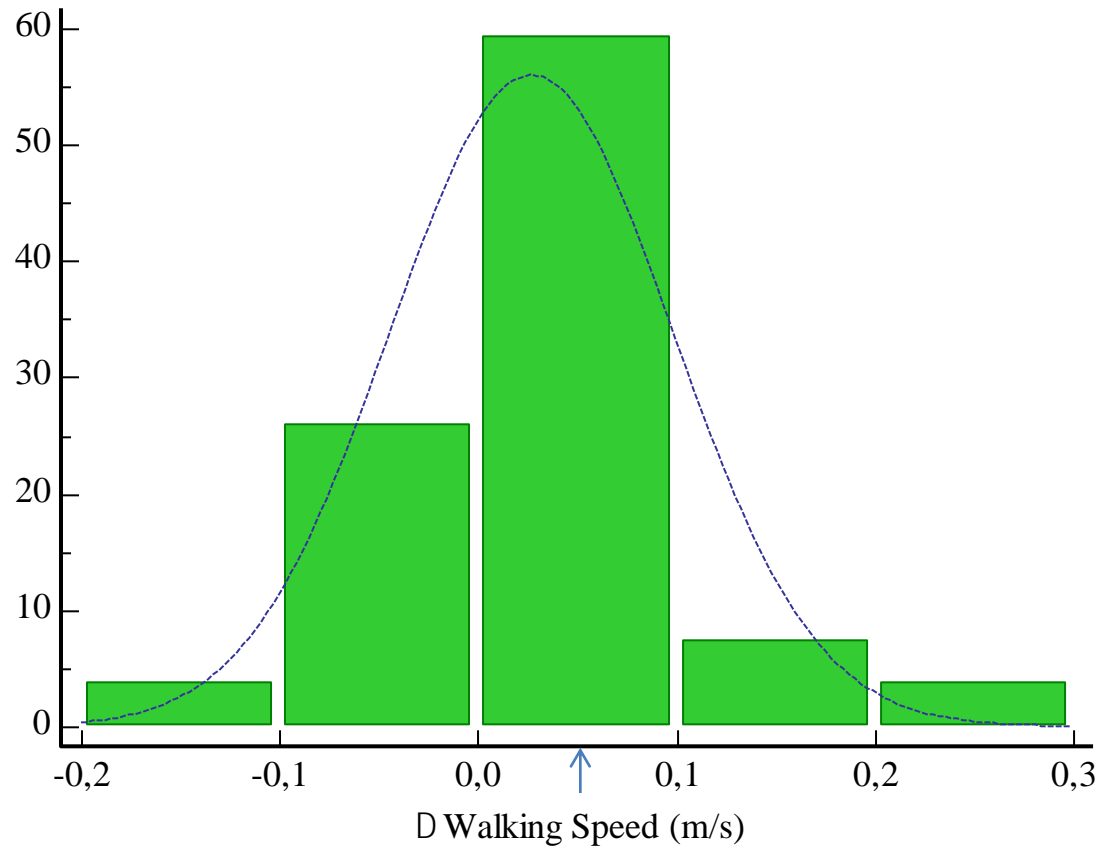


m/s 0.53

0.57  
(+7.5%)



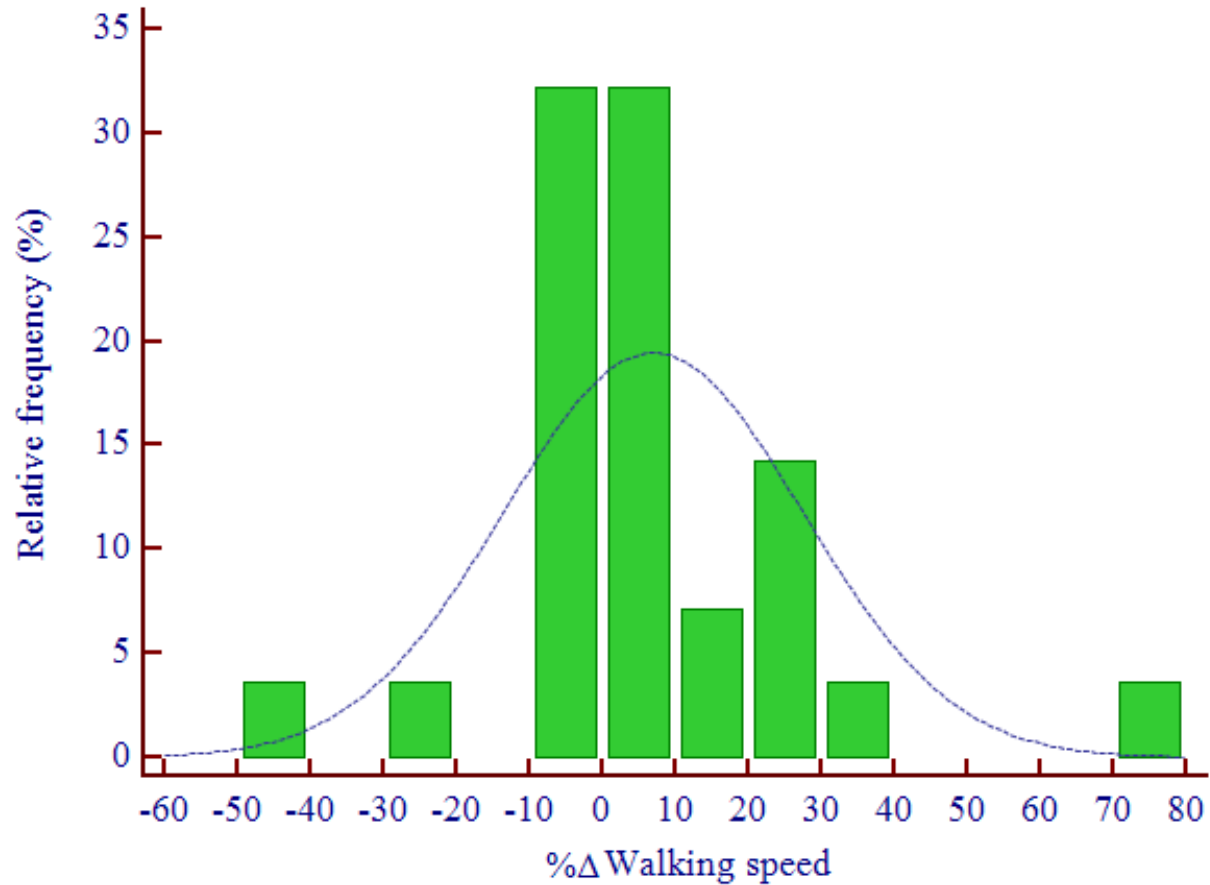
# Primary outcome: all patients (n=27)



$0.028 \pm 0.072$

Variations of walking speed at the end of treatment from baseline for all patients who completed the intervention phase.

# Primary outcome: all patients

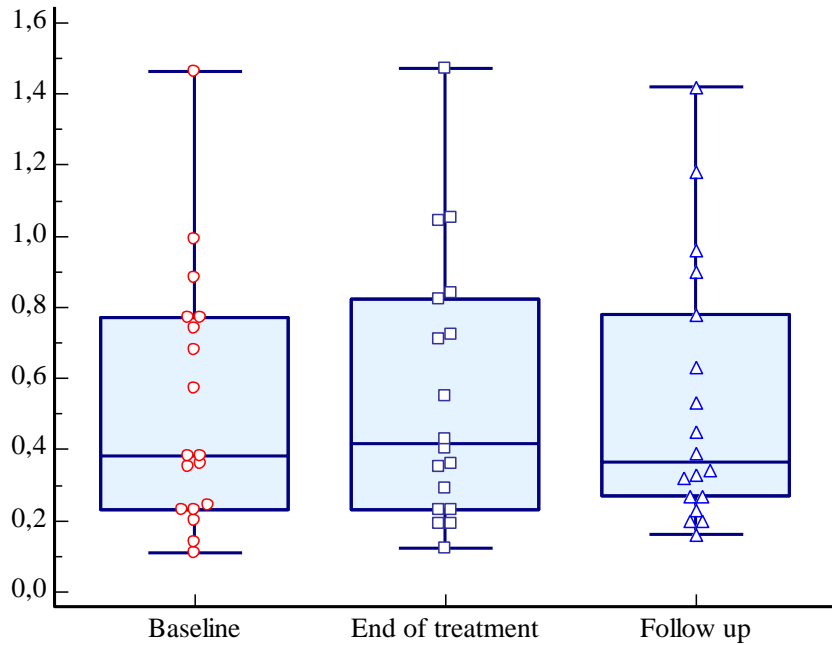


n=27

%Variations of walking speed at the end of treatment from baseline for all patients who completed the intervention phase.

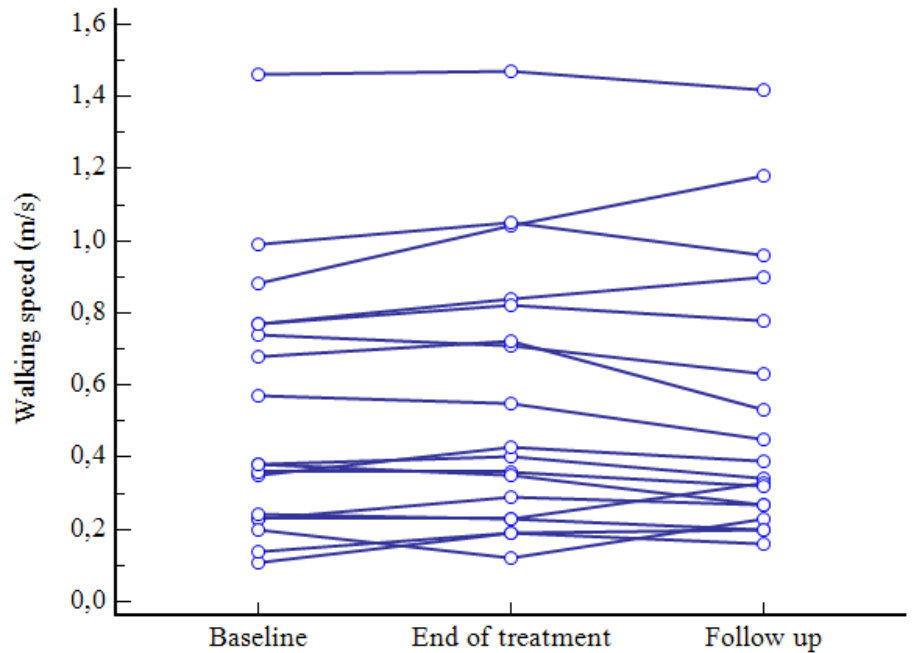
# Persistence of treatment effects

## Primary outcome (T25FW)



0.50  
0.58

0.56



## WP3:

# Effectiveness of robot-assisted gait training versus conventional therapy on mobility in severely disabled multiple sclerosis patients.

## PRELIMINARY RESULTS

3. Efficacy of the experimental treatment
  - a) Primary outcome measures
  - b) Secondary outcome measures
  - c) Clinical biomarkers

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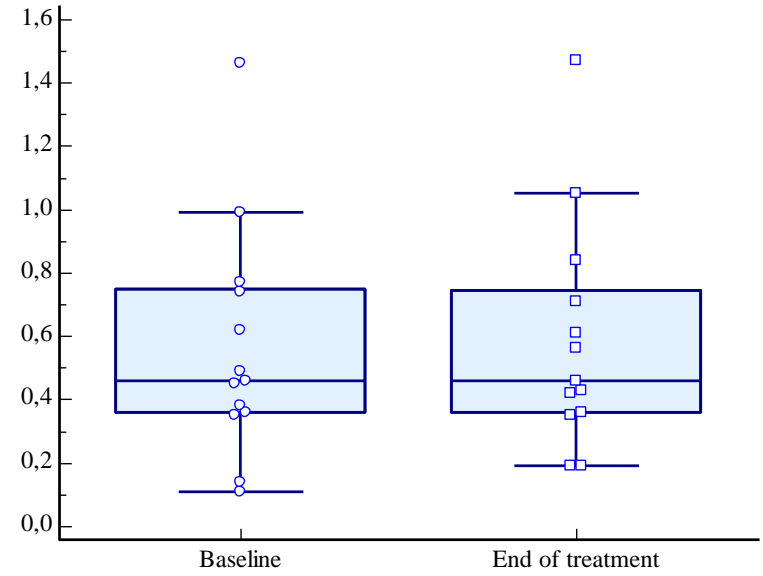
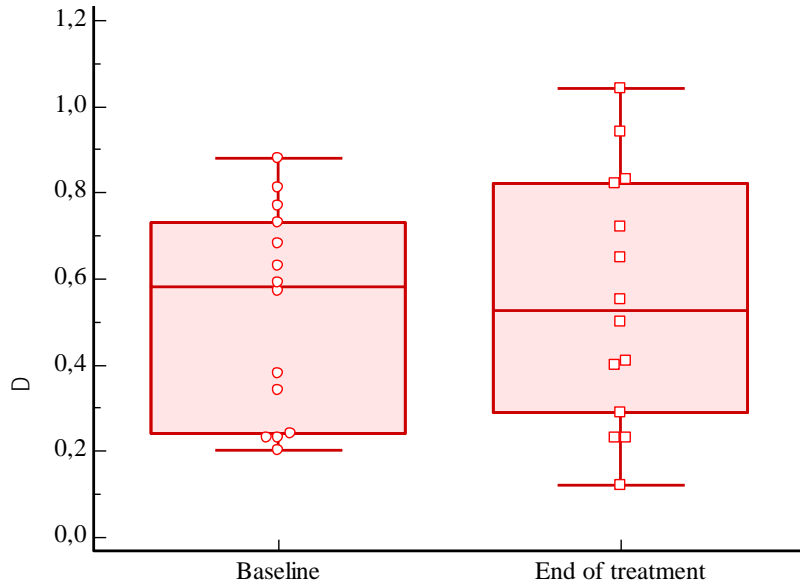
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The poster features a background image of several hot air balloons in a clear sky. The text is arranged in a structured layout with logos at the top and a vertical title on the left side.

# Primary outcome: walking speed (T25FW)

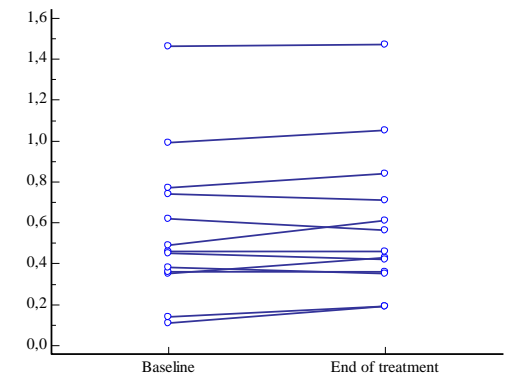
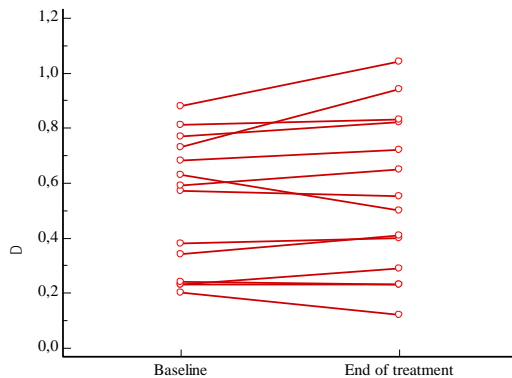
## RAGT

## CT

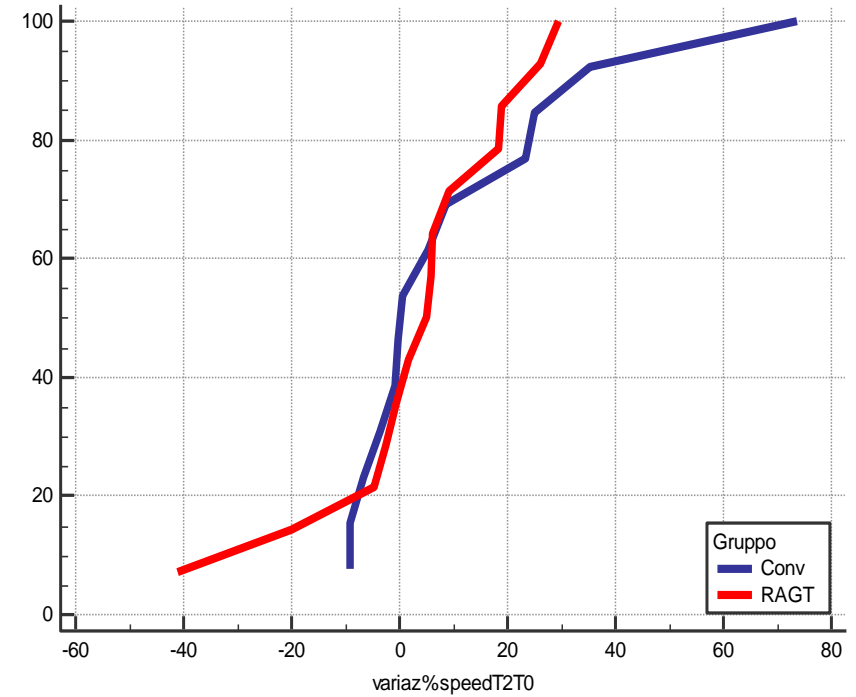
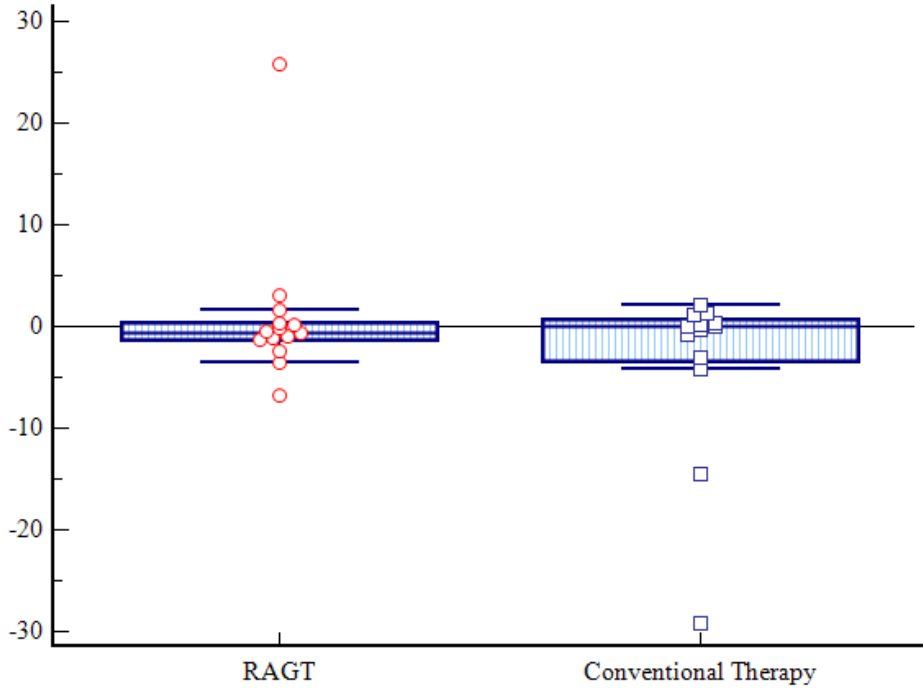


(m/s) 0.52                      0.55                      (+5.8%)                      (+5.4%)                      0.56                      0.59

No intra & inter-group differences (*analysis as treated*)



# Primary outcome: walking speed (T25FW) RAGT vs CT



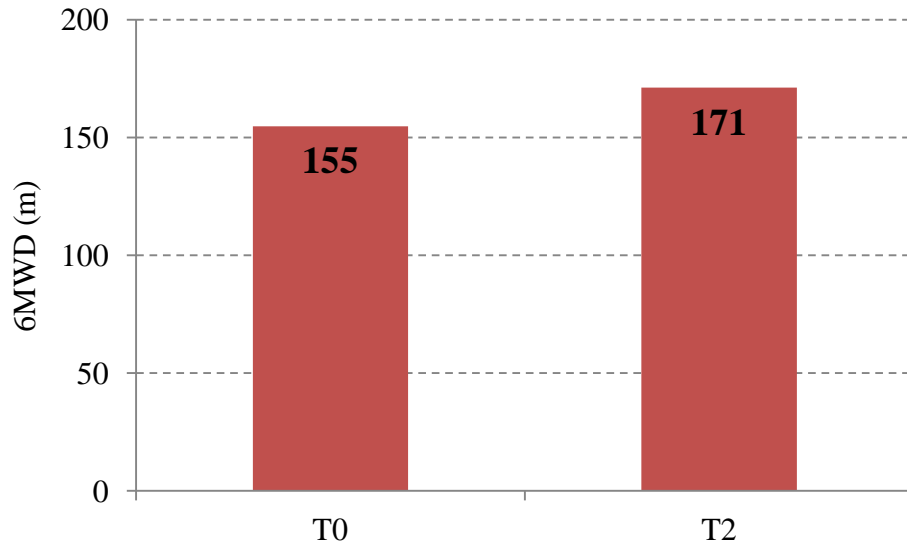
ES : 0.12 (trivial)

ES : 0.06 (trivial)



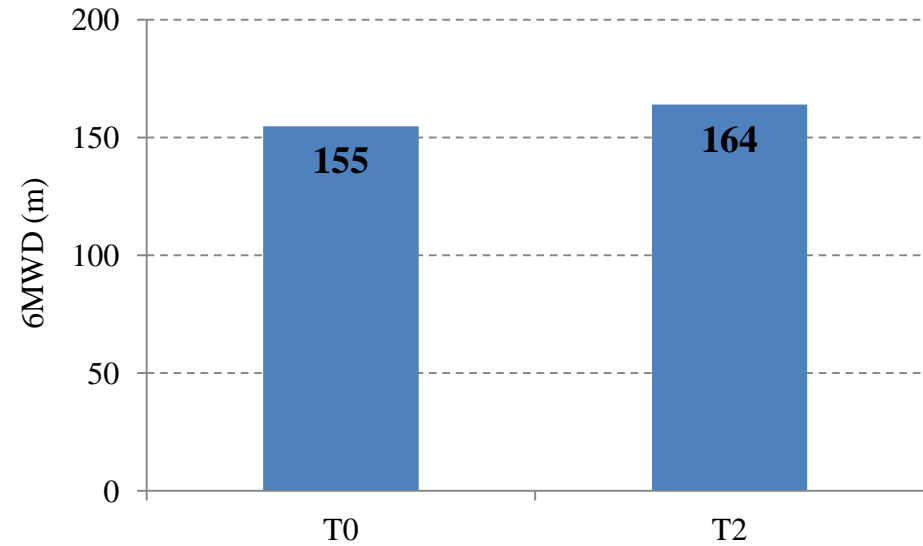
## 2. Secondary outcome measures: Walking endurance (6MWD)

**RAGT**  
(n = 14)

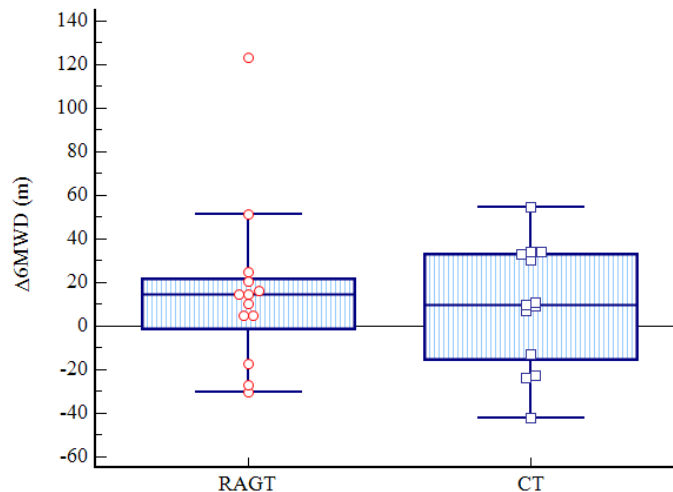


+10,3%

**Conventional Therapy**  
(n = 13)



+5,8%

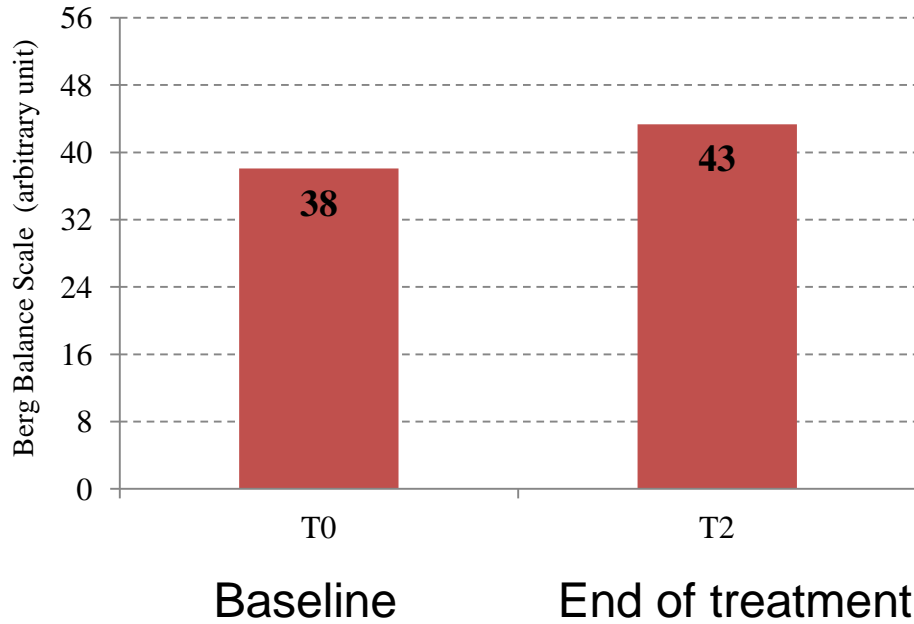


p=0.98

No intra & inter-group differences

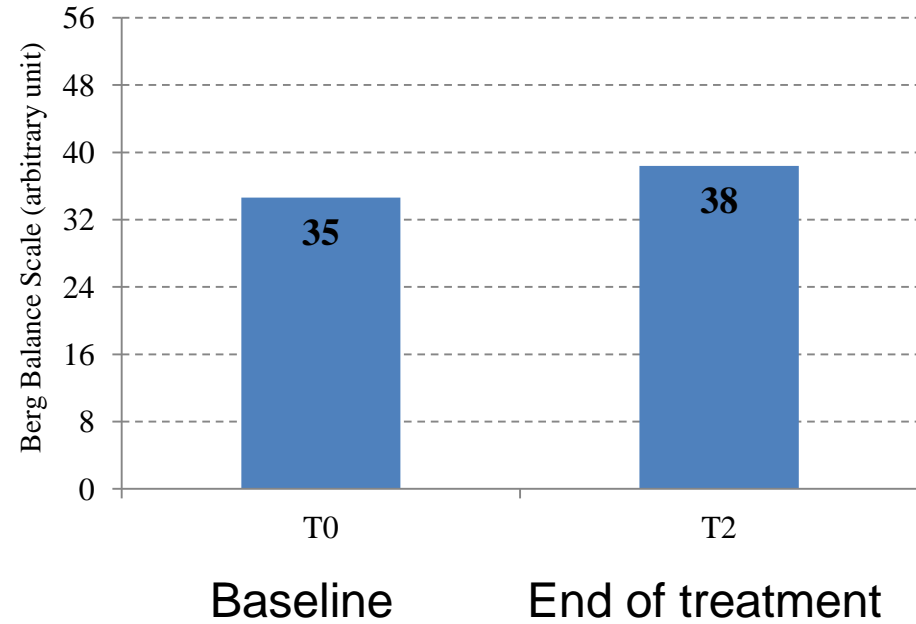
# Balance (Berg Balance Scale)

**RAGT**  
(n = 14)



+13,1%

**Conventional Therapy**  
(n = 13)

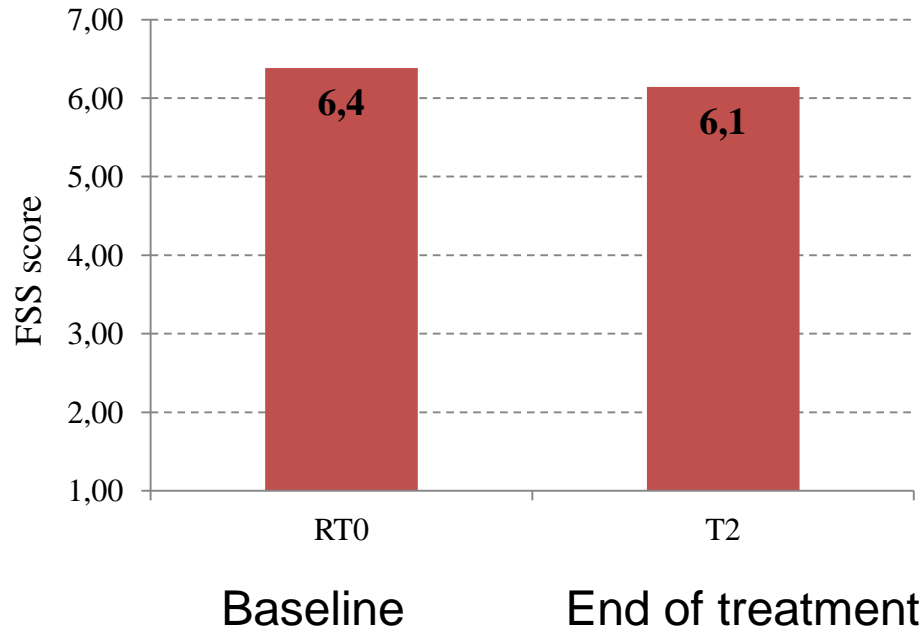


+8,6%

No intra & inter-group differences

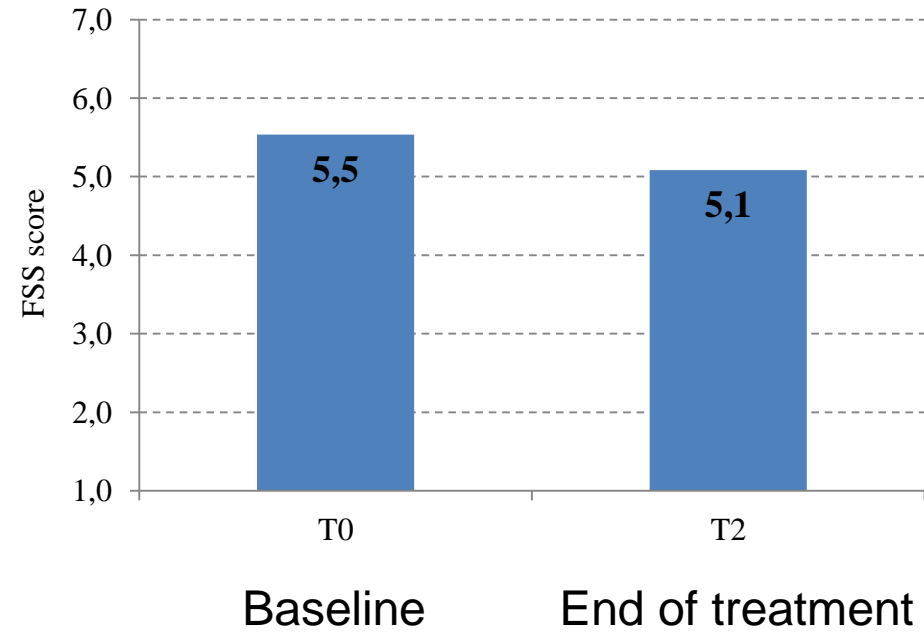
# Fatigue Severity Scale

**RAGT  
(n = 14)**



-4,7%

**Conventional Therapy  
(n = 13)**

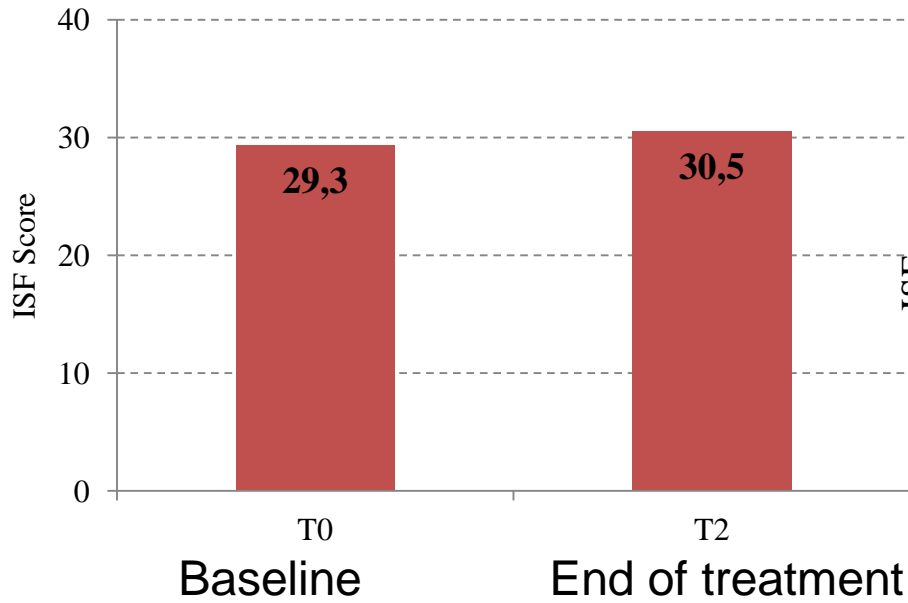


-7,3%

No intra & inter-group differences

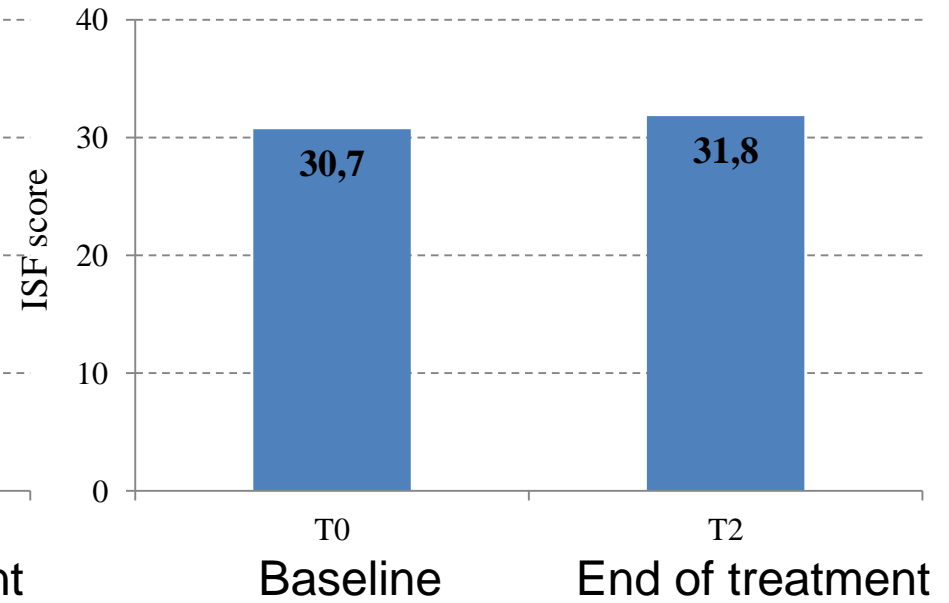
# Quality of life (Physical Component score of MOS SF-36 Questionnaire)

**RAGT  
(n = 14)**



+3.8%

**Conventional Therapy  
(n = 13)**



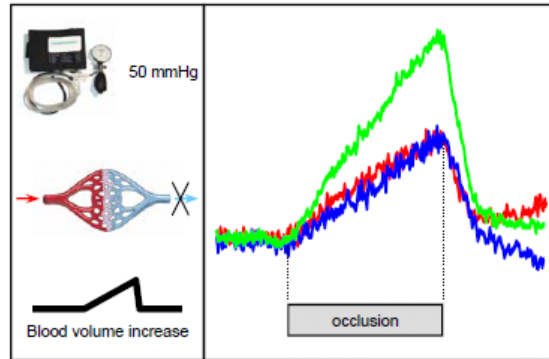
+3,6%

No intra & inter-group differences

# Clinical biomarkers: Metabolic measurement by NIRS

Muscle oxygen consumption ( $mVO_2$ ) at gastrocnemius

Potential biomarker of muscle deconditioning



During venous occlusion, cuff pressure (50 mmHg) blocks venous inflow. Arterial inflow is not hindered. This results in an increase in blood volume, seen in the NIRS signal as an increase in the signal.

Resting Muscle Oxygen Consumption by Near-Infrared Spectroscopy in Peripheral Arterial Disease: A Parameter to be Considered in a Clinical Setting?

ANGIOLOGY Malagoni et al. BMC Neurology 2013, 13:52  
<http://www.biomedcentral.com/1471-2377/13/52>

BMC Neurology

RESEARCH ARTICLE Open Access

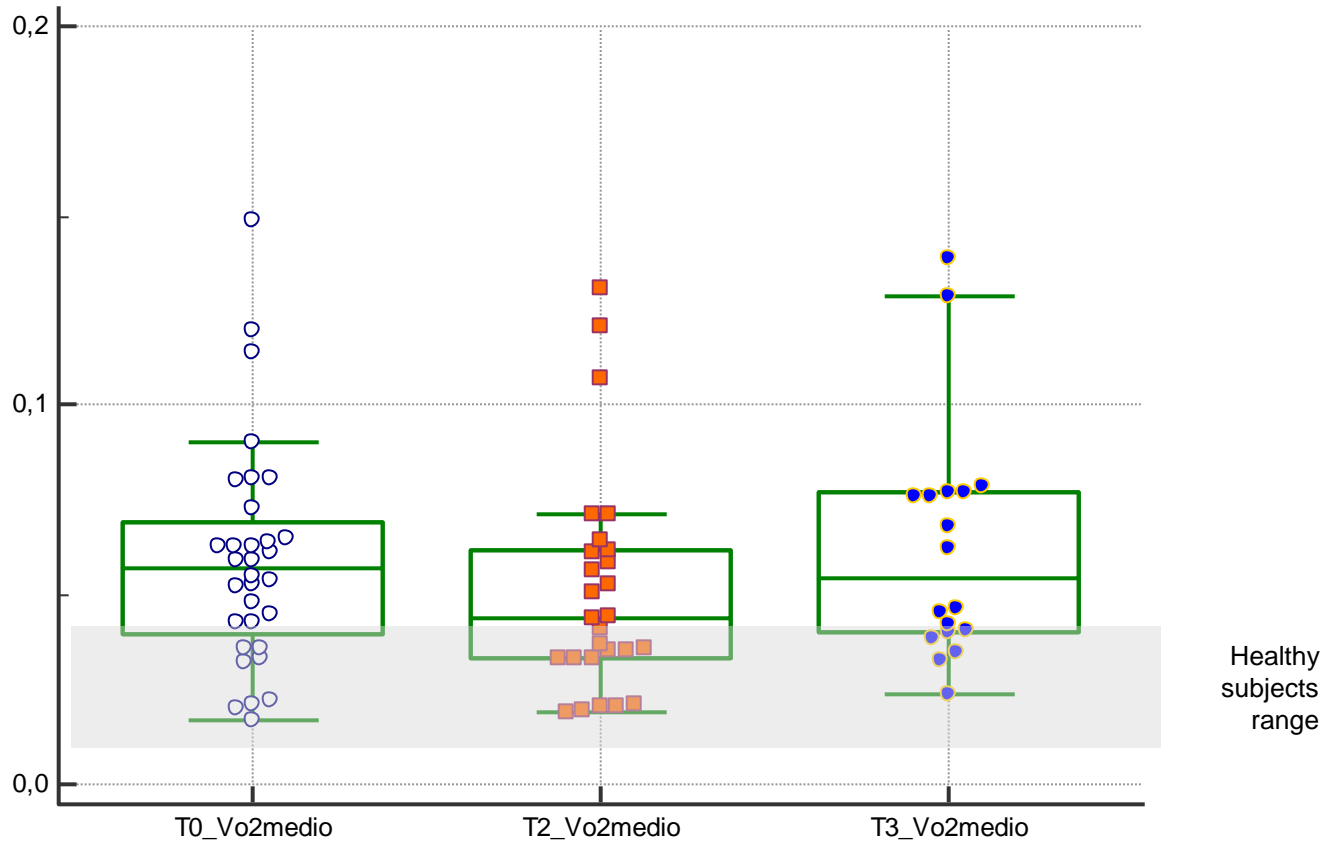
Muscle oxygen consumption by NIRS and mobility in multiple sclerosis patients

Anna Maria Malagoni<sup>1,2\*</sup>, Michele Felisatti<sup>2</sup>, Nicola Lamberti<sup>2</sup>, Nino Basaglia<sup>3</sup>, Roberto Manfredini<sup>2,4</sup>, Fabrizio Salvi<sup>5</sup>, Paolo Zamboni<sup>1,2</sup> and Fabio Manfredini<sup>2,3</sup>

Anna Maria Malagoni, MD,<sup>1</sup> Michele Felisatti, DSc,<sup>1</sup> Simona Mandini, DSc,<sup>1</sup> Francesco Mascoli, MD,<sup>1,2</sup> Roberto Manfredini, MD,<sup>1,3</sup> Nino Basaglia, MD,<sup>3</sup> Paolo Zamboni, MD<sup>1</sup> and Fabio Manfredini, MD<sup>1,4,5</sup>

Measurements of muscle oxygen consumption at rest by NIRS performed on all 36 patients randomized without adverse effects

# Muscle oxygen consumption (mVO2) at gastrocnemius



0,05700

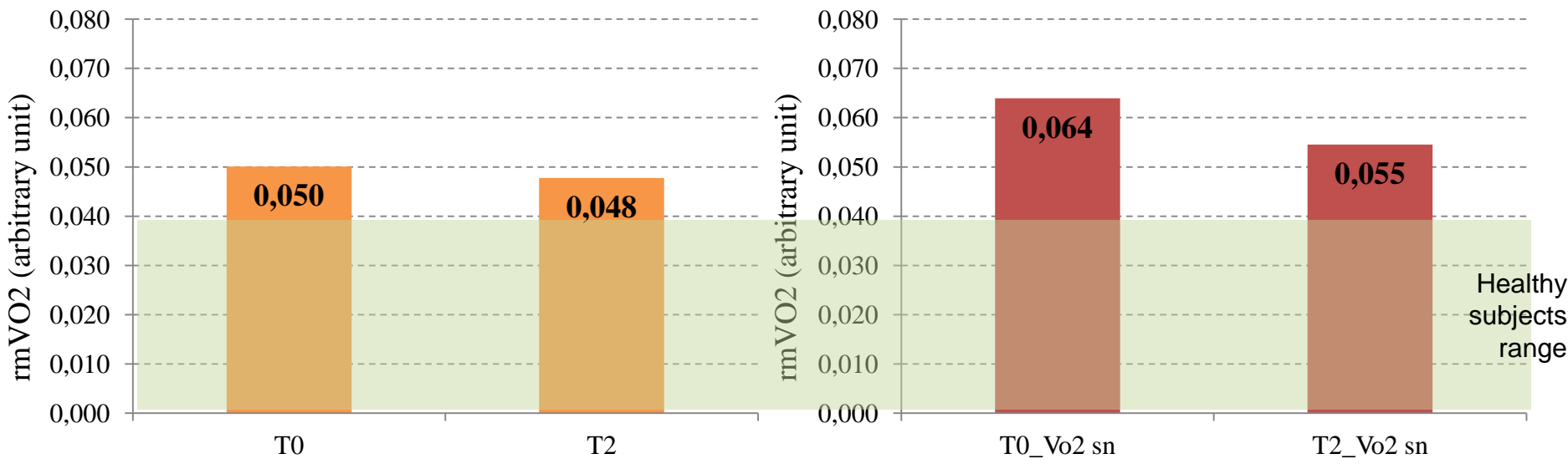
0,04400

P = 0,1548

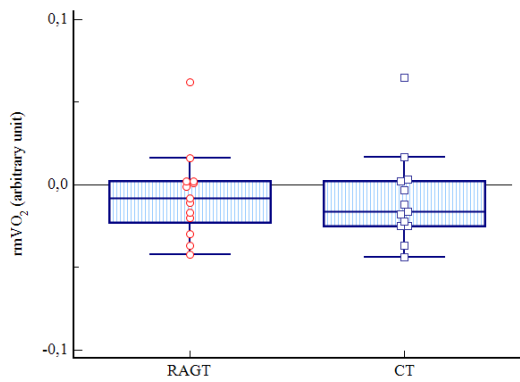
# Clinical biomarkers: Metabolic measurement by NIRS

RAGT  
(n = 14)

Conventional Therapy  
(n = 13)



-4%  
-22%



p=0,54

# Clinical biomarkers: Metabolic measurement by NIRS

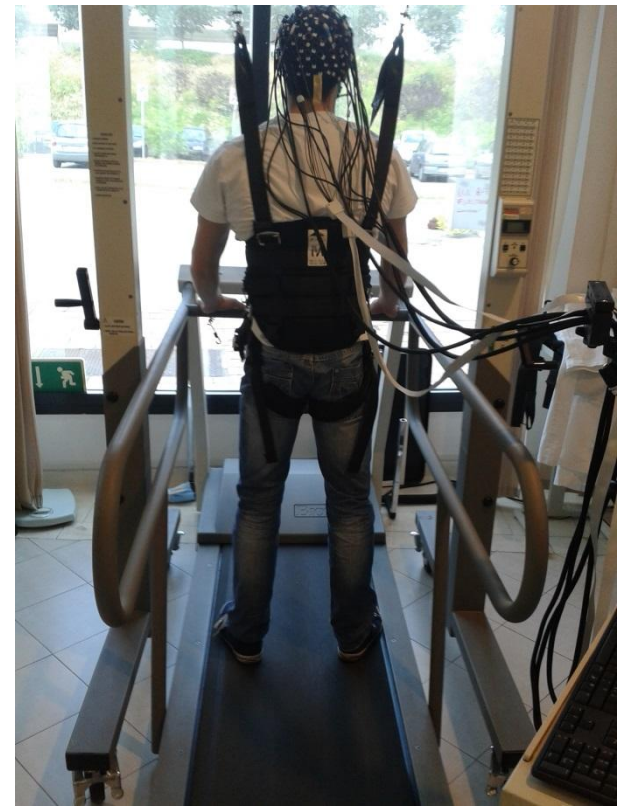
## Brain perfusion

walking task on a treadmill (30" walking at 0,2km/h – 30" resting; 4 times)  
Data collected on 21 out of 36 patients

Limits:

poor infrared light penetration towards scalp in people with long hairs.

Data stored, under evaluation





# Circulating biomarkers

Data collected in all patients

Data analysis performed by 3 Research Units

Preliminary results available only for some parameters

CEC

EPC

Treg

MSC-Like cells

# WP3:

## Effectiveness of robot-assisted gait training versus conventional therapy on mobility in severely disabled multiple sclerosis patients.

### PRELIMINARY RESULTS

#### 4. Individual response to the treatment

Identification of the potential responders to any treatment  
or early Identification of response to a single treatment



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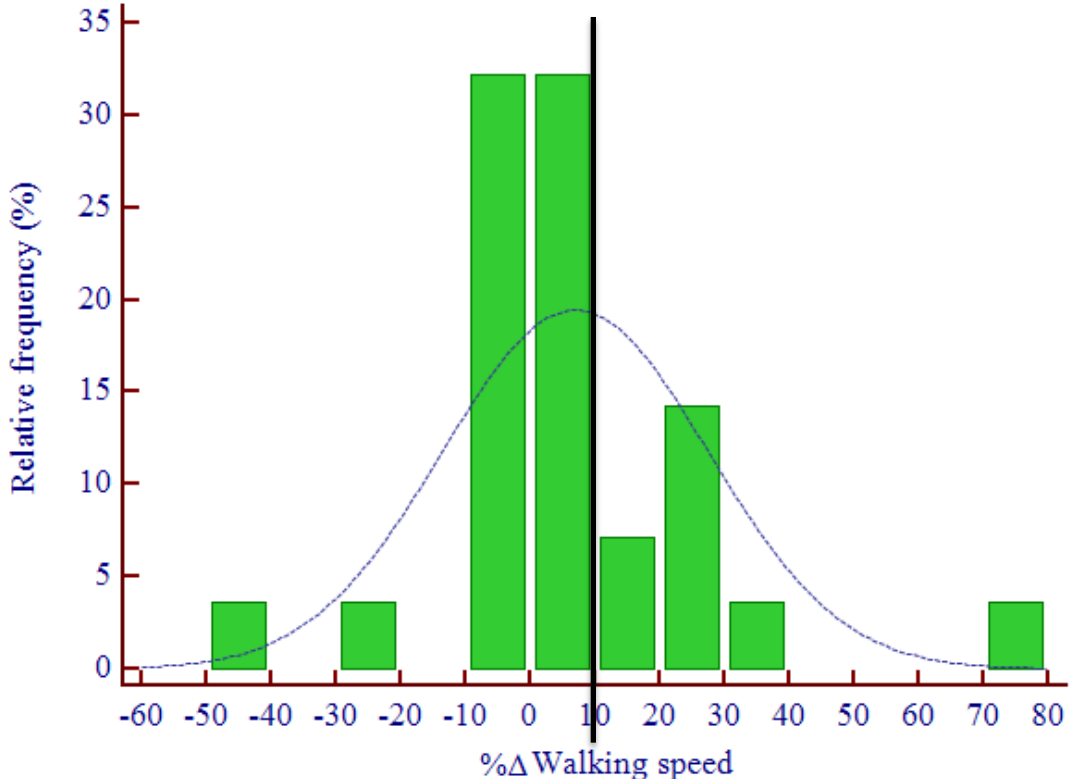
**Bologna, 24 aprile 2015**  
viale Aldo Moro 21, sala 417c

The poster features a background image of several hot air balloons in a clear sky. The balloons are in various colors and patterns, including stripes and checkered designs.

Among 27 patients who completed the treatment for both groups (RAGT and CT), the number of the patients who respond positively at the rehabilitation has been arbitrarily identified.

Criteria for identification of respondents on 2 outcome measures:

T25FW: End of treatment walking speed  $\geq 10\%$  of baseline



# Factors and response to the treatments

Possible correlation between baseline biomarkers and response to treatment

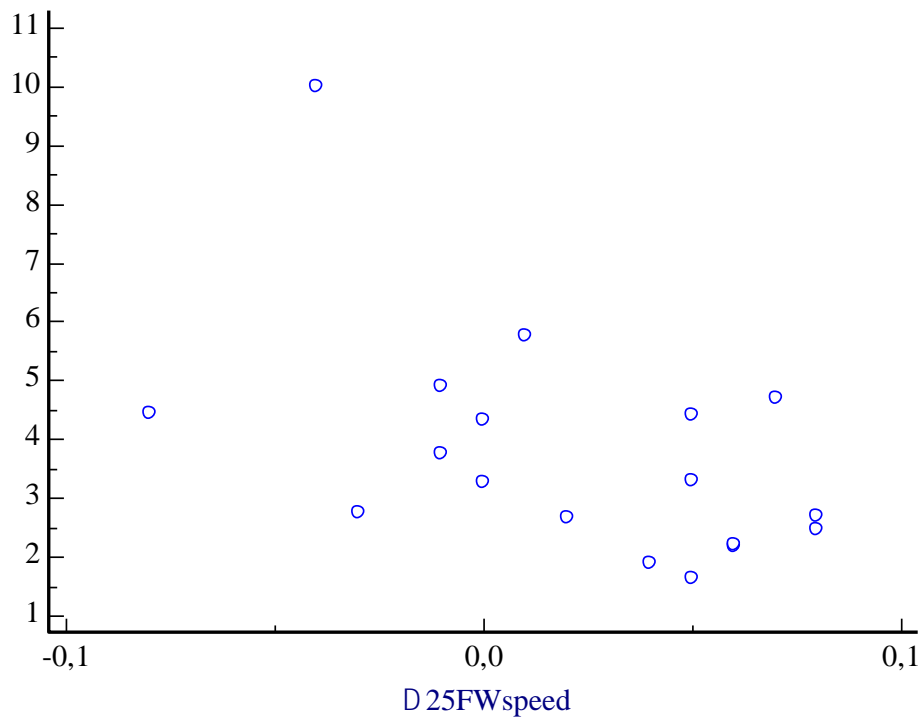
All patients

| <i>Baseline values</i> | <b>Respondents<br/>(n = 9)</b> | <b>Non-respondents<br/>(n = 18)</b> | <b>Statistics</b> |
|------------------------|--------------------------------|-------------------------------------|-------------------|
| Age                    | 57                             | 56                                  | n.s.              |
| M/F (n)                | 1/8                            | 9/9                                 | 0.09              |
| EDSS                   | 6.4                            | 6.3                                 | n.s.              |
| Mean rmVO2             | 0.056                          | 0.057                               | n.s.              |
| CEC                    | 0.33                           | 0.40                                | n.s.              |
| EPC                    | 0.02                           | 0.03                                | n.s.              |
| MSC_73                 | 0.10                           | 0.08                                | n.s.              |
| MSC_146                | 0.19                           | 0.18                                | n.s.              |
| Treg                   | 2.65                           | 4.32                                | 0.07              |

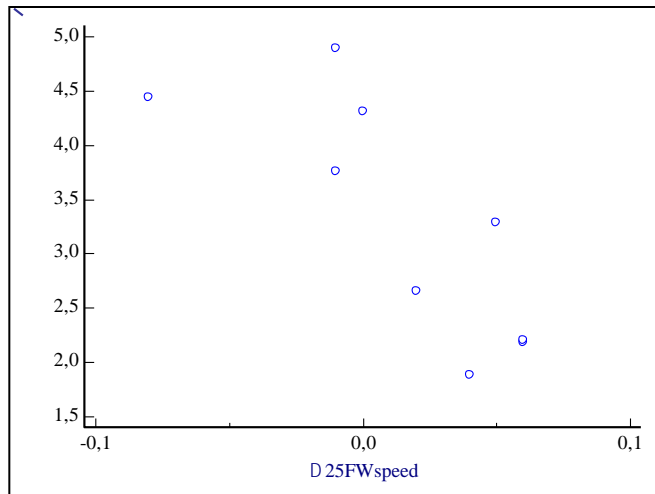
Low T reg levels correlate with a more positive final outcome independently from the treatment

$r = -0.50$   
 $p = 0.03$

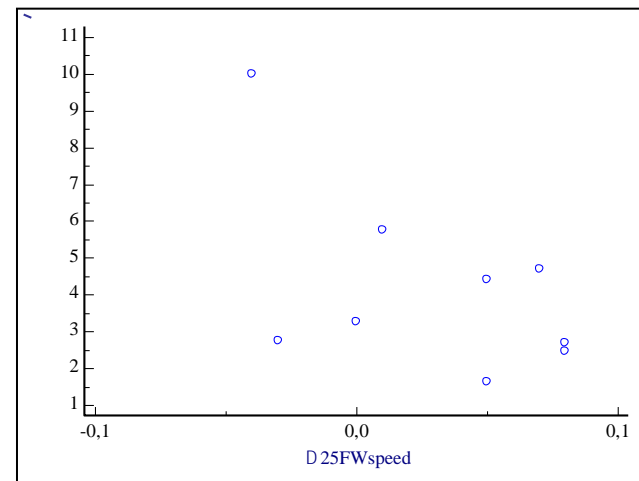
Whole population



RAGT



CT



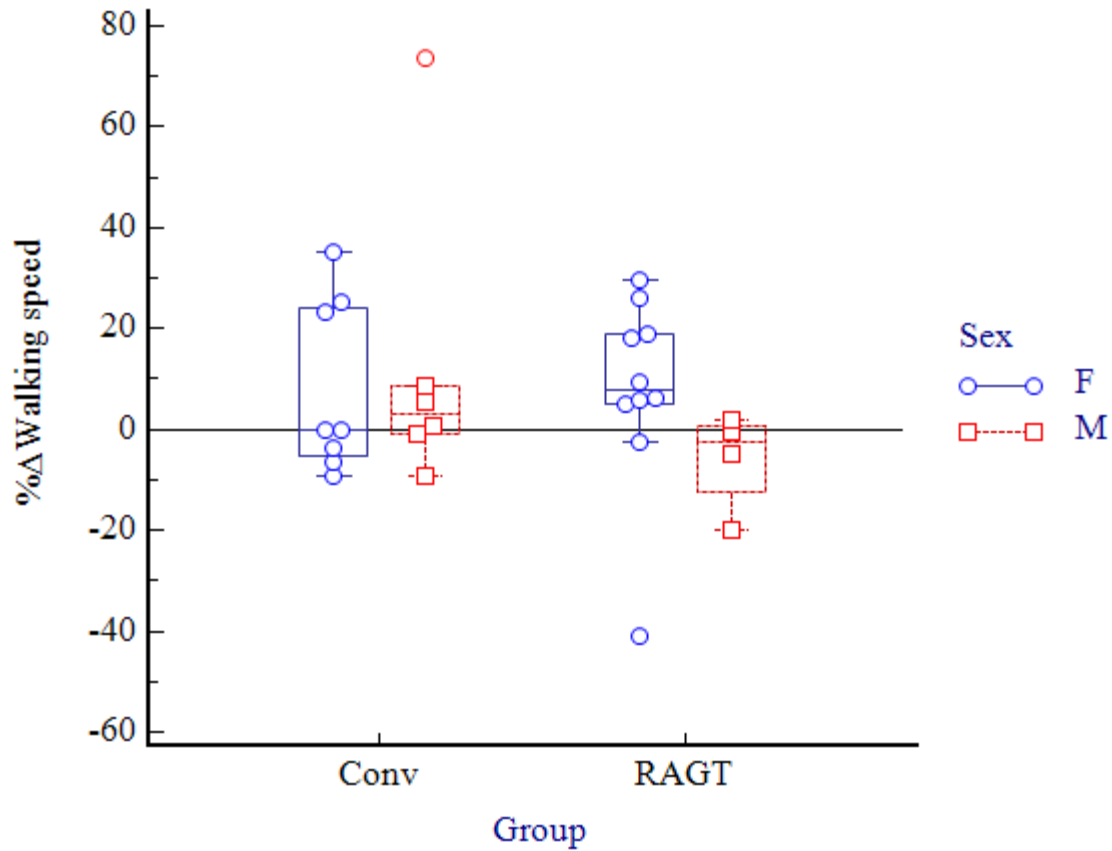
# Factors and response to the treatments

Possible correlation between baseline biomarkers and response to treatment

## RAGT

| <i>Baseline values</i> | <b>Respondents<br/>(n = 5)</b> | <b>Non-respondents<br/>(n = 9)</b> | <b>Statistics</b> |
|------------------------|--------------------------------|------------------------------------|-------------------|
| Age                    | 55                             | 57                                 | n.s.              |
| M/F (n)                | 0/5                            | 4/5                                | 0.10              |
| EDSS                   | 6.3                            | 6.3                                | n.s.              |
| Mean rmVO2             | 0.057                          | 0.046                              | n.s.              |
| CEC                    | 0.44                           | 0.38                               | n.s.              |
| EPC                    | 0.02                           | 0.02                               | n.s.              |
| MSC_73                 | 0.17                           | 0.10                               | n.s.              |
| MSC_146                | 0.35                           | 0.28                               | n.s.              |
| Treg                   | 3.05                           | 3.60                               | n.s.              |

# Identification of the potential responders to the 2 treatments : gender

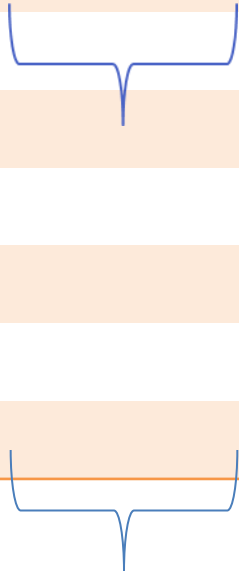


Males are poorly responsive to the robotic treatment

# Factors and response to the treatments

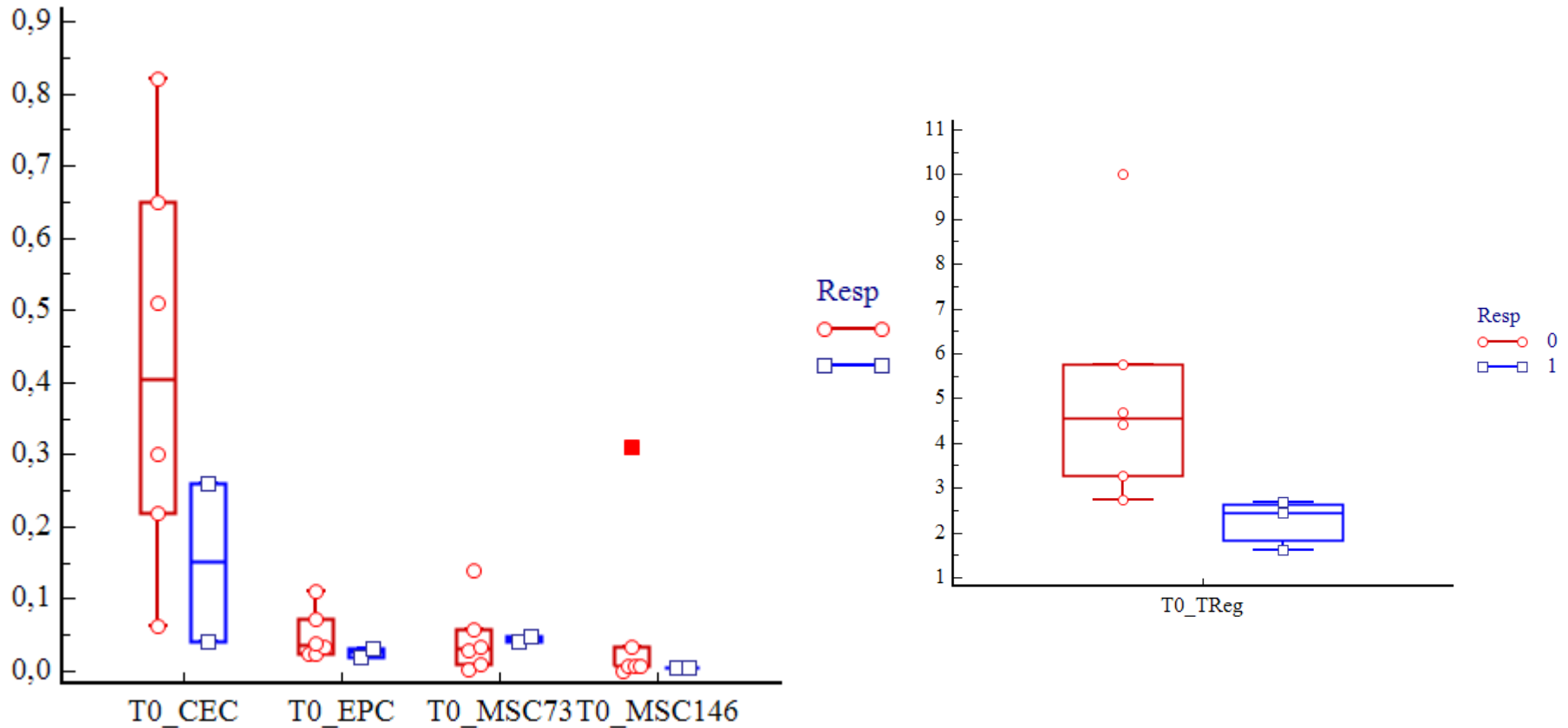
Possible correlation between baseline biomarkers and response to treatment

|                        | CT                             |                                    |                   |
|------------------------|--------------------------------|------------------------------------|-------------------|
| <i>Baseline values</i> | <b>Respondents<br/>(n = 4)</b> | <b>Non-respondents<br/>(n = 9)</b> | <b>Statistics</b> |
| Age                    | 56                             | 62                                 | n.s.              |
| M/F (n)                | 1/3                            | 5/4                                | n.s.              |
| EDSS                   | 6.3                            | 6.5                                | n.s.              |
| Mean rmVO2             | 0.055                          | 0.068                              | n.s.              |
| CEC                    | 0.15                           | 0.43                               | n.s.              |
| EPC                    | 0.03                           | 0.05                               | n.s.              |
| MSC_73                 | 0.03                           | 0.45                               | n.s.              |
| MSC_146                | 0.04                           | 0.06                               | n.s.              |
| Treg                   | 2.25                           | 5.15                               | n.s.              |





# Conventional therapy



Respondents have circulating biomarkers level slightly lower than non respondents for some biomarkers, especially for Treg cells.

# Mobility – vascular protection

EPC levels at baseline correlate with

T025 FW      -0.634       $p=0.0035$

T0 TUG        -0.523       $p= 0.026$

T0 FSS        -0.553       $p= 0.014$



**Exercise Capacity and Circulating Endothelial Progenitor Cells in Hemodialysis Patients**

F. Manfredini<sup>1</sup>  
G. M. Rigolin<sup>2</sup>  
A. M. Malagoni<sup>1</sup>  
S. Soffritti<sup>3</sup>  
B. Boari<sup>4</sup>  
F. Conconi<sup>1</sup>  
G. L. Castoldi<sup>2</sup>  
L. Catizone<sup>3</sup>  
P. Zamboni<sup>5</sup>  
R. Manfredini<sup>4</sup>

# WP3:

## Effectiveness of robot-assisted gait training versus conventional therapy on mobility in severely disabled multiple sclerosis patients.

### PRELIMINARY RESULTS

### 5. Conclusions



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**Bologna, 24 aprile 2015**  
viale Aldo Moro 21, sala 417c

# Conclusions

## Preliminary results

No significant differences  
of walking speed and/or endurance for both  
treatments (RAGT vs Conventional Therapy)

nor for the intragroup analysis  
neither for the inter-group comparison  
for the primary and secondary outcome  
measures

Beer, 2008 walking speed intragroup  
Vaney 2011  
Schwartz, 2011  
Lo , 2008

Interesting preliminary observations on the  
relationship between outcomes and biomarkers.